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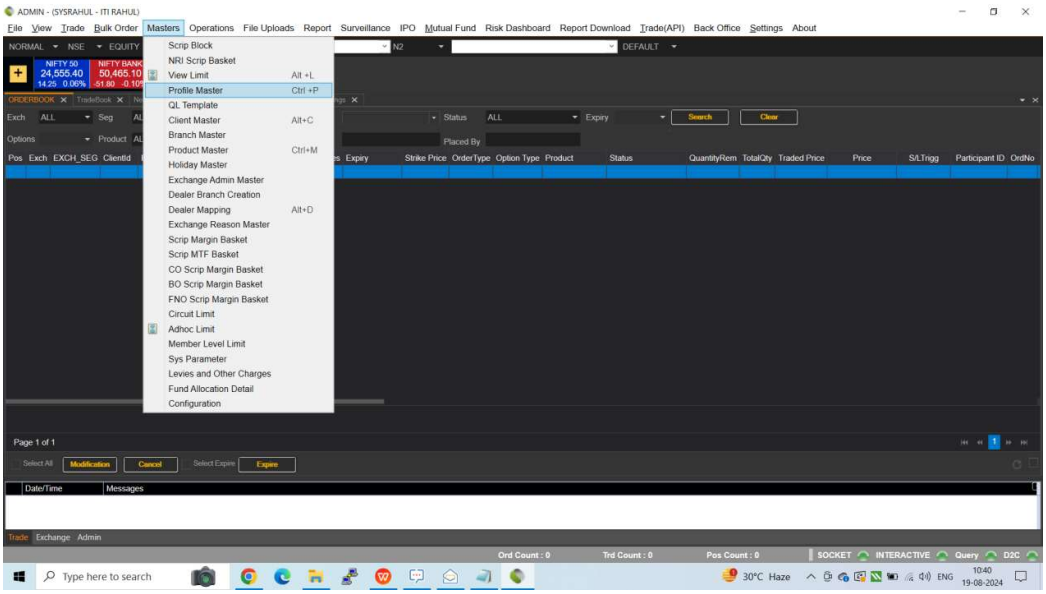
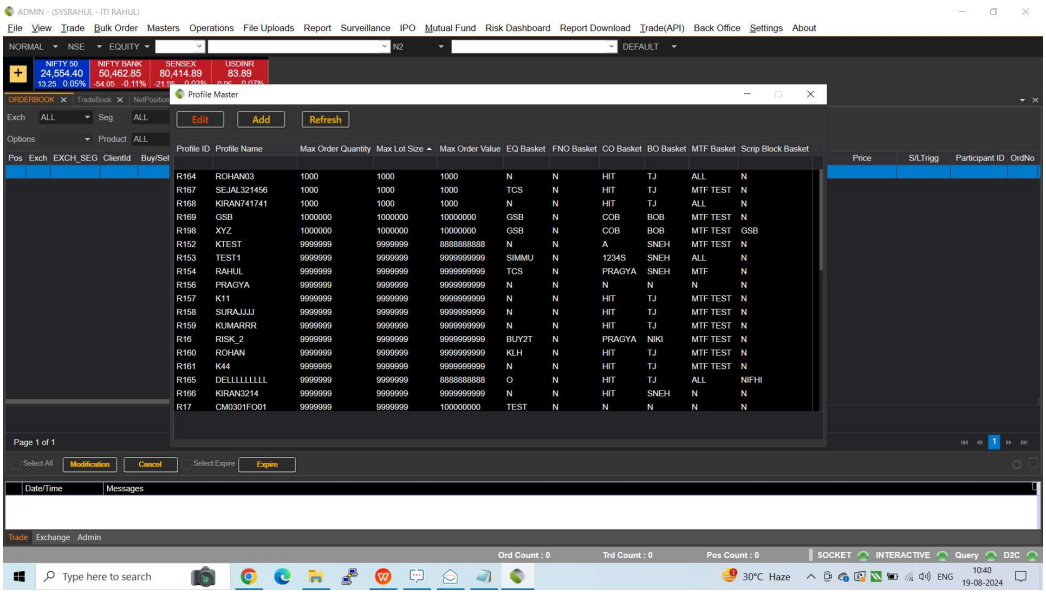
Profile Master

Profile Master

In Rupeeseed admin terminal member can set the rules as per their rms policy at profile level. A user can create n number of profile and can map to the set of clients. To create the profile and setting of rules in profile user needs to follow the steps given below.

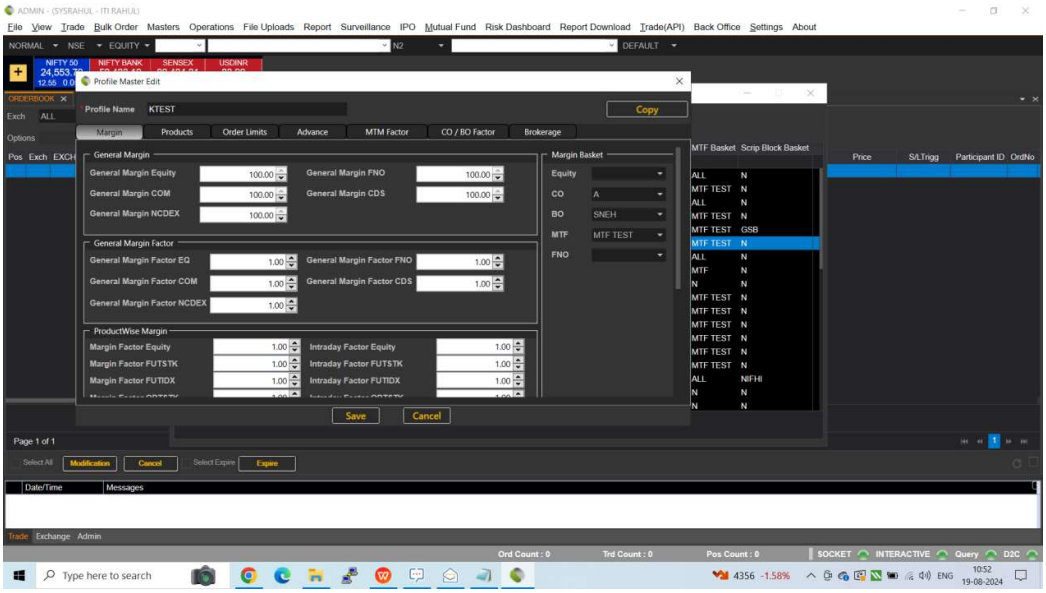
To open Profile Master, Login Admin --> Go to Masters --> Profile Master:



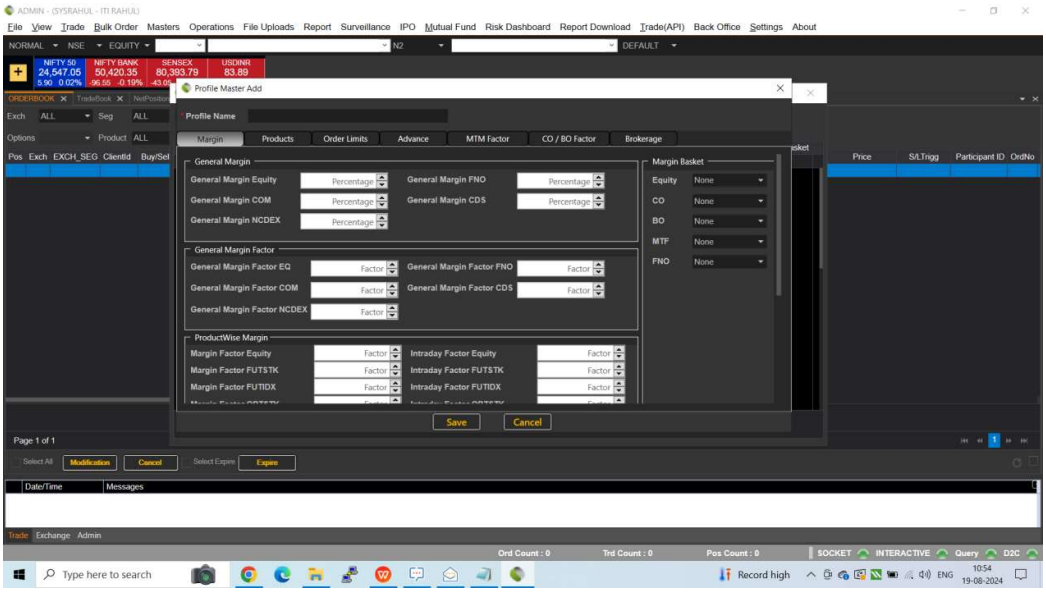


A window of profile master will open and in Profile Master three buttons are given:

- Edit: User can edit/update data in existing Profile using Edit button.



- Add: User can add/create new profile using the add button. After clicking on the ADD button a window will open. The user needs to enter the profile name and fill in all the details in the fields given in the profile window. Profile widow consists of Six tabs of predefined rules. User needs to check/un-check the rules as per their RMS policy and needs to enter the values in respective fields.



- Refresh: The User can refresh the data to view the latest updates by clicking on the refresh button.

There Tabs and the predefine rules given in Profile Master elaborated below: -

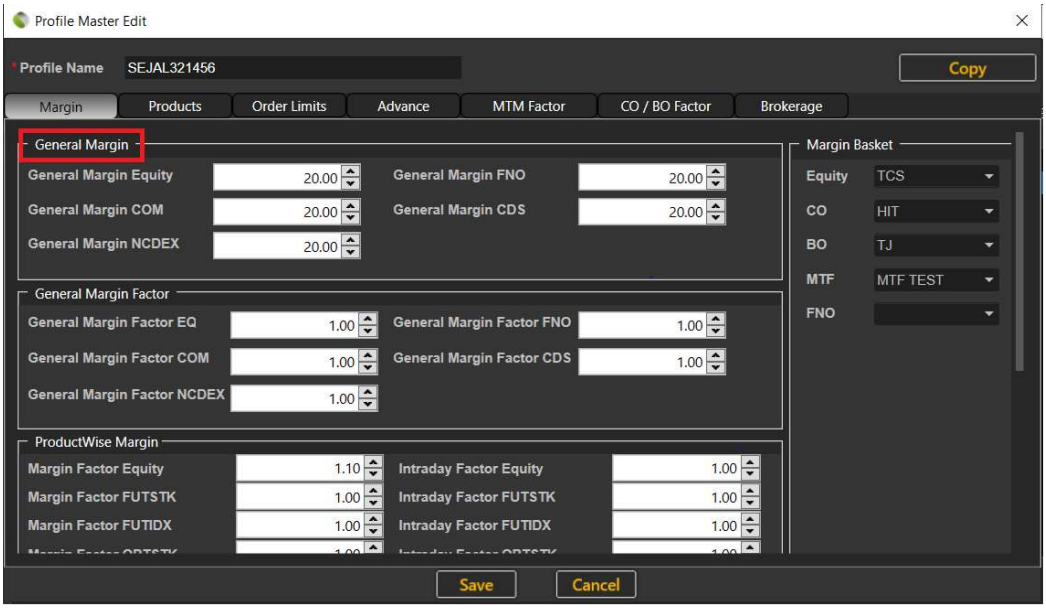
- Margin
- Products
- Order Limits
- Advance

- MTM Factor
- CO/BO Factor
- Brokerage

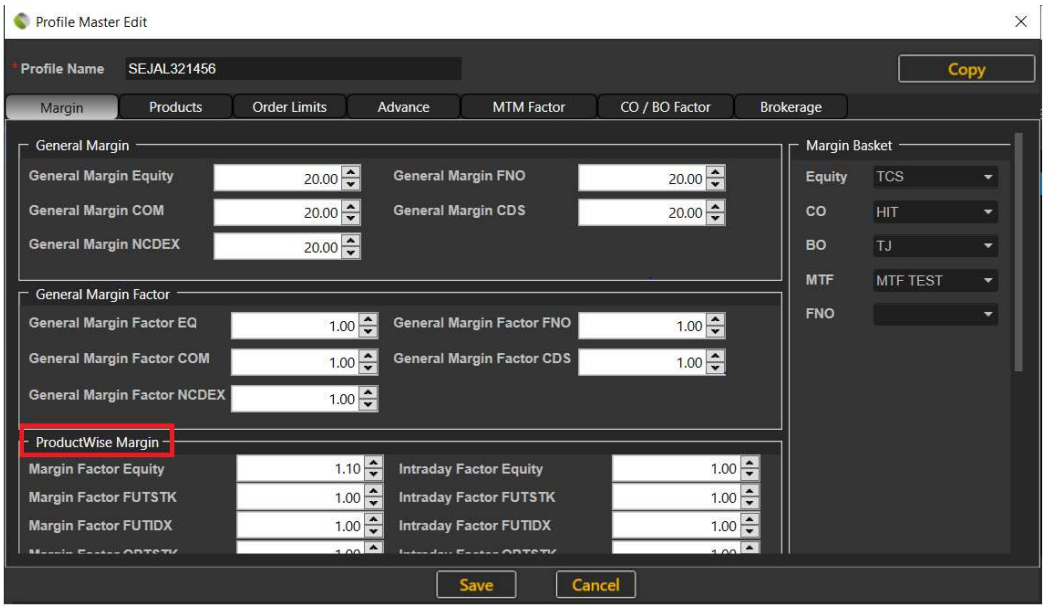
Margin Tab: In the Margin tab of profile master window there are four different groups fields are given to different type of functioning.

General Margin,
General Margin Factor,
Product Wise Factor,
Margin Basket,

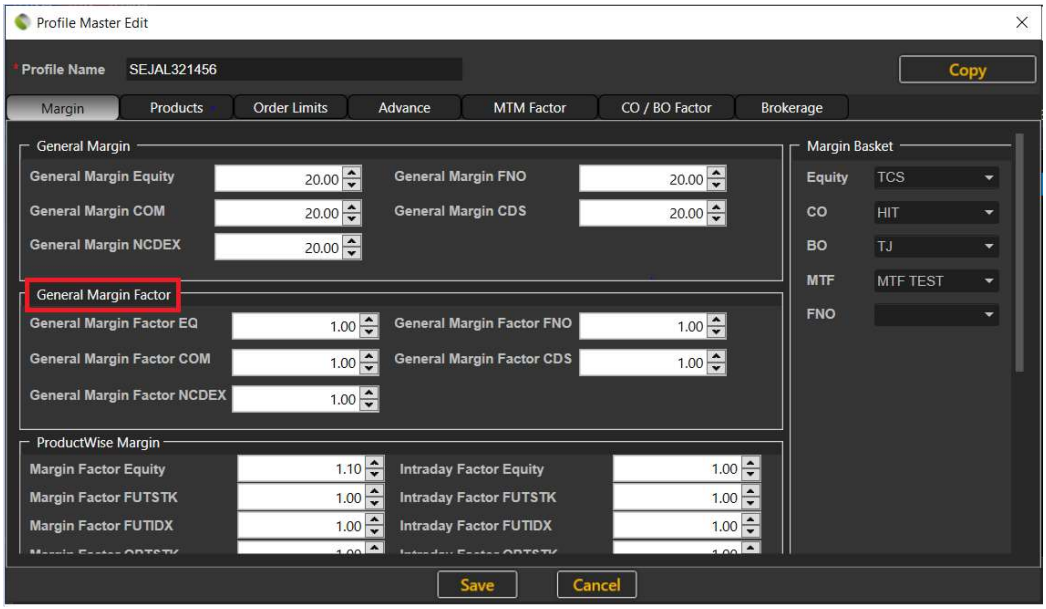
- General Margin: In Rupeeseed system margin calculated as per span and exposure file from exchange for derivative contract and as per var elm file from for equity. We need to give a value in General Margin group segment wise in percentage.
  If in any case any contract of scrip is not found in span exposure or var elm file, then margin will be charged according to this percentage.



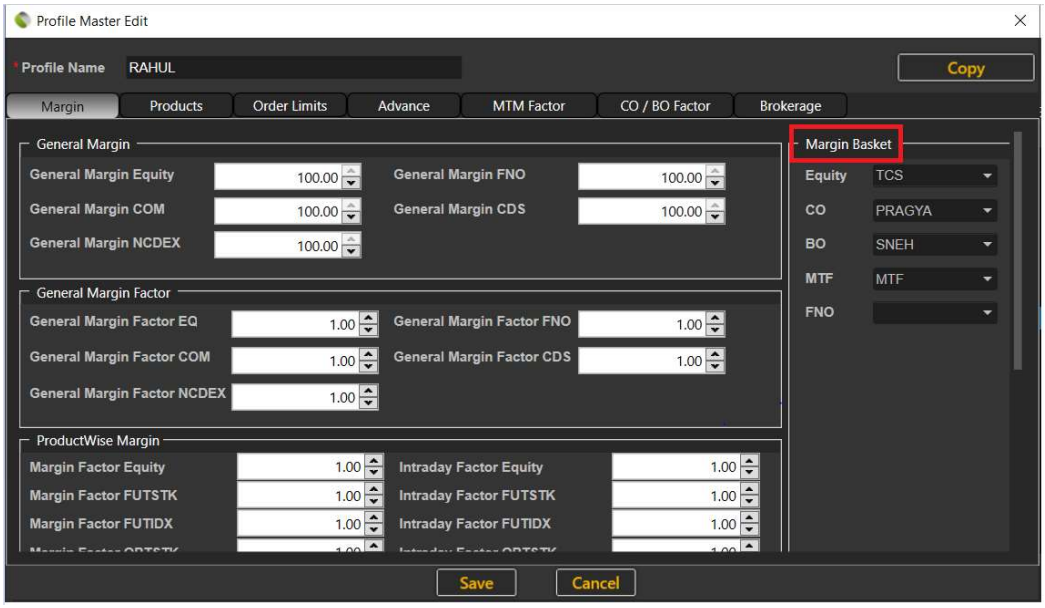
- Product Wise Margin: User needs to set a factor of product wise margin in product wise Margin group. If any user wants to increase or decrease margin requirements/wants to give leverage to their clients, then user needs to increase or decrease margin factor accordingly. If user wants to give leverage to their clients, then he needs to decrease margin factor accordingly. If user wants to increase margin, then he needs to increase margin factor accordingly.



- General Margin Factor: In case there is no factor found in product wise Margin group then system will refer factor defined in General Margin Factor. User needs to defined values in Factor segment wiser only.

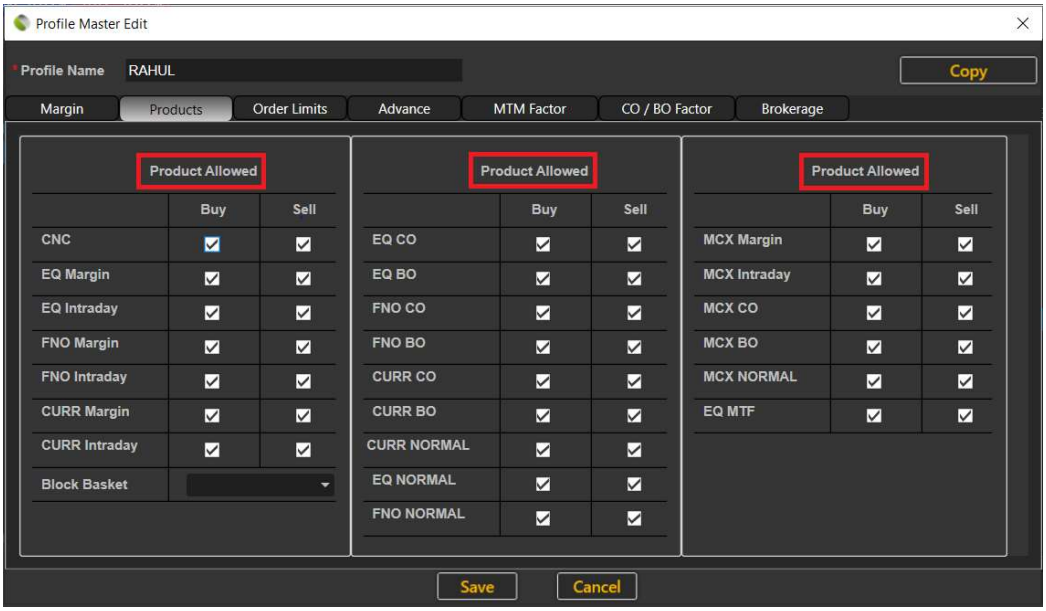


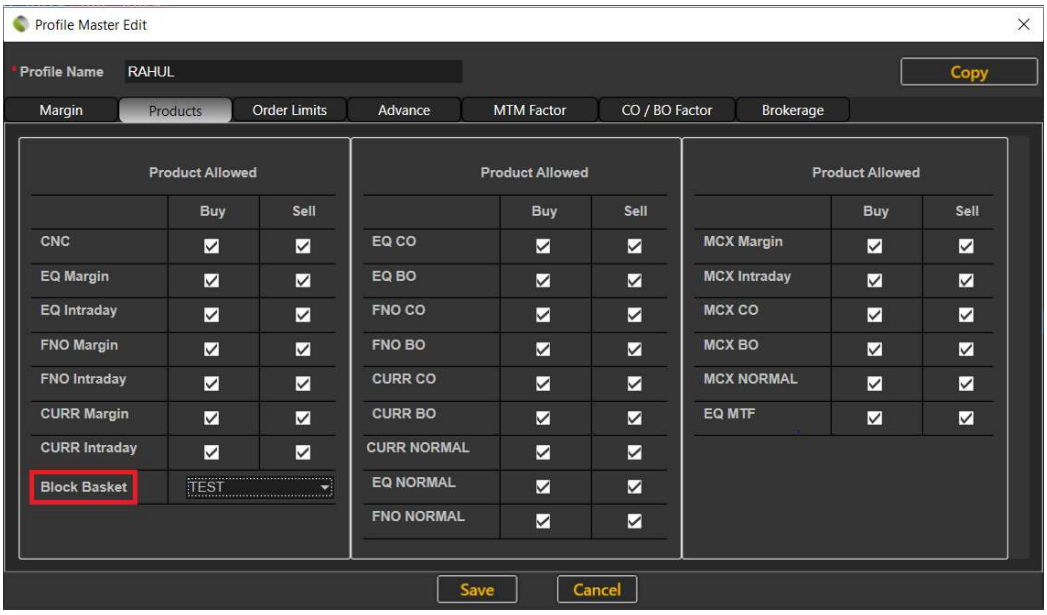
- Margin Basket: User can create margin basket as per their risk policy. For equity margin basket can be created from scrip margin basket window and for CO and BO margin basket can be created from CO and BO basket option and for FNO margin basket can be created from FNO scrip margin basket and for MTF margin basket can be created from MTF basket.
  And all these pre created basket needs to be mapped to the required profile in Margin Basket group given in Margin tab of profile master according to member rms requirements.



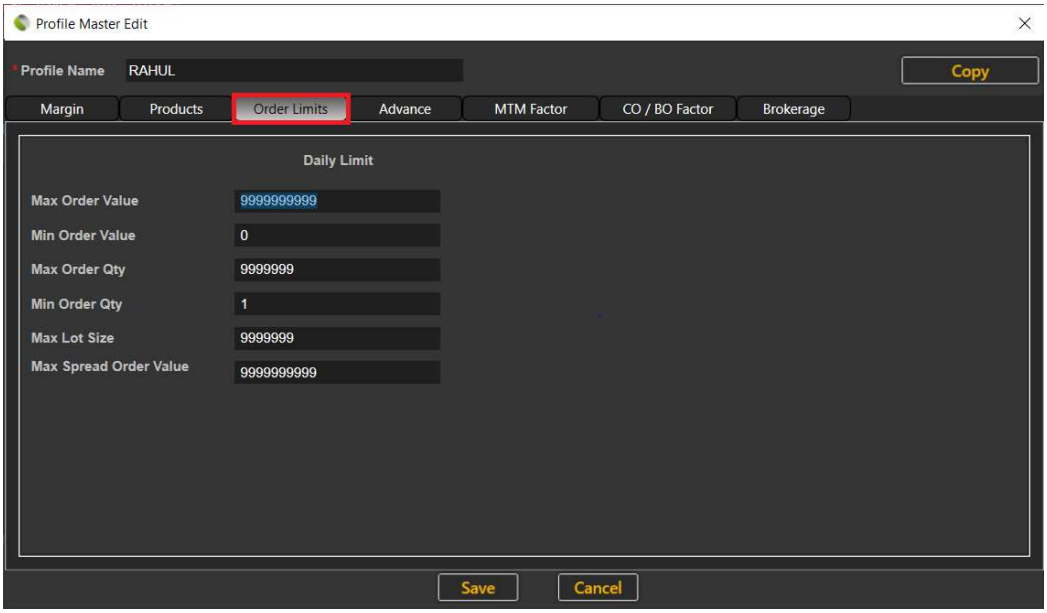
Products tab: - In the Products tab of profile master check/uncheck option are given for all the available product in OMS of Rupeeseed. User can enable disable the product at profile level as per member requirement.

Block Basket: - A block basket option is also given in the product tab of profile master. User can use this option to block scrip at profile level by map a basket which is created to block the scrip for trading from scrip block window. Order will not be allowed for all the scrip mapped in scrip block basket to this profile according to the condition given in basket.

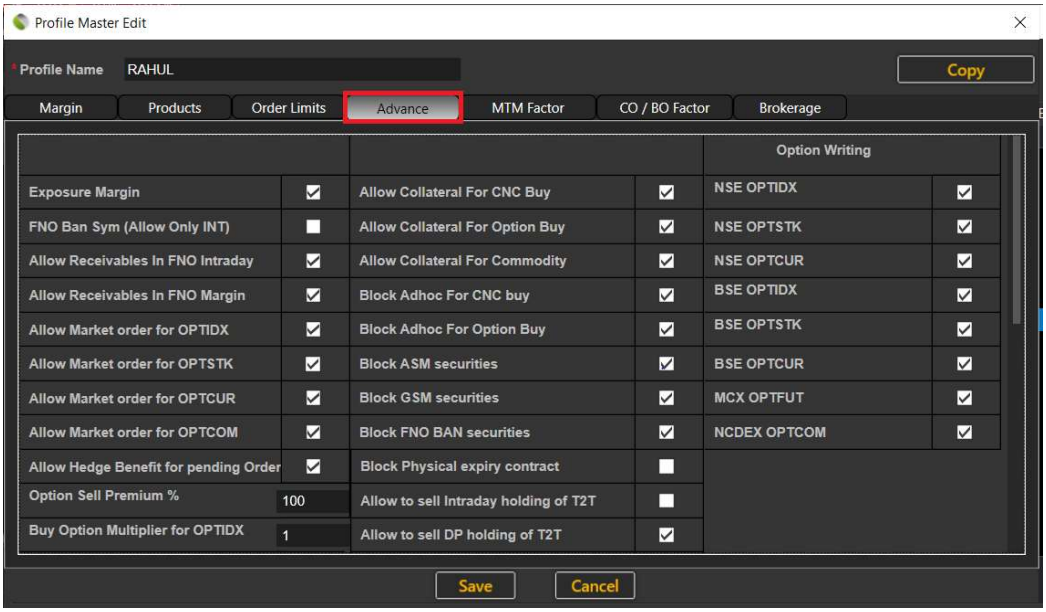




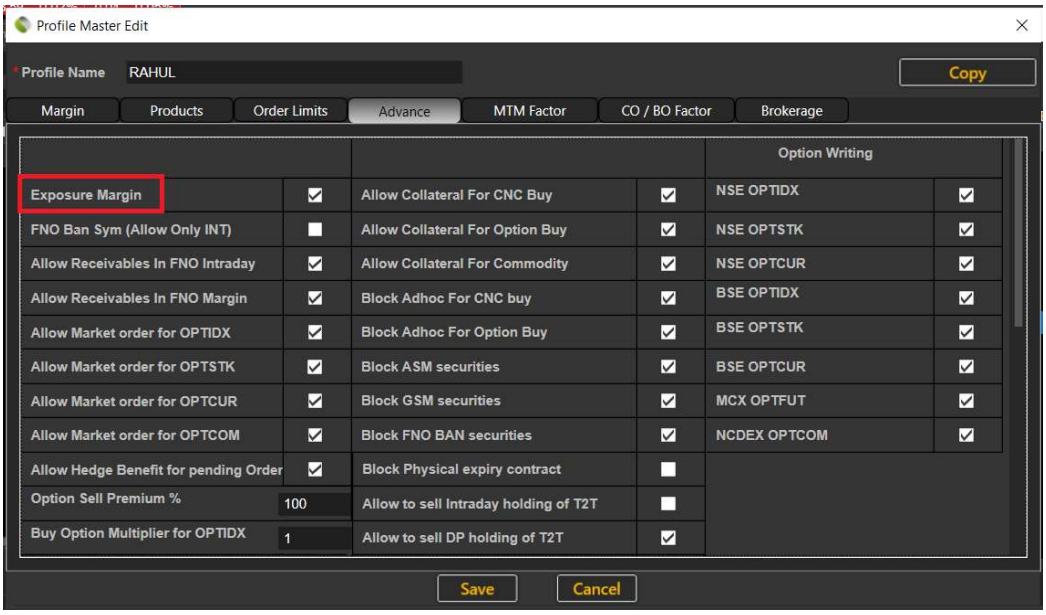
Order Limits tab: - In Order Limit we can define Single Order level restrictions at profile level. User needs to enter the value in given field like Max Order Value, Min Order Value, Max Order Qty, Min Order Qty, Max Lot Size, Max Spread Order Value as per member requirements to restrict at profile level.



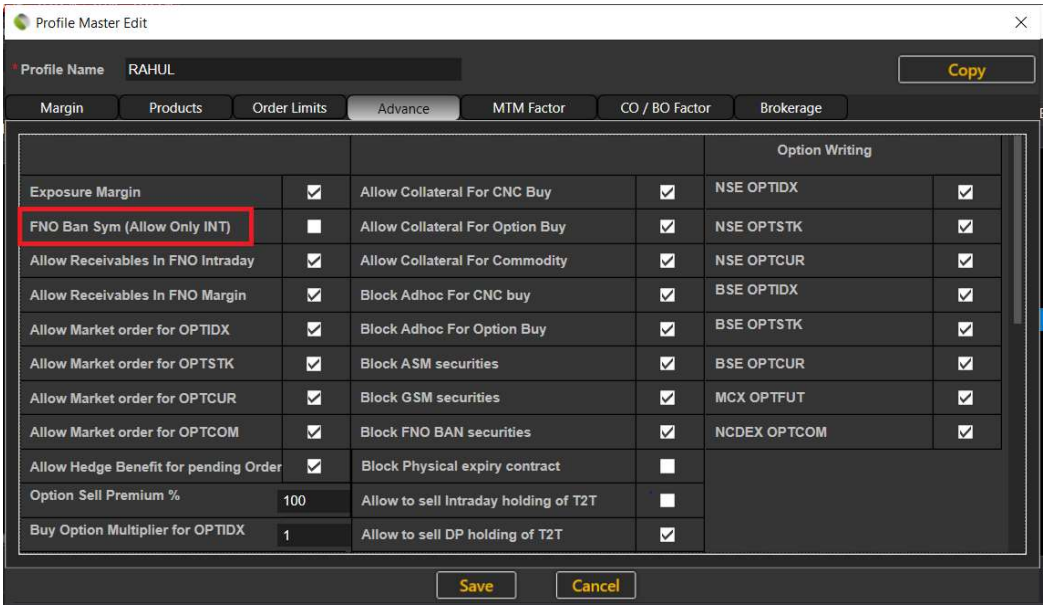
Advance tab: - Advance tab given in profile master consists of various checkboxes of RMS rules. Member user can apply these rules by ticking on the checkboxes against each rule as per member RMS policy.



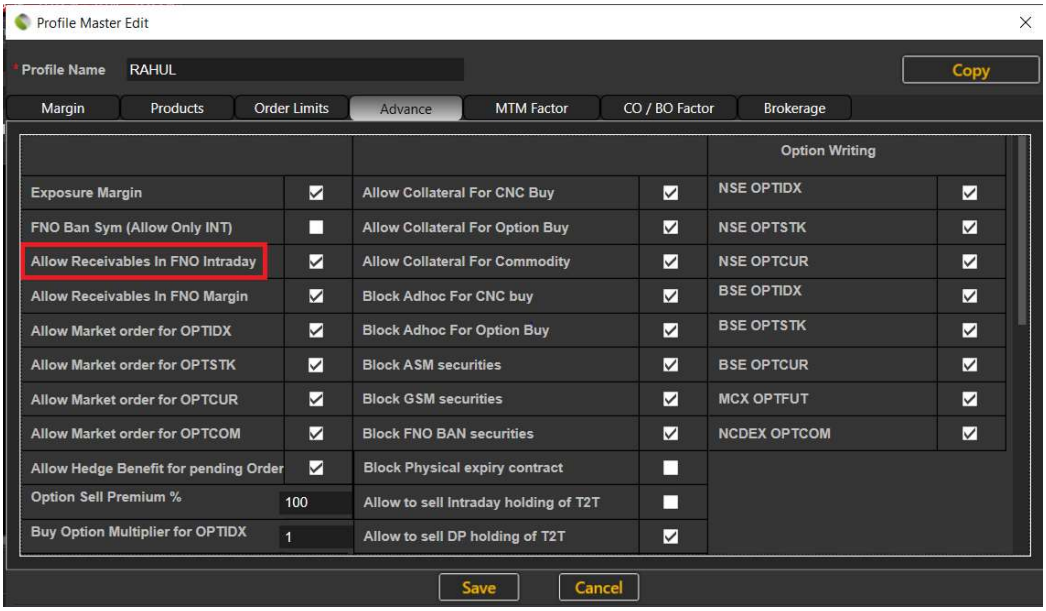
- Exposure Margin: Exposure Margin checkbox needs to tick to ensure that exposure margin will charge of all segment.



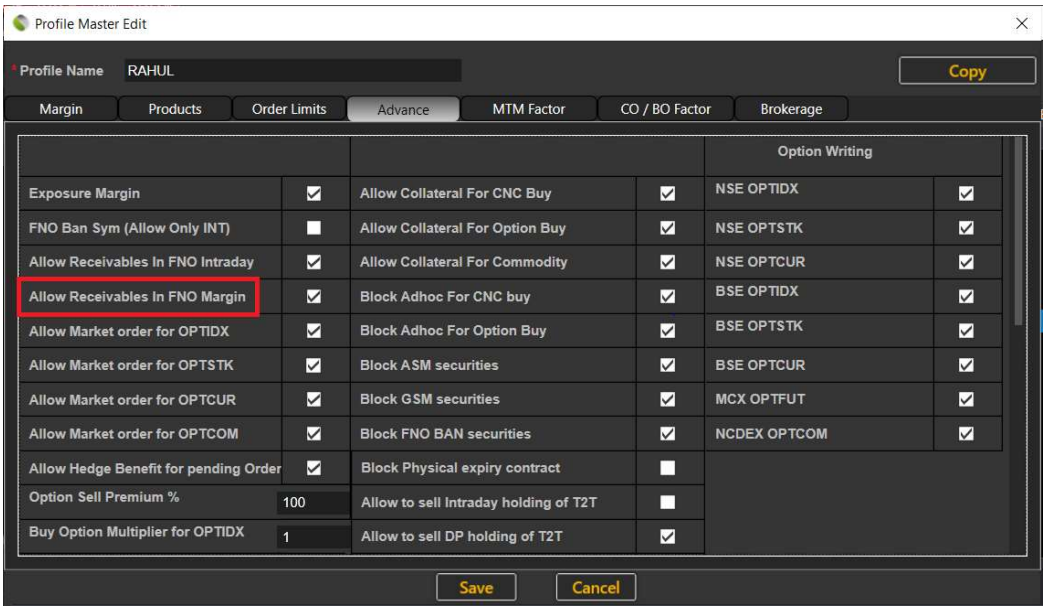
- FNO Ban Sym (Allow Only INT): - FNO Ban Sym (Allow Only INT) checkbox needs to untick if user don't want to allow client to trade in the scrip which are under FNO BAN.



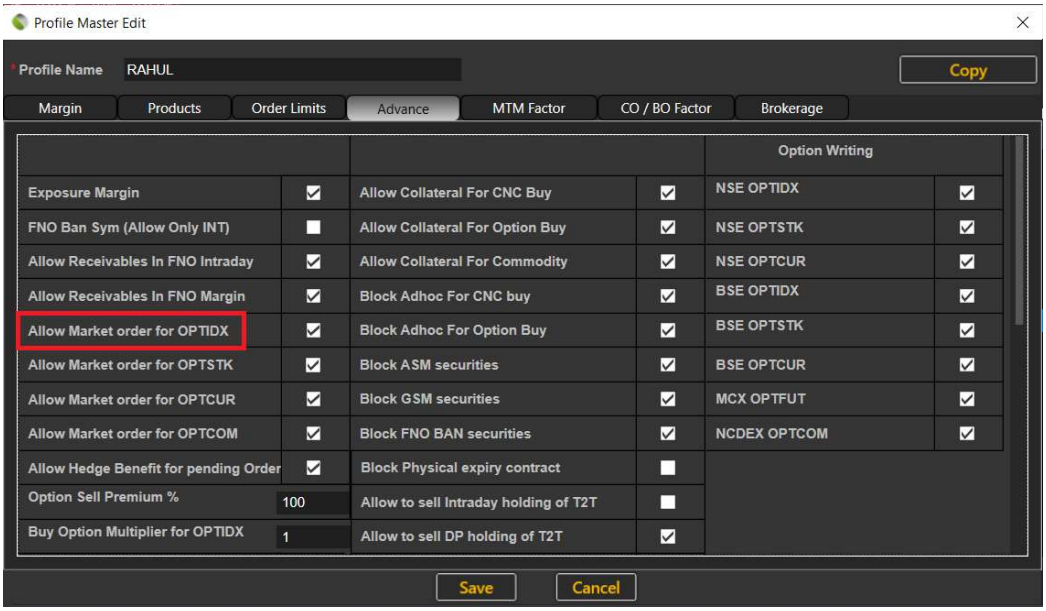
- Allow Receivables in FNO Intraday: User needs to tick this checkbox If member wants to trade in FNO in Intraday product against the CFS which is received against the holding sold by their clients.



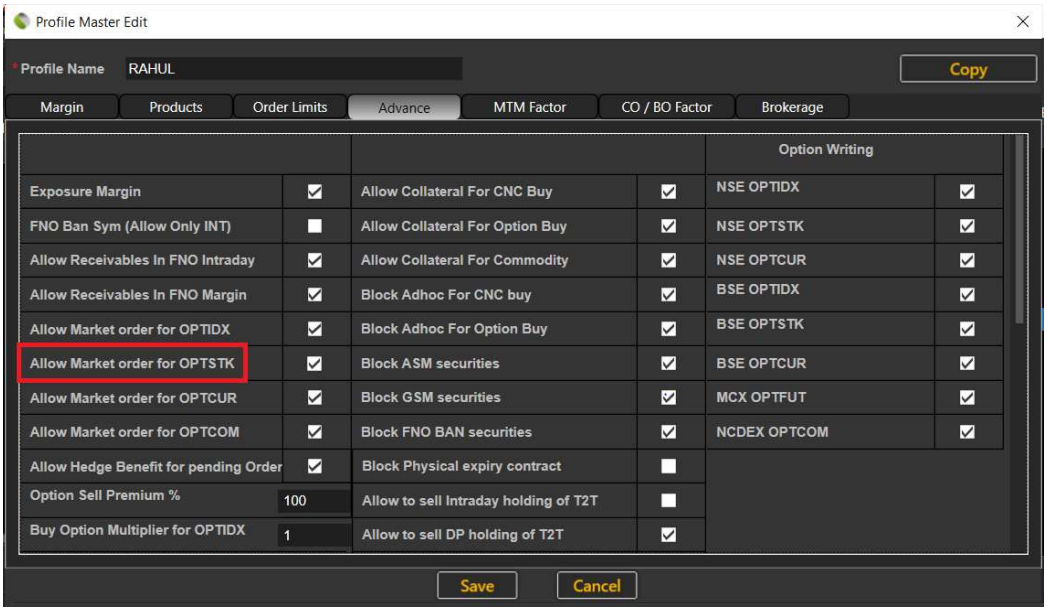
- Allow Receivables in FNO Margin: User needs to tick this checkbox If member wants to trade in FNO in Margin product against the CFS which is received against the holding sold by their clients.



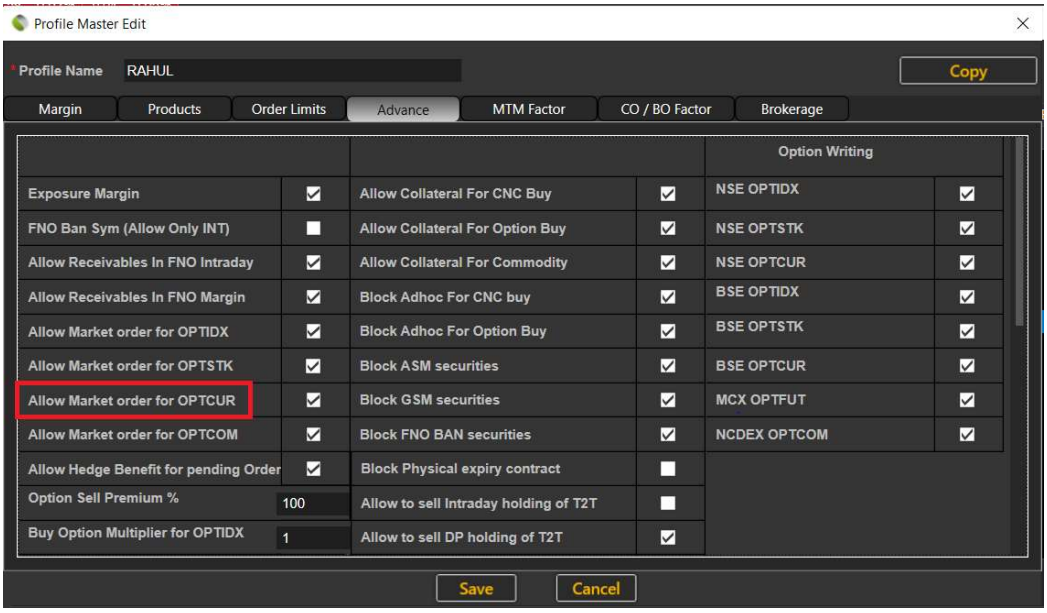
- Allow Market order for OPTIDX: - Needs to tick on this checkbox if user wants to allow to place market order in OPTIDX instrument to their clients.



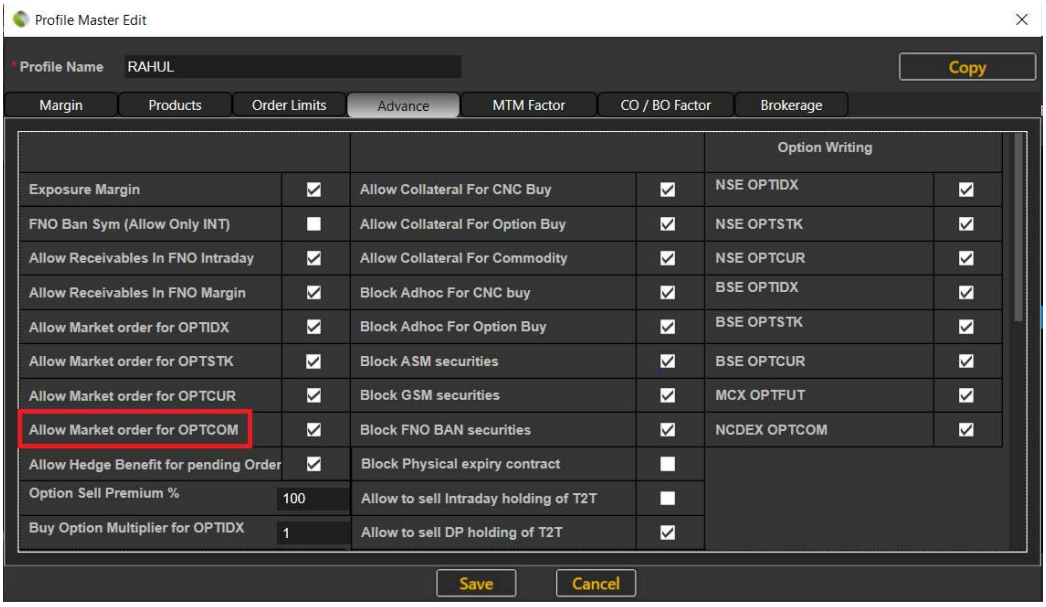
- Allow Market order for OPTSTK: - Needs to tick on this checkbox if user wants to allow to place market order in OPTSTK instrument to their clients.



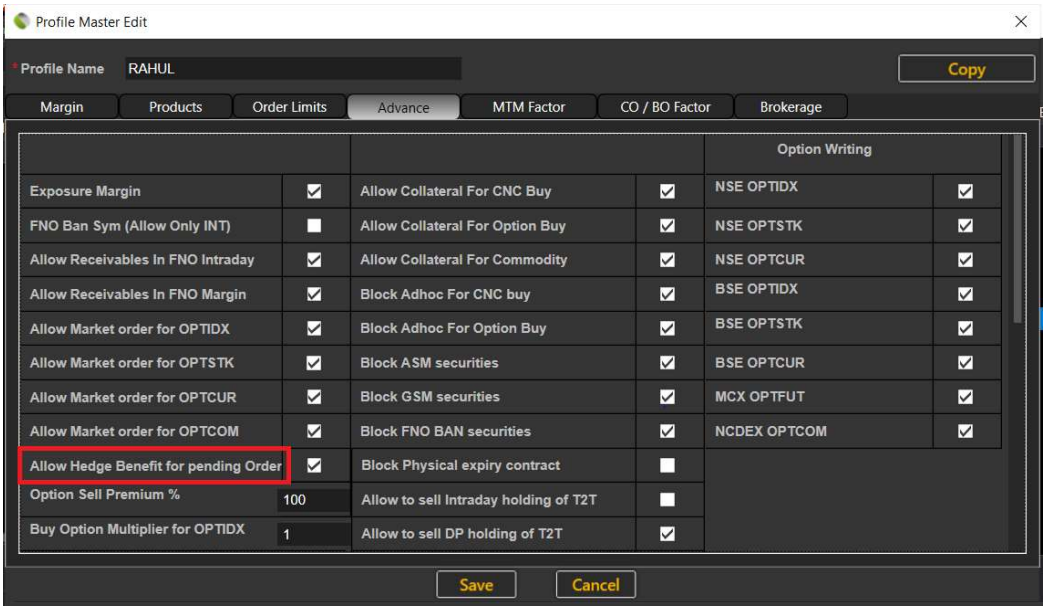
- Allow Market order for OPTCUR: - Needs to tick on this checkbox if user wants to allow to place market order in OPTCUR instrument to their clients.



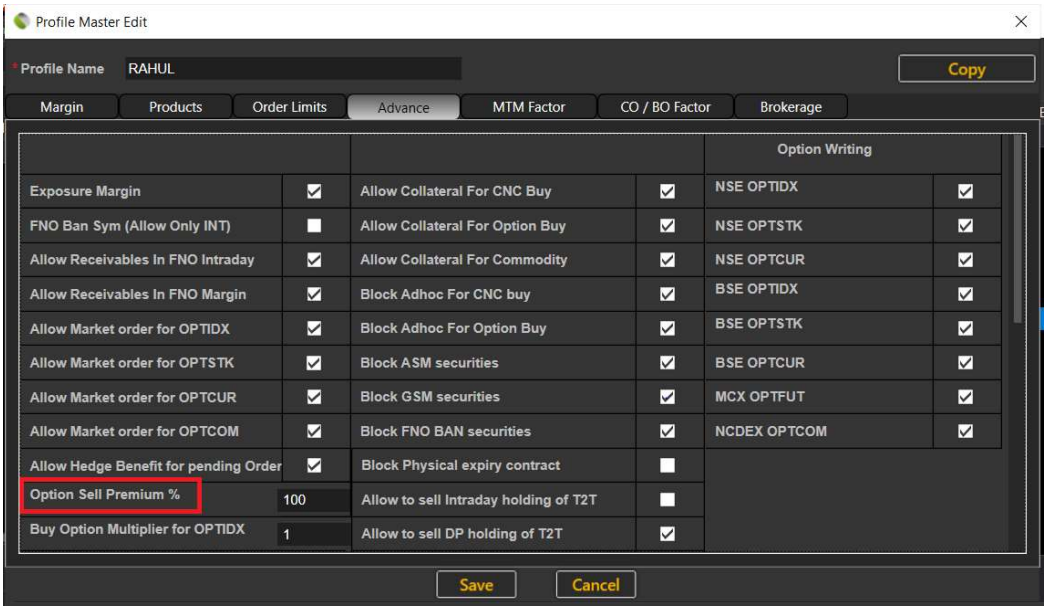
- Allow Market order for OPTCOM: - Needs to tick on this checkbox if user wants to allow to place market order in OPTCOM instrument to their clients.



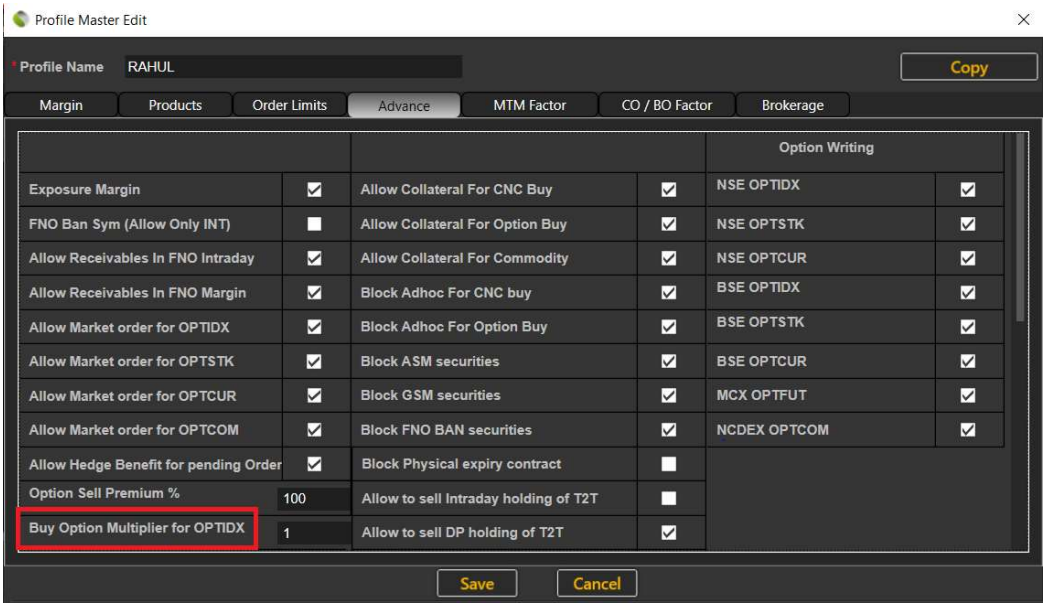
- Allow Hedge Benefit for Pending Order: - This rules if checkbox is tick allows the clients to place the order for creating spread/hedge without asking the extra margin if one leg is traded.



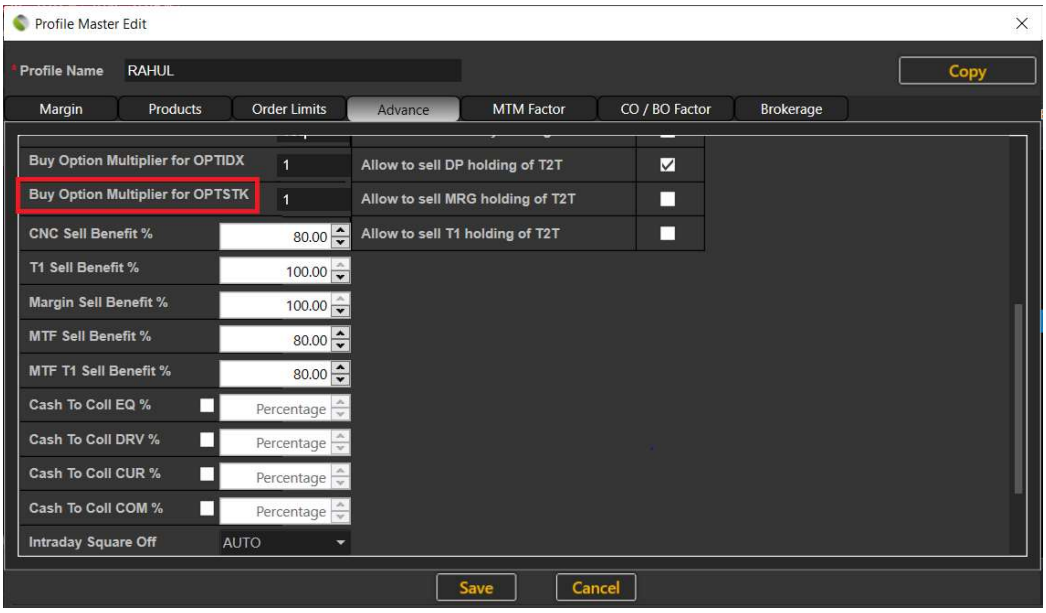
- Option Sell Premium %: - User needs to enter a value in terms of percentage, which means how much percentage of option sell premium received can be utilized to buy option in intraday product by the clients mapped in the profile.



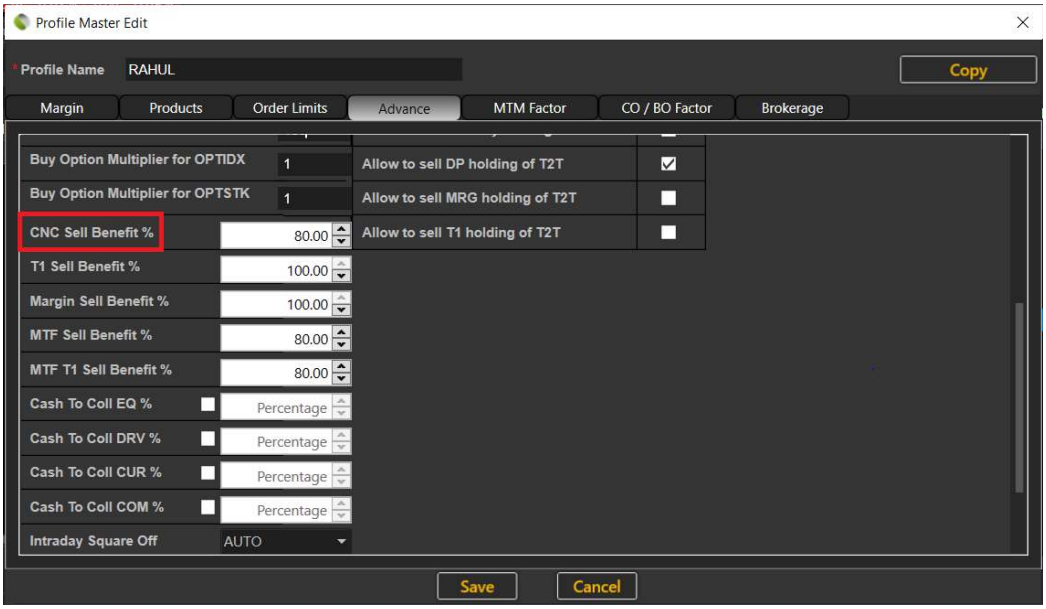
- Buy Option Multiplier for OPTIDX: - User needs to define the factor in the given field to provide the leverage for option in intraday product. If the user set factor as 1 then client can use his available ledge balance to buy an option in intraday product. If the user wants to give leverage to client, then user needs to decrease factor from 1 accordingly.



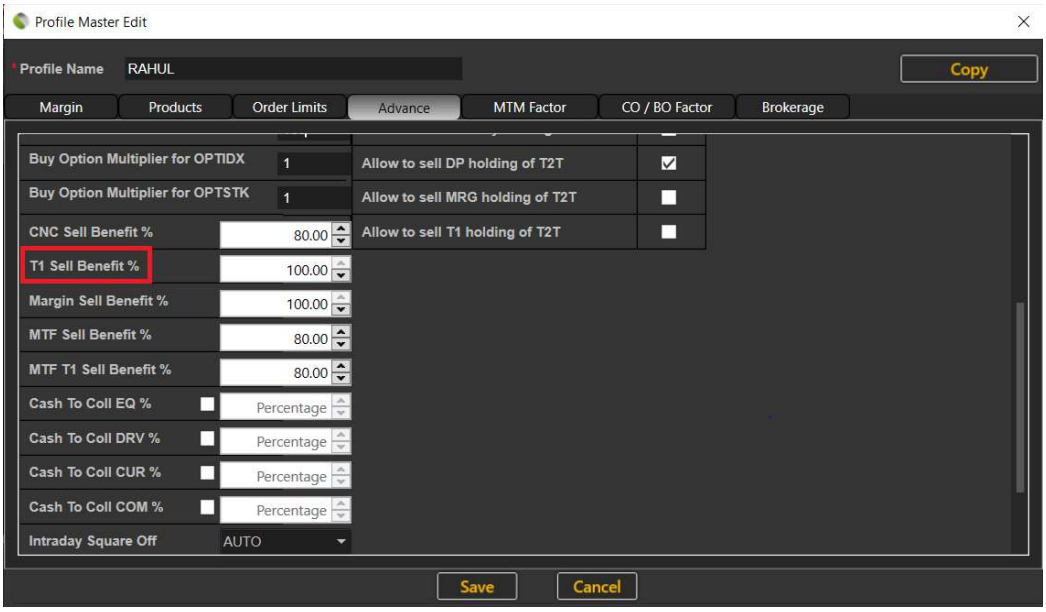
- Buy Option Multiplier for OPTSTK: - User needs to define the factor in the given field to provide the leverage for option in intraday product. If the user set factor as 1 then client can use his available ledge balance to buy an option in intraday product. If the user wants to give leverage to client, then user needs to decrease factor from 1 accordingly.



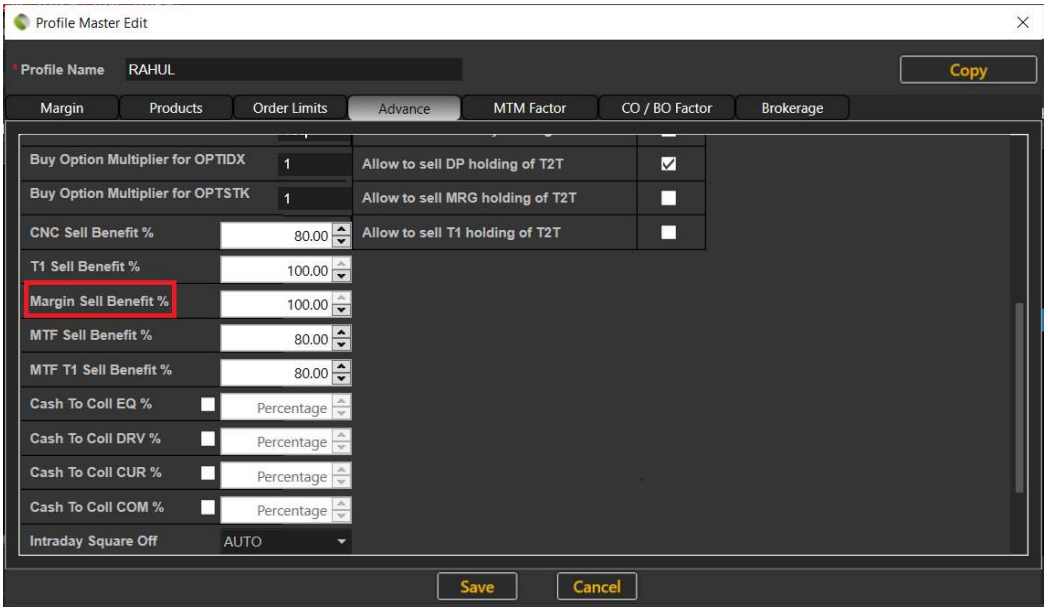
- CNC Sell Benefit %: - User needs to enter a value in terms of percentage of the CFS according to their RMS policy in this field. CNC Sell Benefit % will provide the value of CFS according to given % against the holding sold by client from DP source. The total value according to the given percentage of CFS of holding sold in this field will show in Receivable column in view limit window.



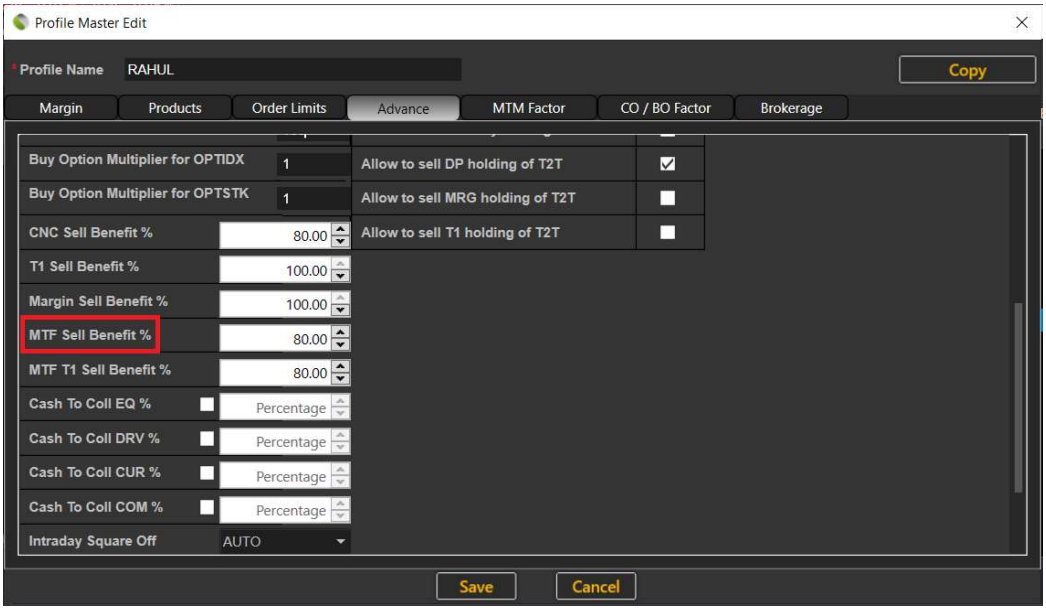
- T1 Sell Benefit %: - User needs to enter a value in terms of percentage of the CFS according to their RMS policy in this field. T1 Sell Benefit % will provide the value of CFS according to the given % against the holding sold by client from T1 source. The total value according to the given percentage of CFS of holding sold in this field will show in Receivable column in view limit window.



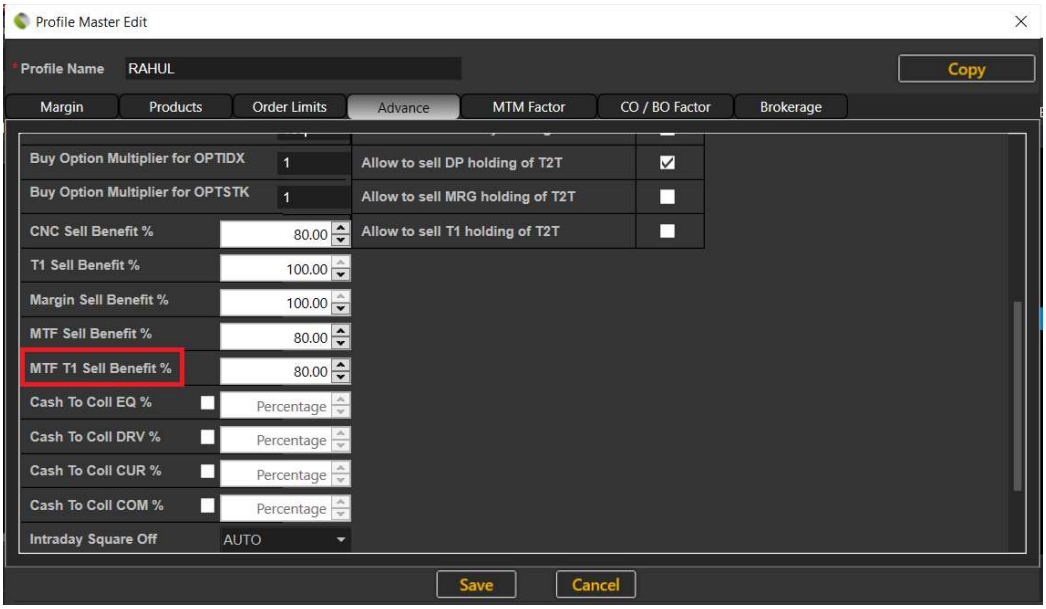
- Margin Sell Benefit %: - User needs to enter a value in terms of percentage of the CFS according to their RMS policy in this field. Margin Sell Benefit % will provide the value of CFS according to given % against the holding sold by client from MRG source. The total value according to the given percentage of CFS of holding sold in this field will show in Receivable column in view limit window.



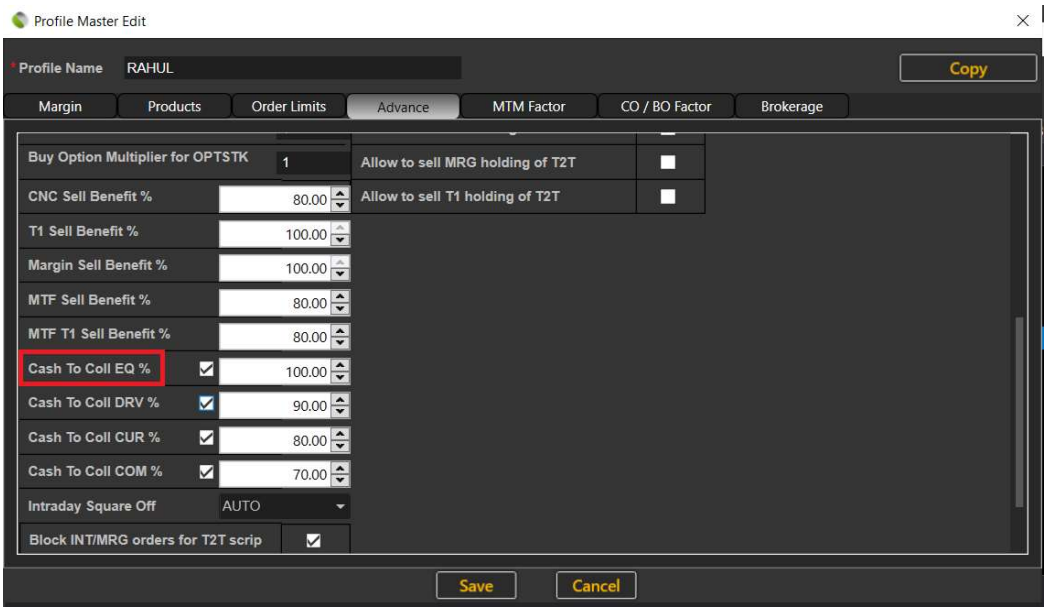
- MTF Sell Benefit %: - User needs to enter a value in terms of percentage of the CFS according to their RMS policy in this field. MTF Sell Benefit % will provide the value of CFS according to given % against the holding sold by client from MTF source. The total value according to the given percentage of CFS of holding sold in this field will show in Receivable column in view limit window.



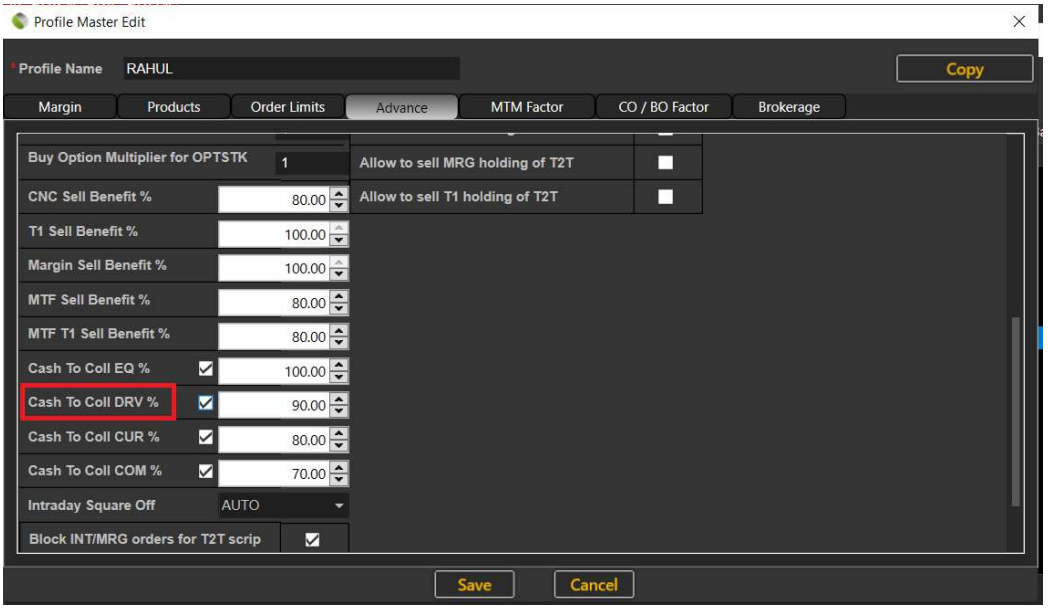
- MTF T1 Sell Benefit %: - User needs to enter a value in terms of percentage of the CFS according to their RMS policy in this field. MTF T1 Sell Benefit % will provide the value of CFS according to the given % against the holding sold by client from MTFT source. The total value according to the given percentage of CFS of holding sold in this field will show in Receivable column in view limit window.



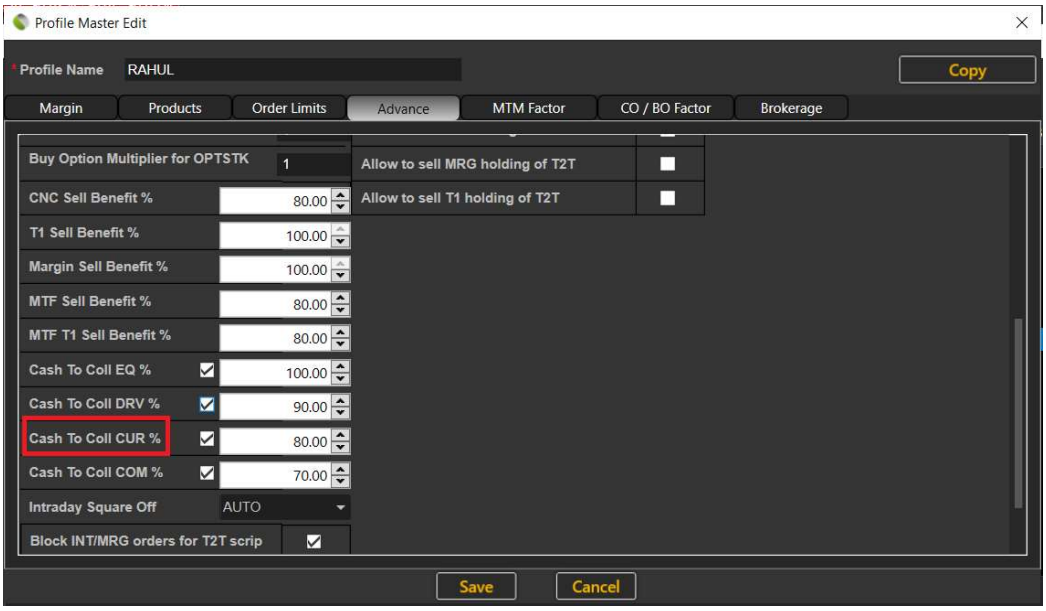
- Cash To Coll EQ %: - This facility is used to facilitate the trading member of 50-50 cash to collateral mechanism segment wise to their clients. If the trading member wants to allow to the client to utilize their noncash collateral only to the extent that the cash ledger balance in equity, then he needs to tick the checkbox Cash to Coll EQ% and needs to enter the value in given field as 100.



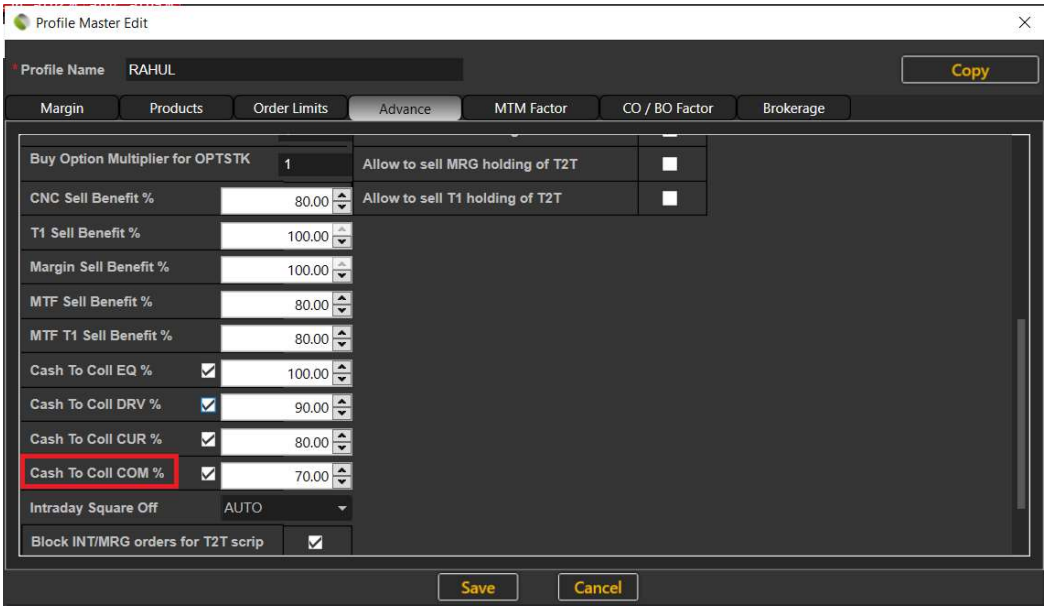
- Cash To Coll DRV %: - This facility is used to facilitate the trading member of 50-50 cash to collateral mechanism segment wise to their clients. If the trading member wants to allow to the client to utilize their noncash collateral only to the extent that the cash ledger balance in derivative segment, then he needs to tick the checkbox Cash to Coll DRV% and needs to enter the value in given field as 100.



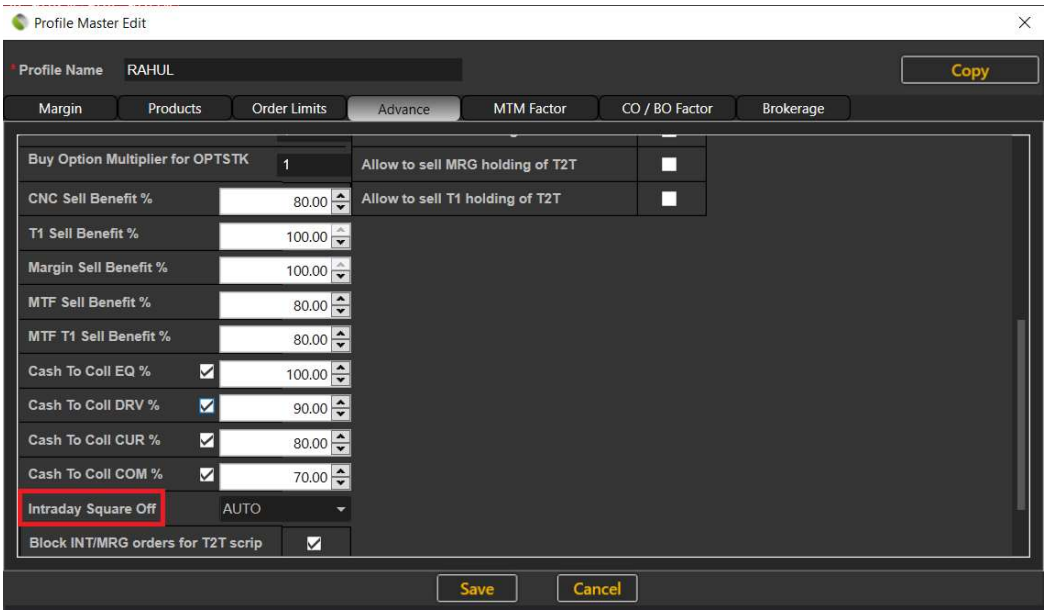
- Cash To Coll CUR %: - This facility is used to facilitate the trading member of 50-50 cash to collateral mechanism segment-wise to their clients. If the trading member wants to allow to the client to utilize their noncash collateral only to the extent that the cash ledger balance in currency segment, then he needs to tick the checkbox Cash to Coll CUR% and needs to enter the value in given field as 100.



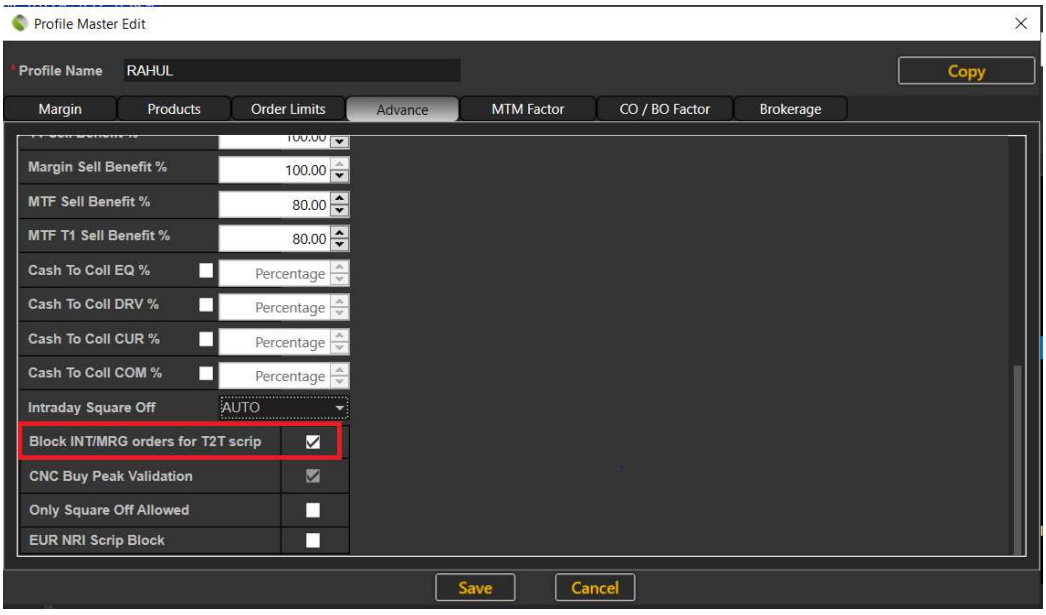
- Cash To Coll COM %: - This facility is used to facilitate the trading member of 50-50 cash to collateral mechanism segment-wise to their clients. If the trading member wants to allow to the client to utilize their noncash collateral only to the extent that the cash ledger balance in currency segment, then he needs to tick the checkbox Cash to Coll COM% and needs to enter the value in given field as 100.



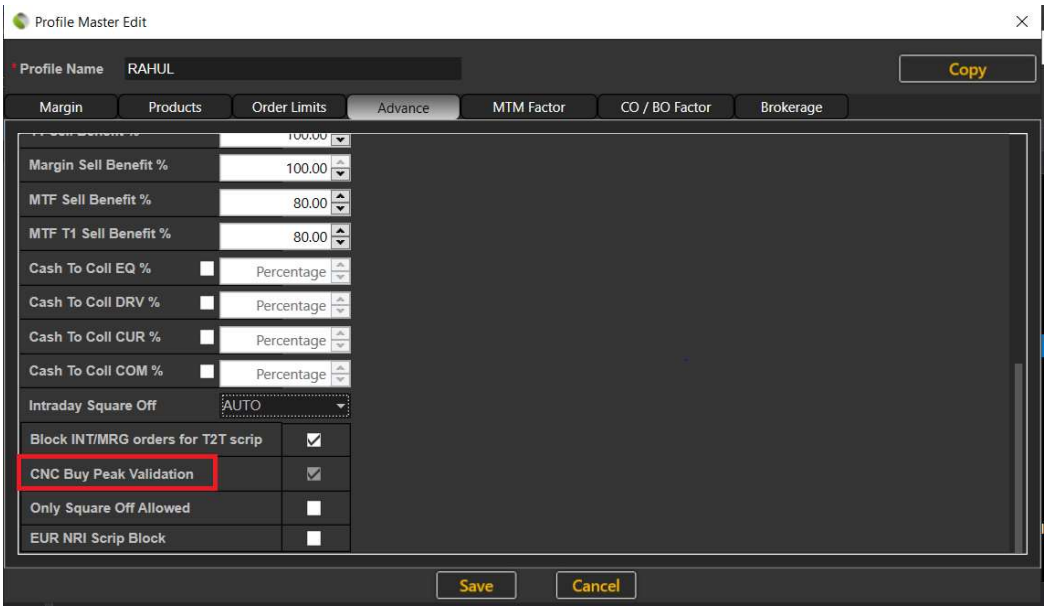
- Intraday Square Off: - User needs to select this rule as Auto/Manual. This rule decides whether the open position of Intraday product should be squared off or not of the set of clients mapped in respective profile as per setting the rules in Sys parameter, when the Intraday square off functionality is run.



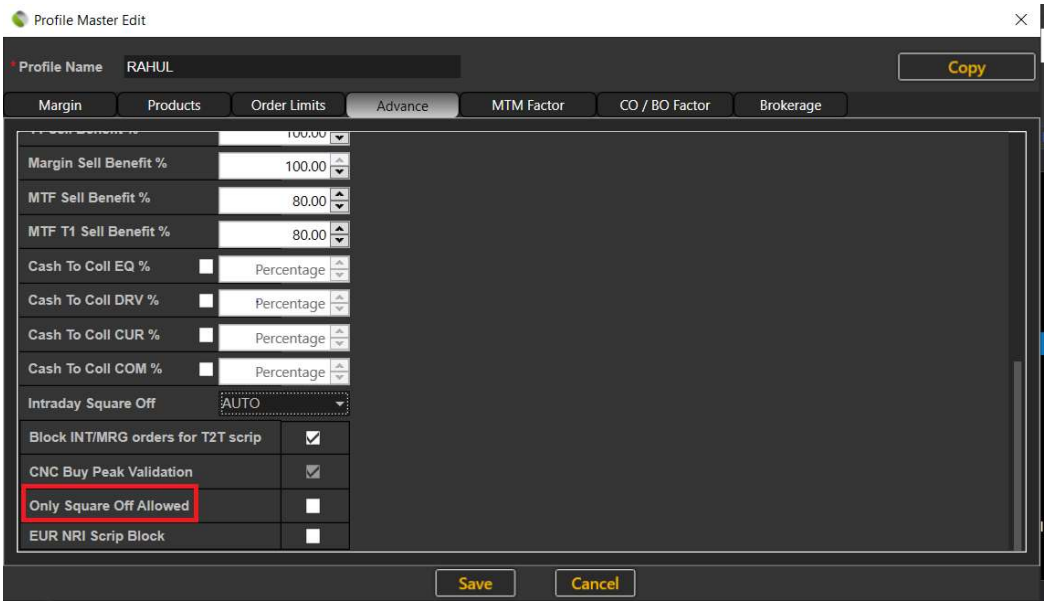
- Block INT/MRG orders for T2T scrip: - If this checkbox is ticked, then the order of T2T scrip will not be allowed to be placed in Intraday and Margin product.



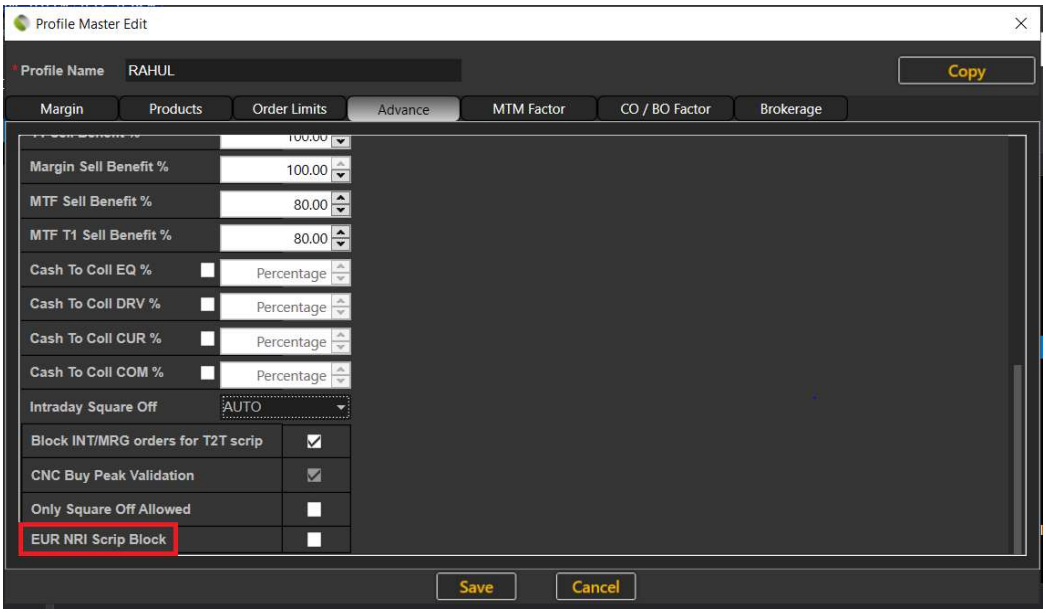
- CNC Buy Peak Validation: -This checkbox needs to be ticked compulsorily. When a client tries to buy back the stock sold on the same day then the system will check the availability of ledger balance which should meet with the peak margin requirements. If it does not meet the peak margin requirements then buying back of stock will not be allowed and order will be rejected with the clear rejection of peak margin requirements.



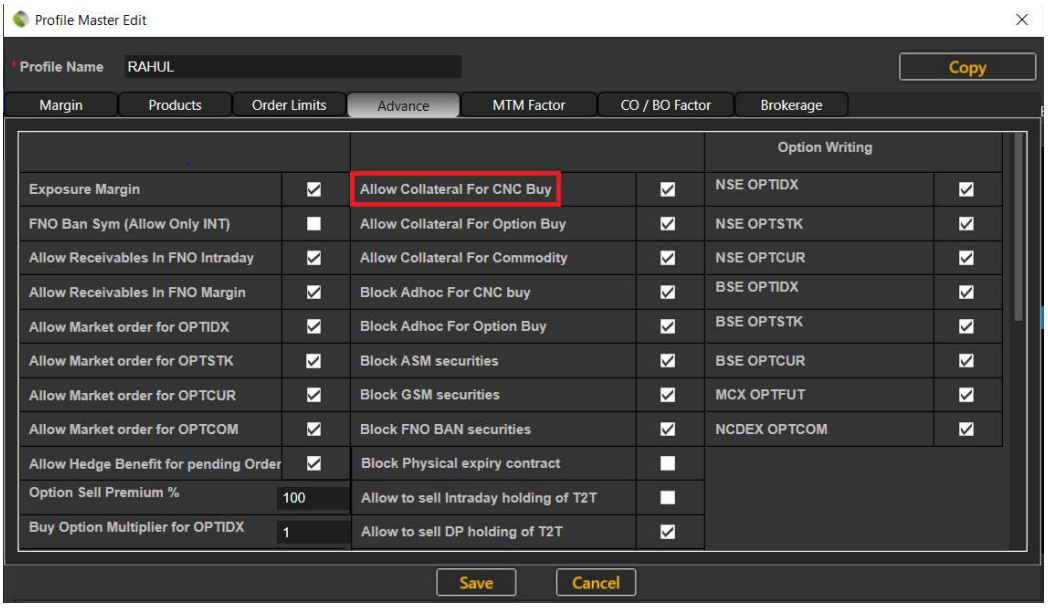
- Only Square Off Allowed: - If the member wants to block fresh orders placement & only wants to allow Square off order against the open position of the set of clients mapped in respective profile then this checkbox should be ticked.



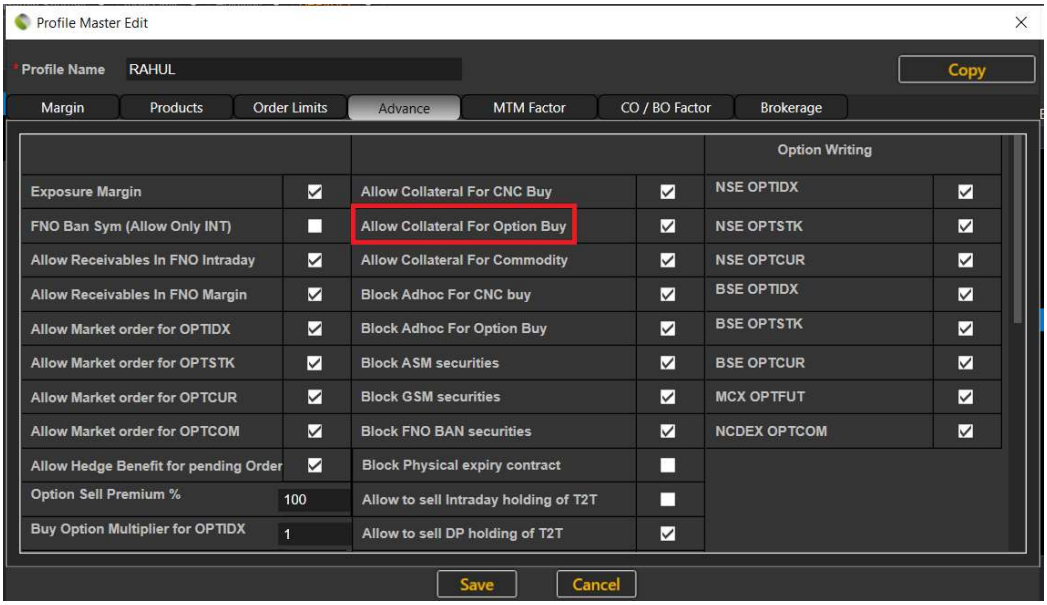
- EUR NRI Scrip Block: - This checkbox is used to block the securities for trading for the European NRI client as per RBI & SEBI regulations for allowable securities for trading. If checkbox is tick then it will be blocked the order placement for the securities by default which is not allowed to trade for European NRI clients mapped in respective profile according to RBI & SEBI regulations.



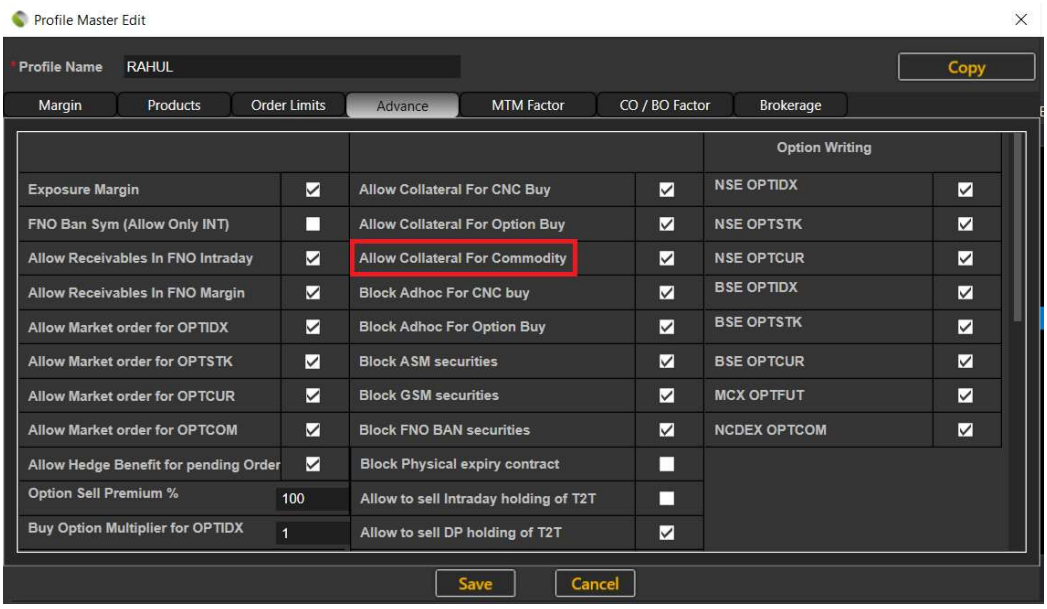
➢ Allow Collateral for CNC Buy: - Needs to tick this checkbox if member want to allow to trade in CNC Product on the non-cash collateral for the set of clients of respective profile.



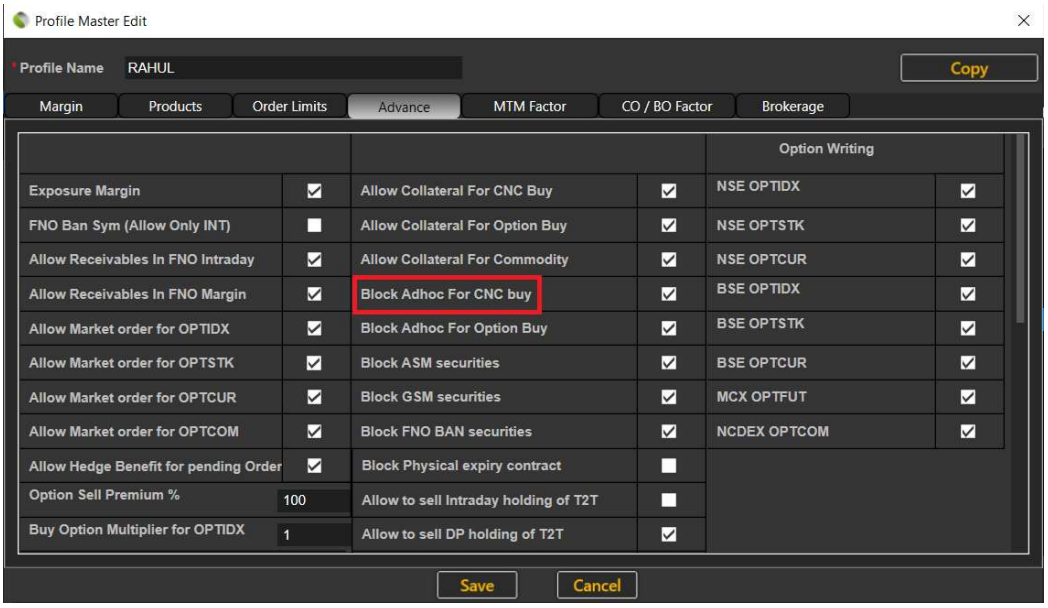
➢ Allow Collateral For Option Buy: - Needs to tick this checkbox if member want to allow to buy the options on the non-cash collateral for the set of clients of respective profile.



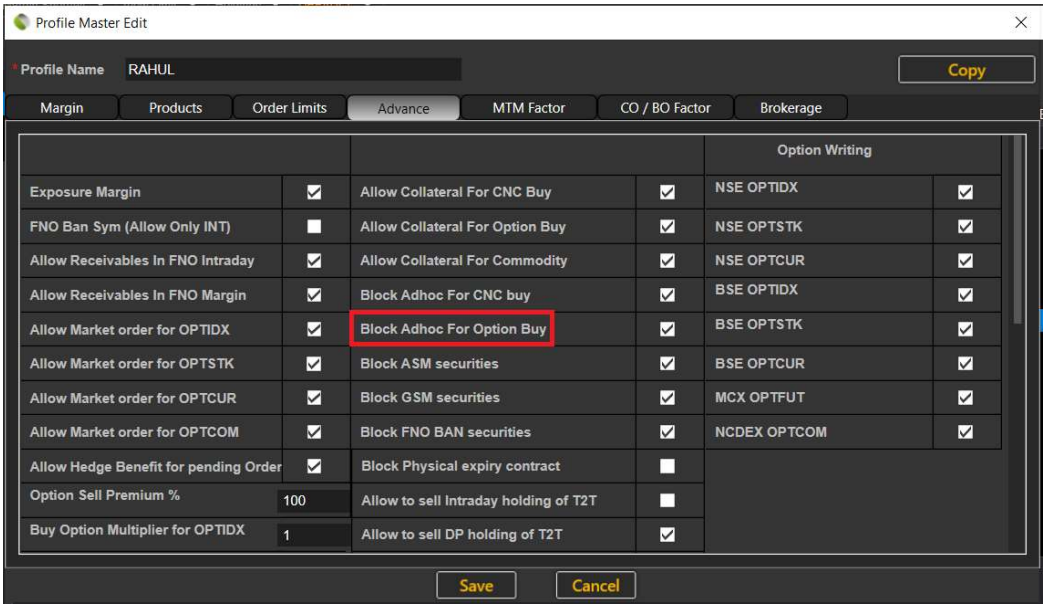
➢ Allow Collateral For Commodity: - Needs to tick this checkbox if member want to allow to in commodity segment on the non-cash collateral for the set of clients of respective profile.



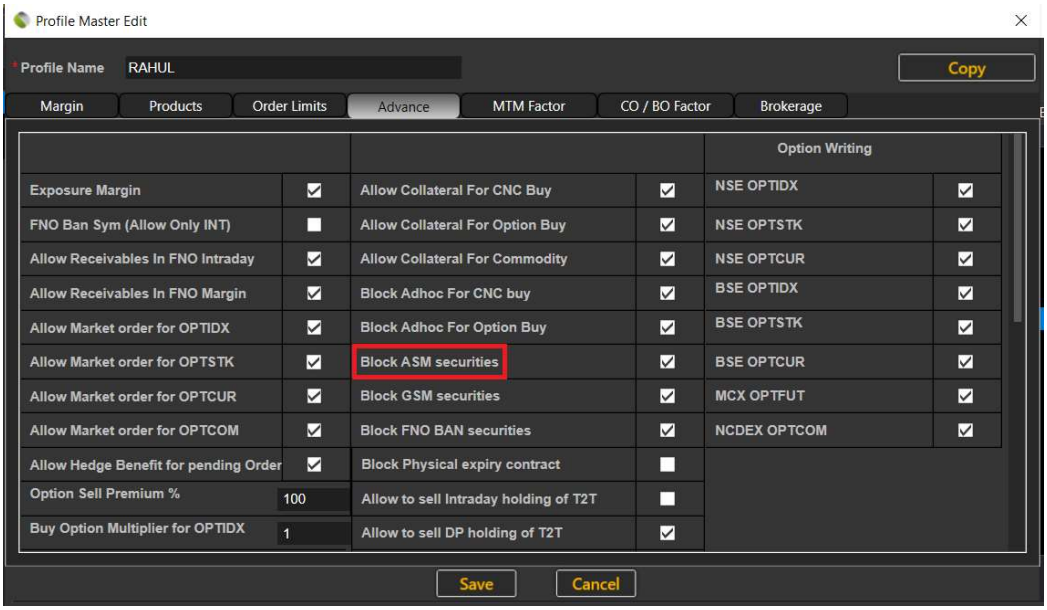
➢ Block Adhoc For CNC Buy: - There is an adhoc field which is given in view limit widow to enter the amount which can be additionally available for trading. User needs to tick this checkbox if member does not want to allow this adhoc amount additionally to trade in CNC product to buy the securities for the set of clients mapped in respective profile.



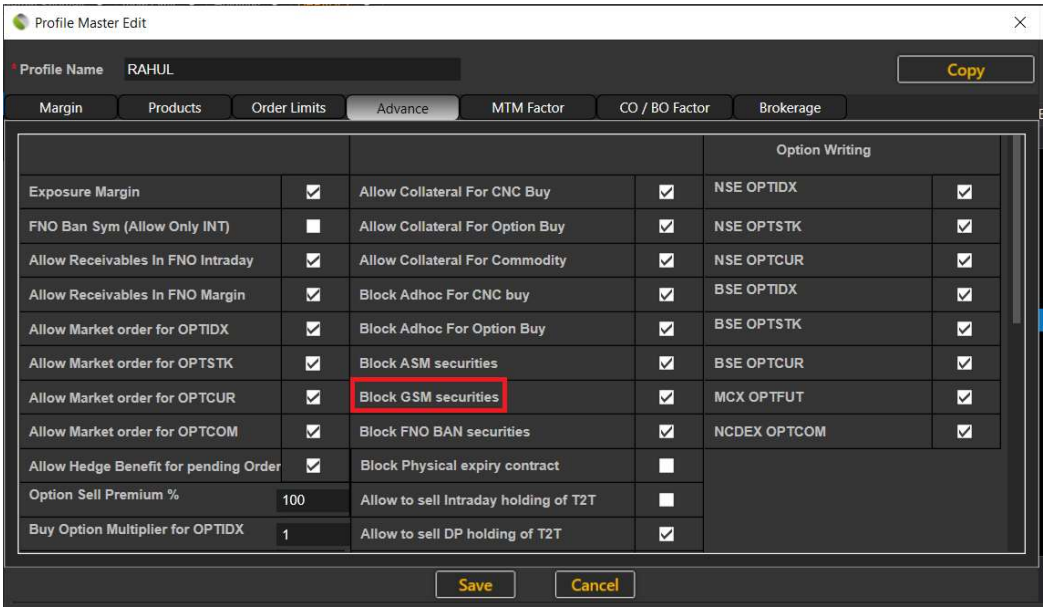
- Block Adhoc For Option Buy: - There is an adhoc field which is given in view limit widow to enter the amount which can be additionally available for trading. User needs to tick this checkbox if member does not want to allow this adhoc amount additionally to buy the options for the set of clients mapped in respective profile.



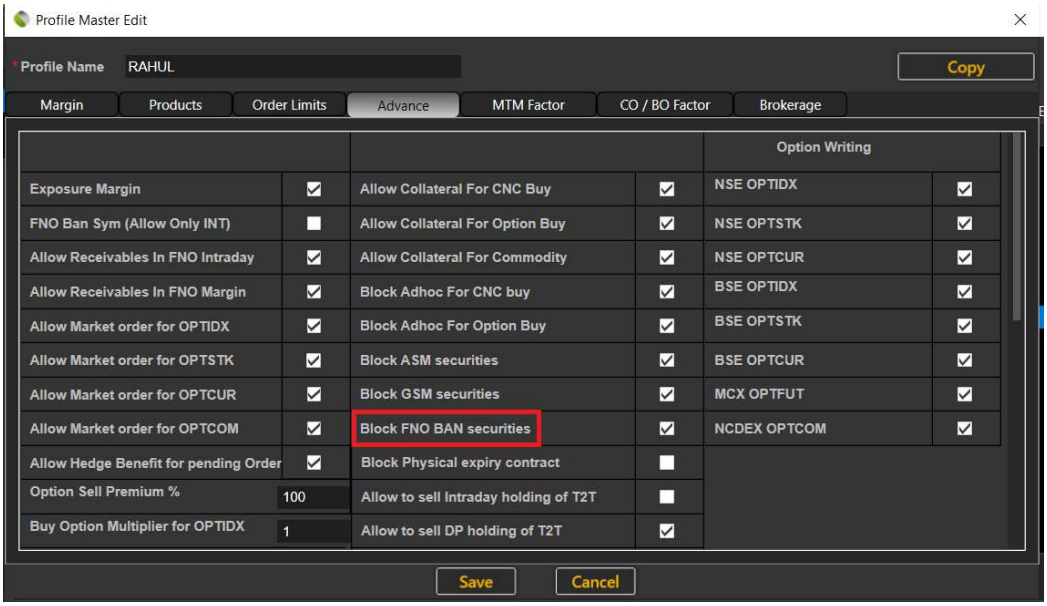
- Block ASM Securities: - This check needs to be compulsorily tick. This allows the system to check the securities classified under ASM categories in security master and manage blocking/unblocking the order placement of such securities as per rule set at global level in sys-parameter for the set of clients mapped in respective profile.



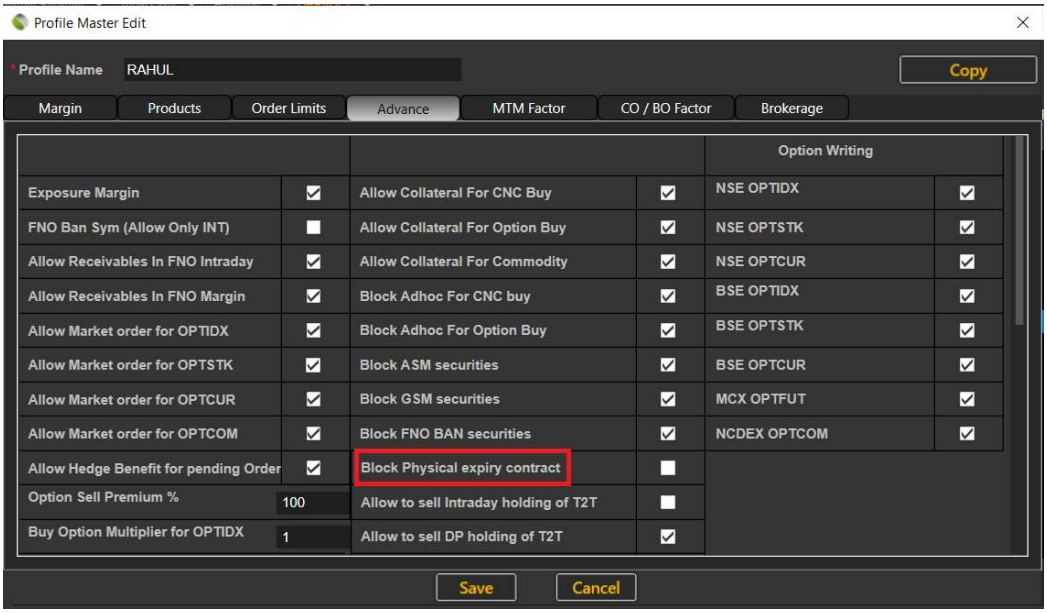
- Block GSM Securities: - This check needs to be compulsorily tick. This allows the system to check the securities classified under GSM categories in security master and manage blocking/unblocking the order placement of such securities as per rule set at global level in sys-parameter for the set of clients mapped in respective profile.



- Block FNO BAN Securities: - This check needs to be compulsorily tick. This rule allows the system to check the securities classified under FNO Ban securities given in FNO Ban Security file from exchange and manage blocking the order placement of such securities for the set of clients mapped in respective profile.

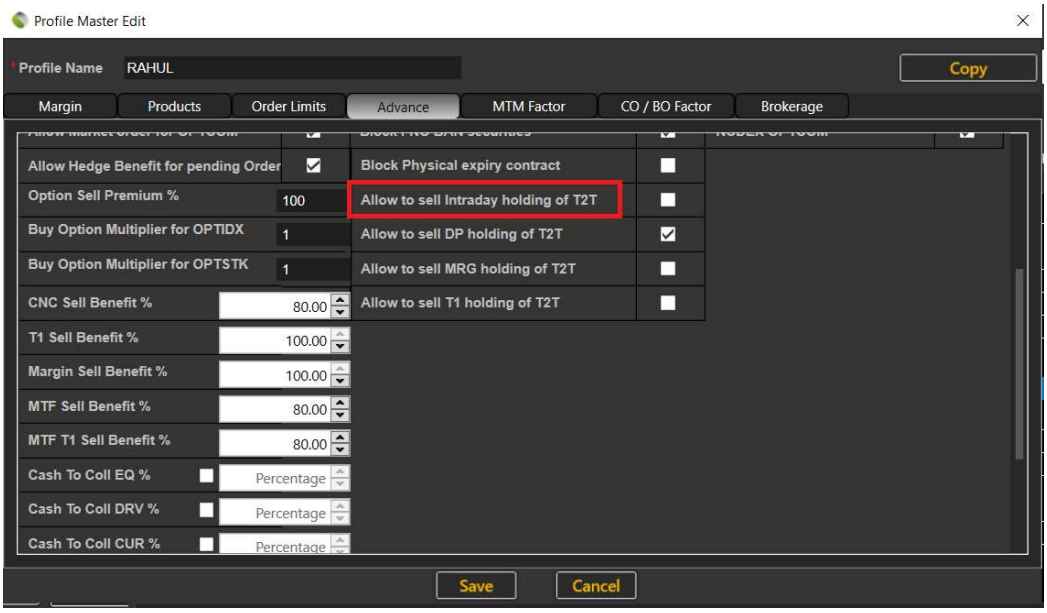


- Block Physical Expiry Contract: - This check needs to be compulsory tick. This rule allows the system to check the current expiry of derivative contract. If the trading member does not want to create new positions in the current month expiry contract of any/all securities, then the trading member needs to upload a file of securities containing the symbols and product in a predefine format, and it will work for the day only for the clients mapped in respective profile. The trading member needs to upload this file on daily basis till than member wants to block the new order placement. However square of open will be allowed.

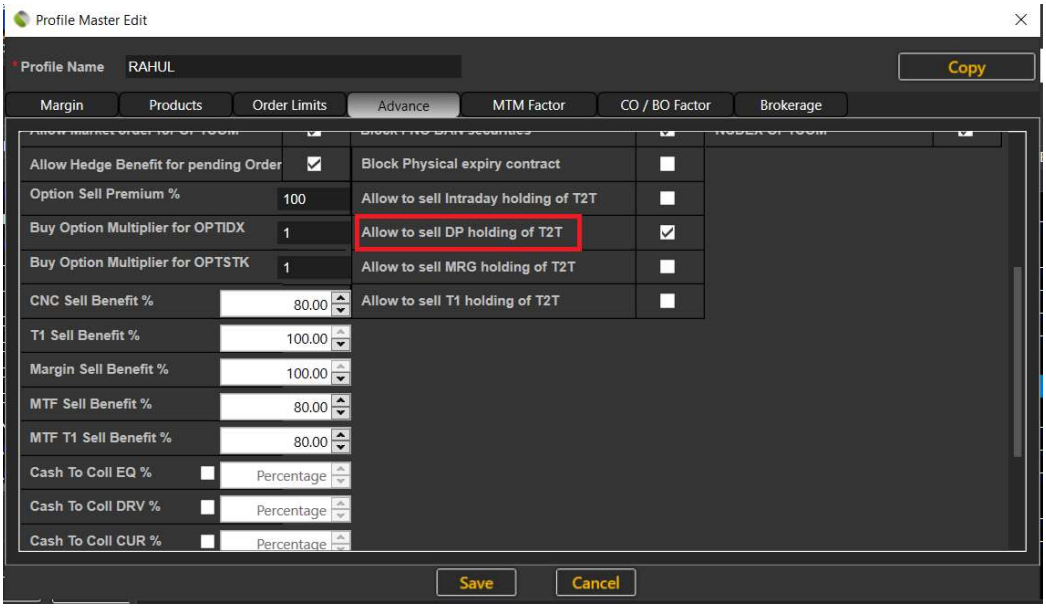


- Allow to Sell Intraday Holding of T2T: - Netting off is not permitted in the securities which are in the trade-to-trade group. If user tick the checkbox of this rule. Whenever a client/user bought the T2T securities and same day wants to sell the same securities then system will allow to sell after checking the holdings to extent as much as qty is having in demat holding for the clients mapped in respective profile. And wise versa whenever a client/user sold the T2T

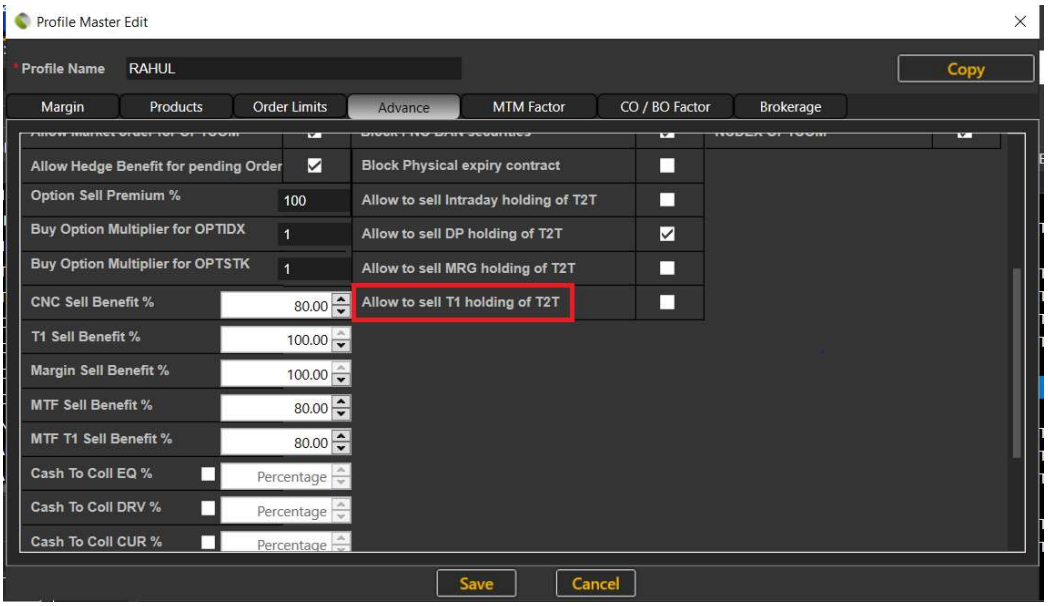
securities from the demat holding and same day wants to buy the same securities against their available trading limit then system will allow to buy for the clients mapped in respective profile.



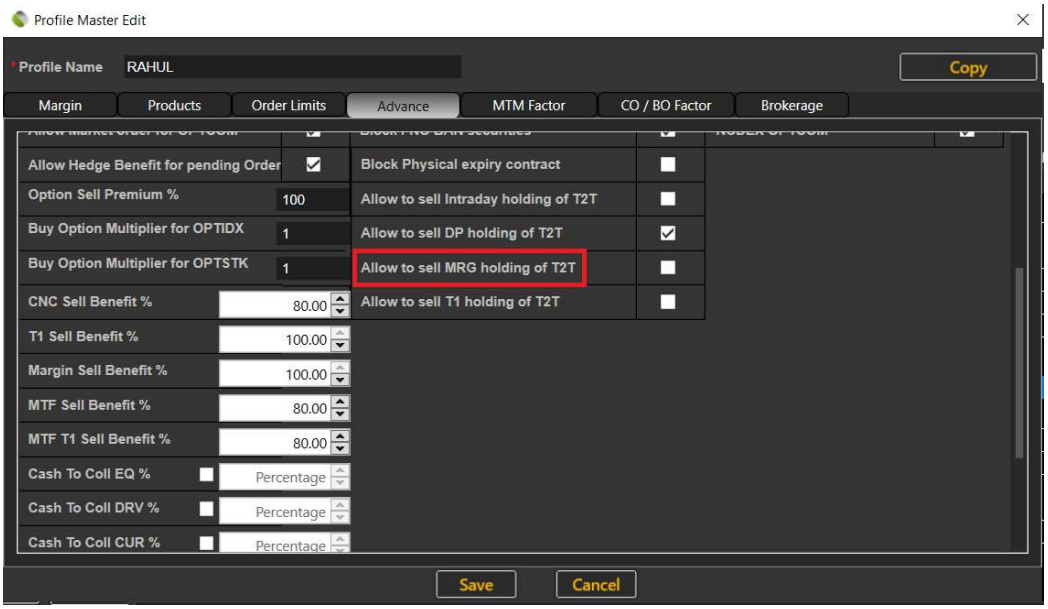
- Allow to sell DP holding of T2T: - If tick this checkbox it allows to clients to sell the securities of T2T scrip from the demat holding uploaded in HLD source in Rupeeseed system. If untick this checkbox then clients will not be allowed to sell their holding of T2T group securities uploaded in HLD source.



- Allow to sell T1 holding of T2T: - If tick this checkbox it allows clients to sell the securities of T2T group from the demat holding uploaded in T1 source in Rupeeseed system. If untick this checkbox then clients will not be allowed to sell their holding of T2T group securities uploaded in T1 source.



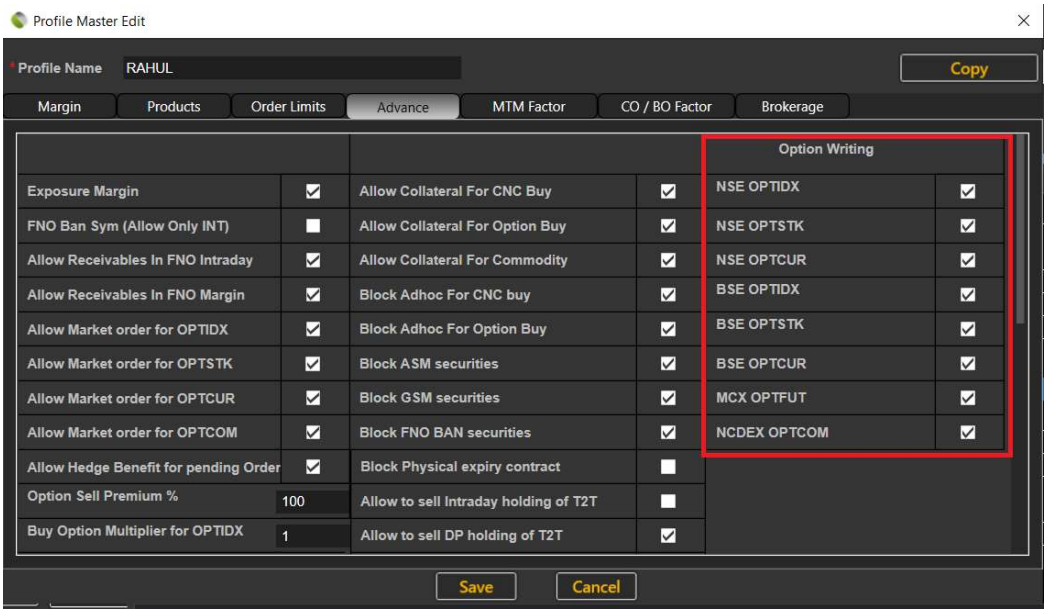
- Allow to sell MRG holding of T2T: - If tick this checkbox it allows clients to sell the securities of T2T scrip from the demat holding uploaded in MRG source in Rupeeseed system. If untick this checkbox then clients will not be allowed to sell their holding of T2T group securities uploaded in MRG source.



- Allow Option Writing: - If the trading member wants to allow writing the option (Option Sell order/position) segment instrument wise to the clients mapped in respective profile, then user needs to tick the given checkbox/checkboxes instrument wise as per the trading member risk policy. The available instrument wise checkboxes are mentioned in the column “Option Writing”.

  NSE OPTIDX
  NSE OPTSTK
  BSE OPTIDX

BSE OPTSTK
NSE OPTCUR
BSE OPTCUR
MCX OPTFUT
NCDEX OPTCOM

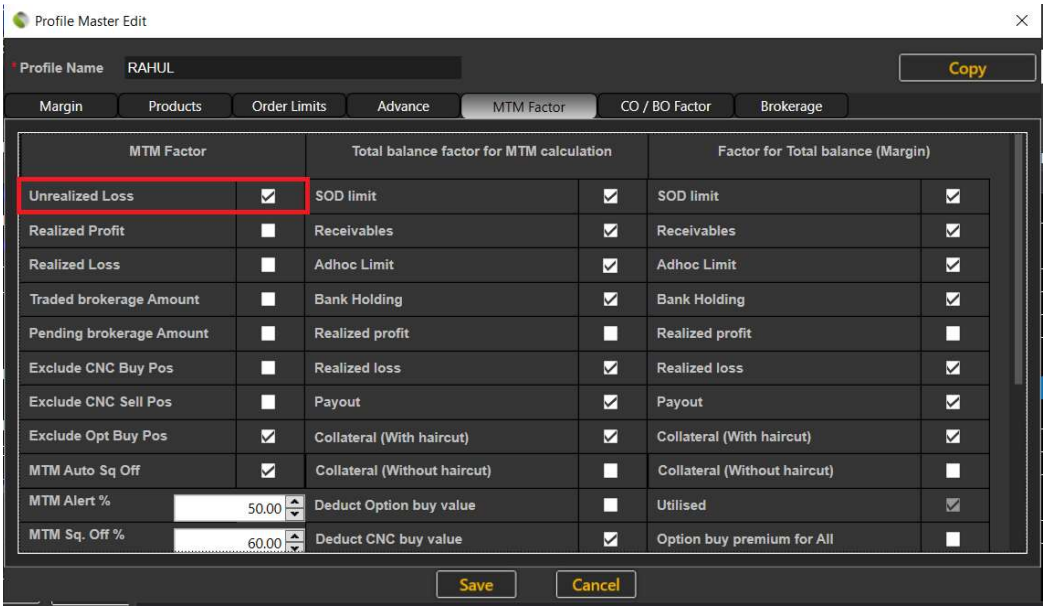


MTM Factor Tab: - MTM factor tab has some rules which are mainly used to define the available trading limit and the calculation of MTM percentage. MTM factor tab is having three columns with the heading “MTM Factor”, “Total balance factor for MTM calculations” and “Factor for total balance (Margin)”.

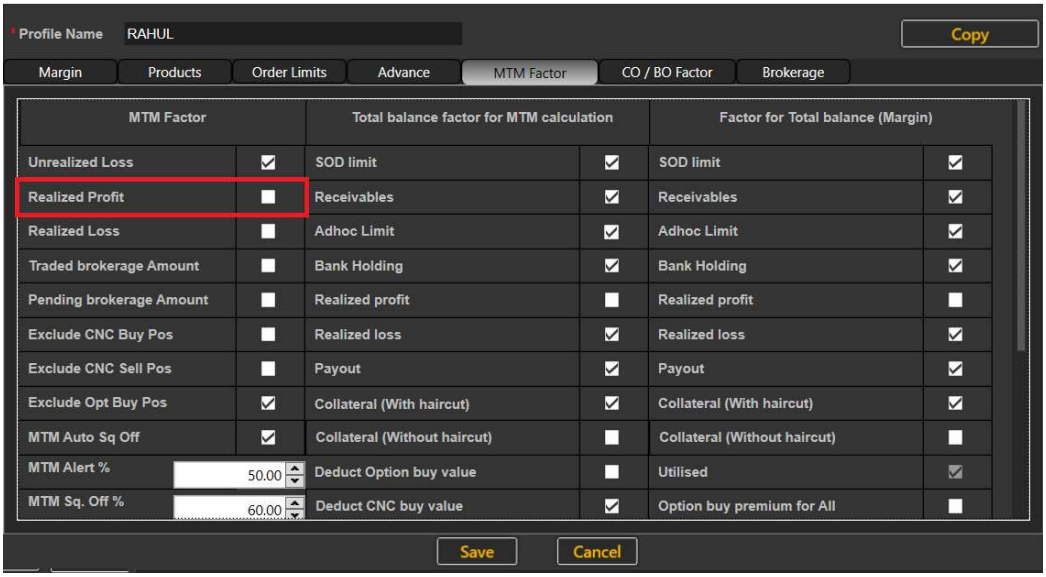
The calculations of MTM % based on the given formula, “Numerator/De-Numerator*100”.

- MTM Factor column: - MTM Factor column shown on left hand side is a Numerator part of MTM loss % calculation, in which trading member can decides which all parameters to be included in Numerator part of MTM calculation. Refer the below detail of all parameters/rules to be included/excluded from the numerator of MTM % calculation.

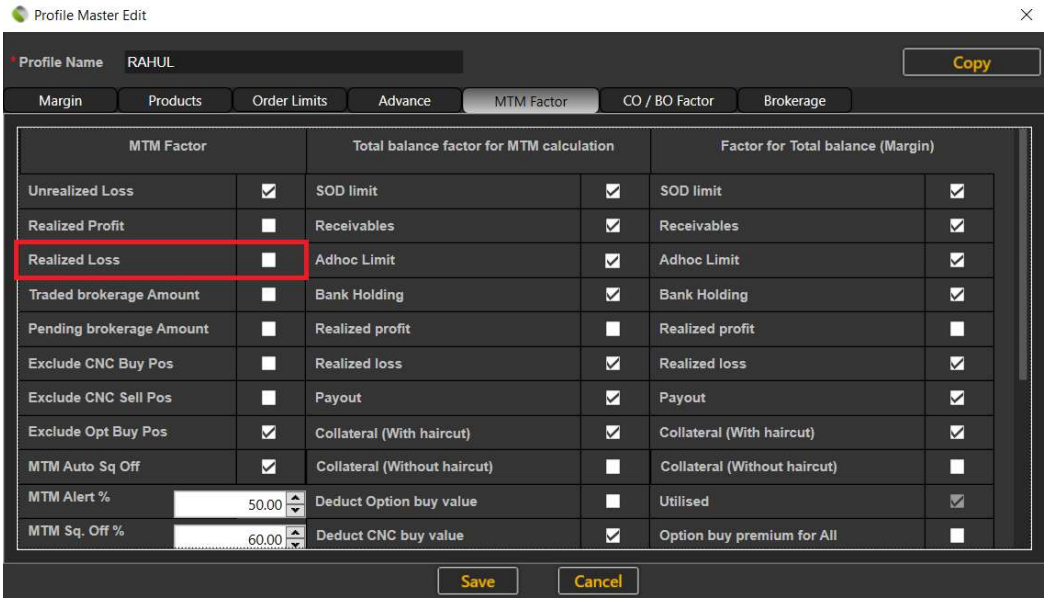
➢ Unrealized loss: - This checkbox should be ticked compulsory, so that unrealized loss on open positions will be considered for MTM % calculation in numerator part.



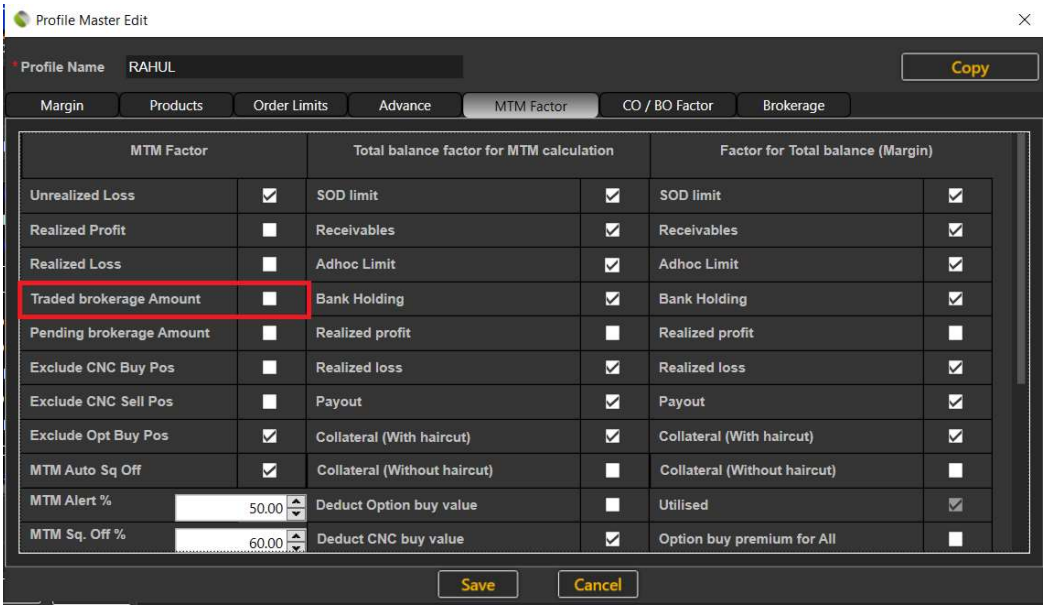
- Realized profit: - If the trading member tick this checkbox, then realized profit (profit on the closed position) will also be consider for the calculation of MTM % in numerator part.



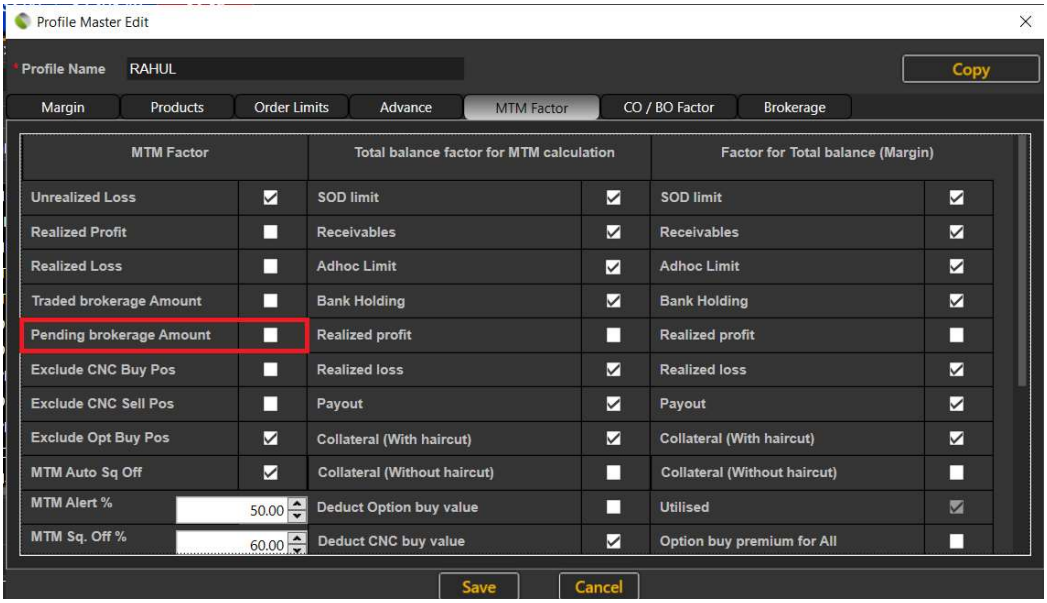
- Realized Loss: - If the trading member ticks this checkbox, then realized loss (loss on the closed position) will also be consider for the calculation of MTM % in numerator part.



- Traded brokerage Amount: - If the trading member ticks this checkbox, then the amount of brokerage and charges charged on the executed orders will also be considered for the calculation of MTM % in numerator part.



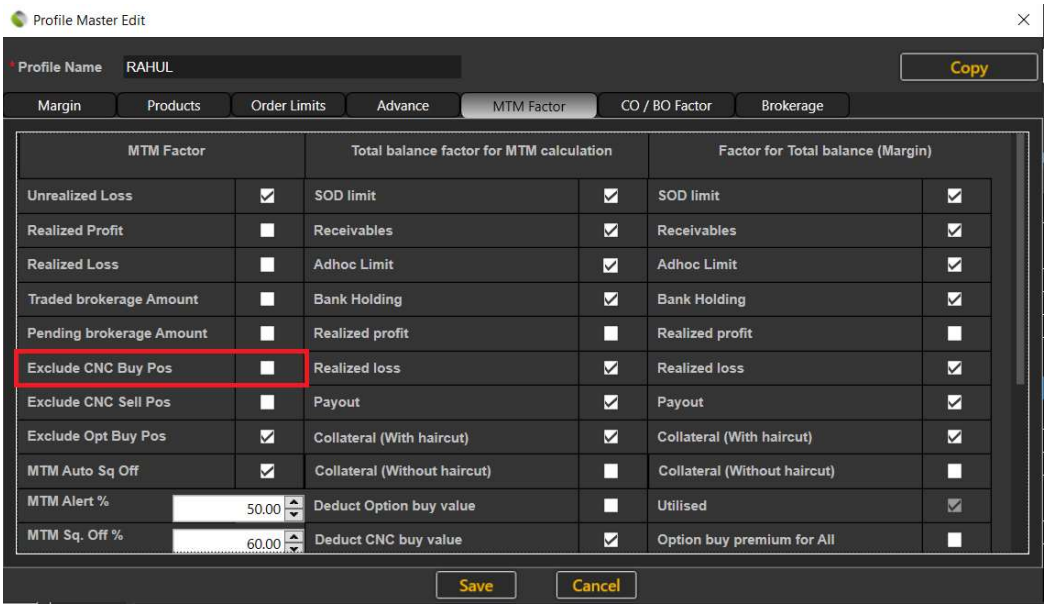
- Pending Brokerage Amount: - If the trading member ticks this checkbox, then the amount of brokerage and charges charged on the pending orders will also be considered for the calculation of MTM % in numerator part.



- Exclude CNC Buy Position: - CNC (Cash n Carry) buy positions are positions where full amount is paid at once. Usually, such positions should be excluded from MTM calculation as whole 100% margin is collected at once. To meet this requirement the trading member needs to tick this checkbox.

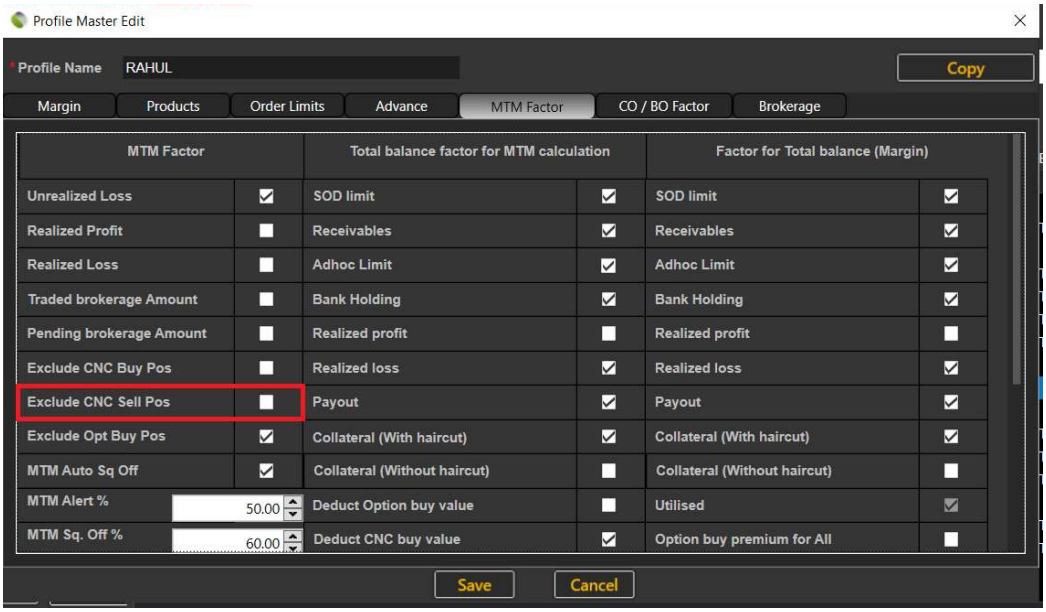
  If trading member ticks this checkbox, then there is no profit/loss of Buy position in CNC product will be considered for MTM calculation. And if trading member untick this checkbox, then the profit/loss of Buy position in CNC product will be considered for MTM calculation as per the rules of "Unrealized Loss", "Realized Profit/Loss" checked in the numerator and de-numerator.

  Important Note: - If trading member wants to tick checkbox of "Exclude CNC Buy Position" the trading member needs to ensure that the checkbox of rule "Deduct CNC buy value" should also be tick. So that the amount of CNC buy position will not be consider in the calculation of MTM %.



- **Exclude CNC Sell Position:** - CNC (Cash n Carry) sell positions are positions where stock sold from the demat holdings. Usually, such positions should be excluded from MTM calculation as delivery will be marked in payin/early payin in settlement. To meet this requirement the trading member needs to tick this checkbox.
  If trading member ticks this checkbox, then there is no profit/loss of Sell position in CNC product will be considered for MTM calculation. And if trading member untick this checkbox, then the profit/loss of Sell position in CNC product will be considered for MTM calculation as per the rules of "Unrealized Loss", "Realized Profit/Loss" checked in the numerator and de-numerator.

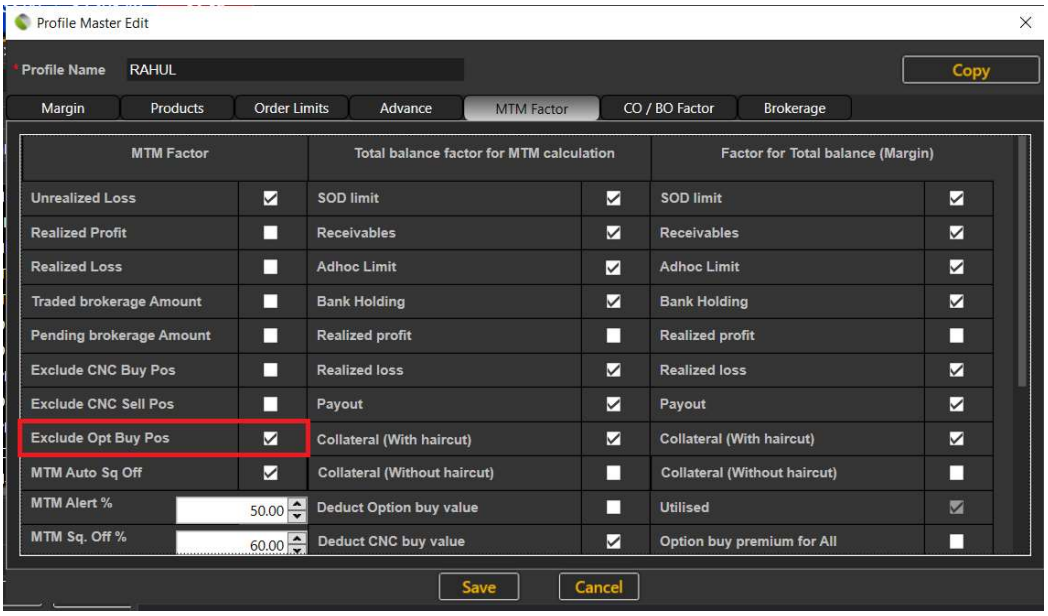
  Important Note: - If trading member wants to tick checkbox of "Exclude CNC Sell Position" the trading member needs to ensure that the checkbox of rule "Deduct CNC Sell value" should also be tick. So that the amount of CNC buy position will not be consider in the calculation of MTM %.



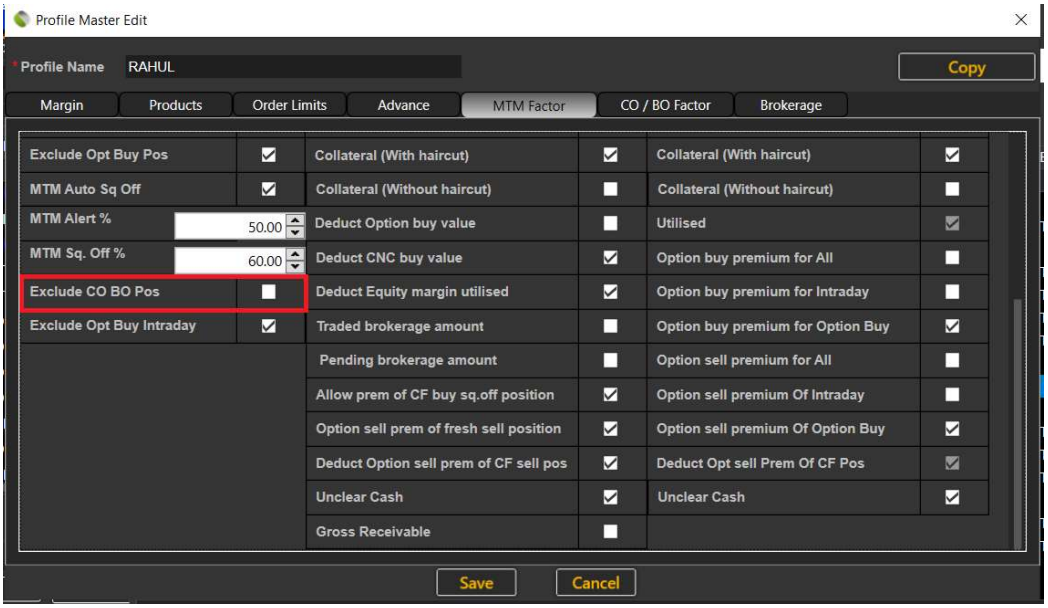
- **Exclude Opt Buy Pos:** - Option buy positions are positions where full premium is paid at once at the time of order placement. Usually, such positions should be excluded from MTM calculation if whole 100% premium is collected at once at the time of order placement.

  If trading member ticks this checkbox, then there is no profit/loss of buy option position will be considered for MTM calculation.
  Important Note: - If trading member wants to tick checkbox of "Exclude Option Buy Position" then the trading member needs to ensure that the checkbox of the rule "Deduct Option buy value" should also be tick. So that the amount of premium of option buy position will not be consider in the calculation of MTM %.

  If trading member untick this checkbox, then the profit/loss of option buy position will be considered for MTM calculation as per the rules of "Unrealized Loss", checked in the numerator and de-numerator.
  Note: - For CF option buy open position the full value of the option according to current ltp will be considered as profit for the calculation of MTM. And for the day option buy open position difference of ltp and trading price can be loss\profit will be considered for calculation of MTM.



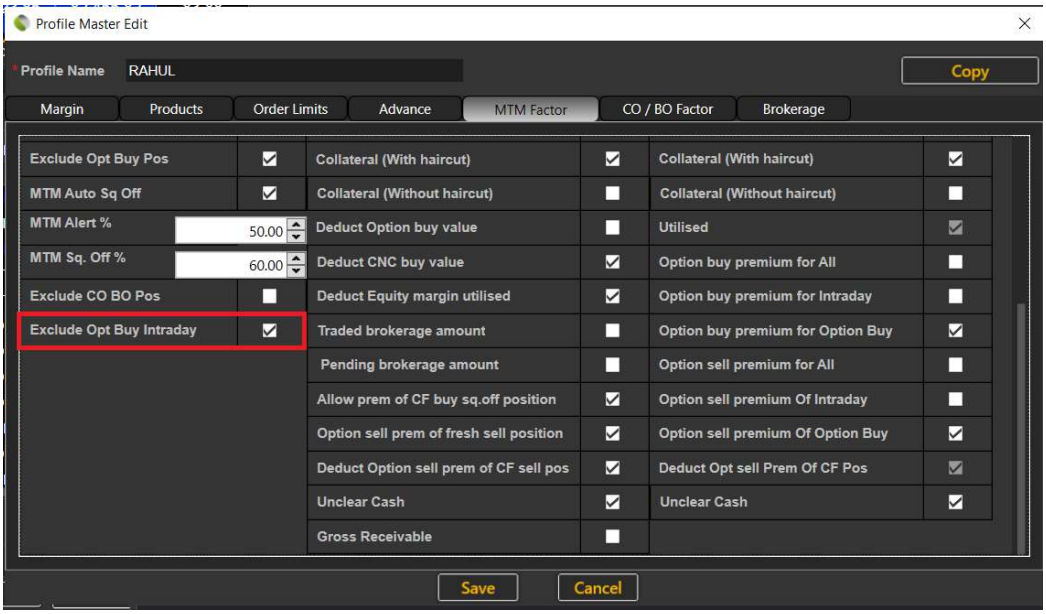
- Exclude CO BO Pos: - If trading member does not want to calculate the MTM of the position created through Cover order and bracket, then user needs to tick the checkbox of the rule "Exclude CO BO pos". In this case the open position will not be square off even if MTM cross the limit of square off and MTM all other position will be square off automatically, if MTM auto square off setting is on, and pending order will not be cancelled.
  If trading member keep untick the checkbox "Exclude CO BO pos" then MTM will be calculated of/j*--9* the position created through Cover order and bracket and position will also be squared off in case of MTM auto square off run and also pending order of CO BO product will be cancelled.



- Exclude Opt Buy intraday: - Option buy positions are positions where full premium is paid at once at the time of order placement. Usually, such positions should be excluded from MTM calculation if whole 100% premium is collected at once at the time of order placement.

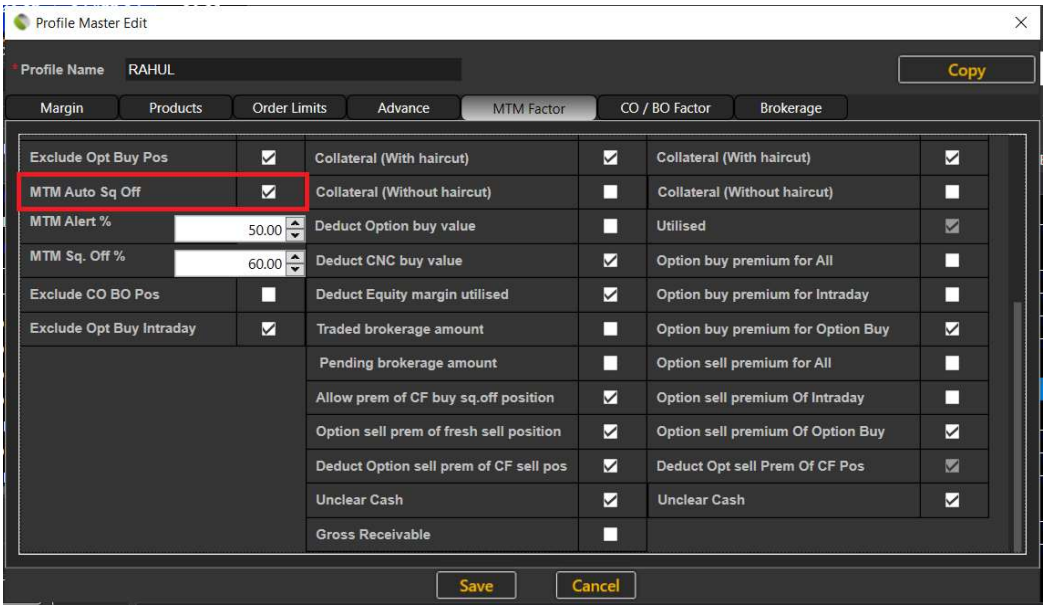
If trading member ticks this checkbox of rule “Exclude Opt Buy intraday”, then there is no profit/loss of buy option position in intraday product will be considered for MTM calculation.

If trading member untick this checkbox “Exclude Opt Buy intraday”, then the profit/loss of option buy position will be considered for MTM calculation as per the rules of “Unrealized Loss” in the numerator and de-numerator.
Note: - For option buy open position in intraday product difference of ltp and trading price can be unrealized loss\profit will be considered for calculation of MTM.



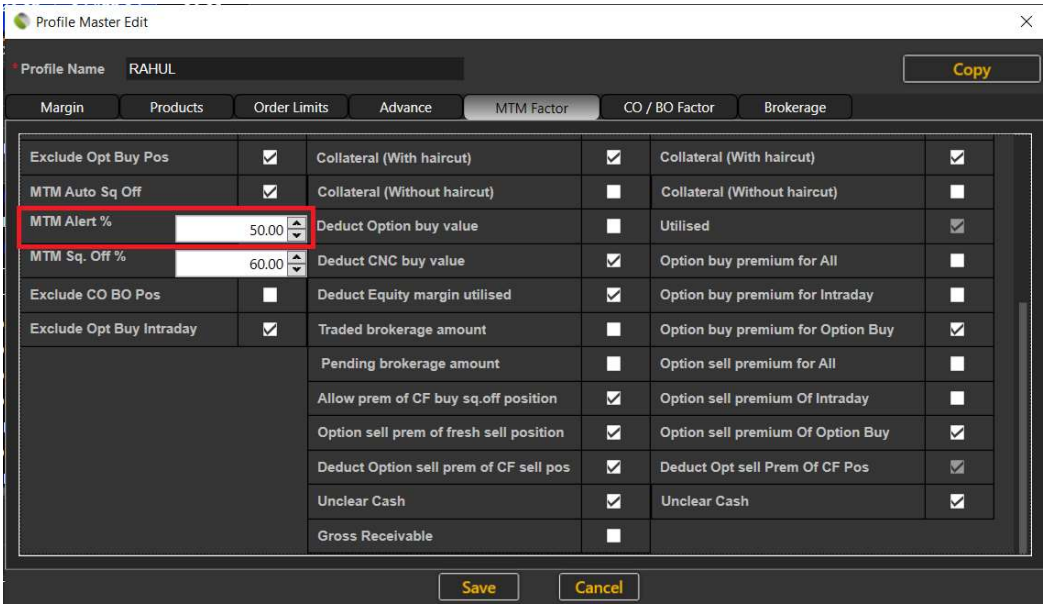
- MTM Auto Sq Off: - The checkbox needs to be ticked If member wants to square off all the open position automatically when the MTM loss % breach the set MTM loss % for the clients mapped in respective profile.
  To trigger auto MTM square off some precondition should be satisfied,
  - MTM auto sq off checkbox should be tick.
  - Default admin must be logged in.
  - MTM loss % must be breach the set MTM sq off %.
  - The same Profile must be mapped to the clients.

  If “MTM auto sq off” checkbox is unticked, then Auto square off will not be initiated and position will not be squared off automatically and Pending orders will not be cancelled automatically.



- MTM Alert %: - The trading member needs to enter a value in terms of percentage to set the alert for MTM loss % calculation. When MTM Loss % breach the % set in the MTM alert % field then an alert would be sent to the client through the sms and mail and through the flash messages as well as to the dealer/dealers in which client is mapped and to the admins also.

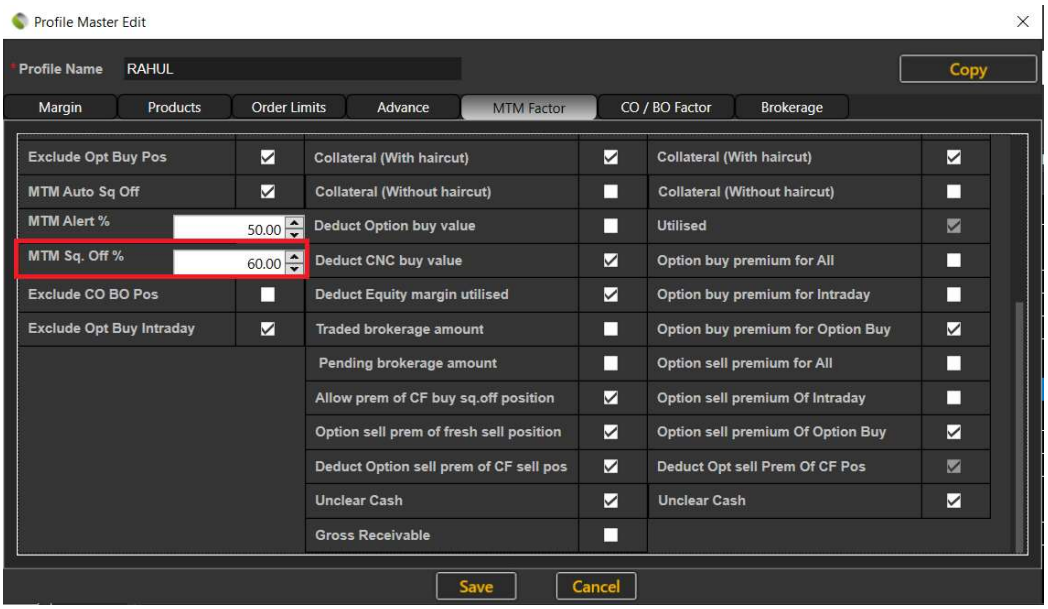
  Once Alert is triggered all the positions will come in MTM Net positions square off tab, irrespective of "MTM auto sq off" checkbox is tick or untick. This window will pop up in selective Admin/admins choose by the trading member only, with all the required net positions to be sq off manually, however the auto sq off will be done only from Default admin.



- MTM Sq Off %: - The trading member needs to enter a value in terms of percentage to set the trigger to automatically square of the open positions of the clients which are mapped in the respective profile.

When MTM % breach the set % in the rule “MTM sq off %” then auto square off will trigger and pending order will be cancelled, and order will. be placed to square off the open position. If the positions is not squared off and order remains pending then again system will trigger auto square off and will cancelled pending order and will placed fresh order. This is crone time which is set in back end and run in every 30 seconds.

In every 30 seconds system checks the MTM %, if percentage is below the value of % set in “MTM sq off %”, then it will not trigger the auto square off. However, the alert net position window will be popup.

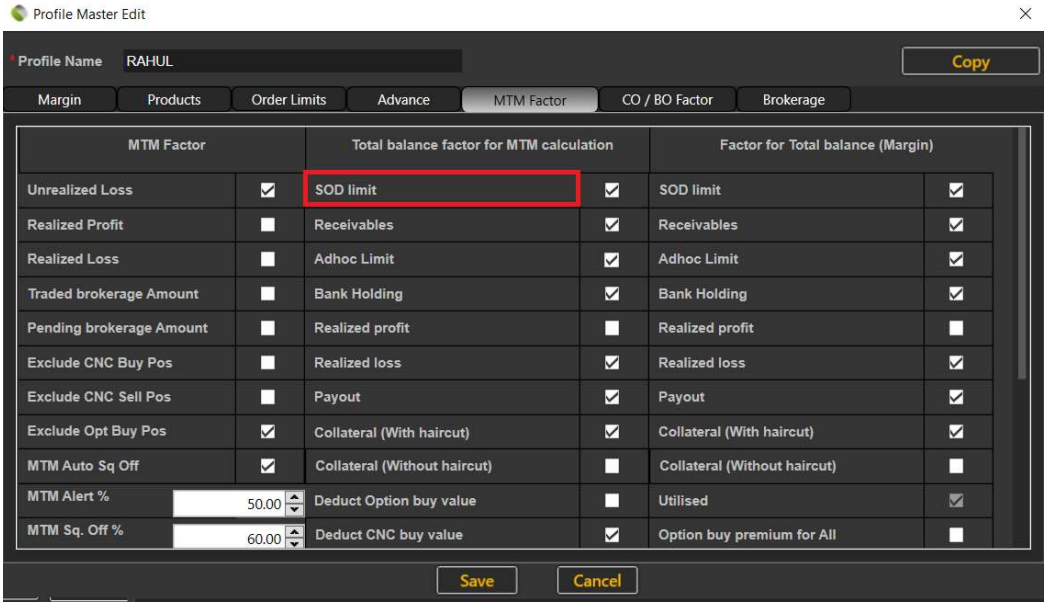


- Total balance factor for MTM calculation: -

Total balance factor for MTM calculation shown in the middle in MTM factor tab is a De-Numerator part of MTM loss % calculation, in which trading member can decides which all parameters to be included in De-Numerator part of MTM calculation or on which balance MTM Loss % should be calculated. Refer the below detail of all parameters/rules to be included/excluded from the de-numerator of MTM % calculation.

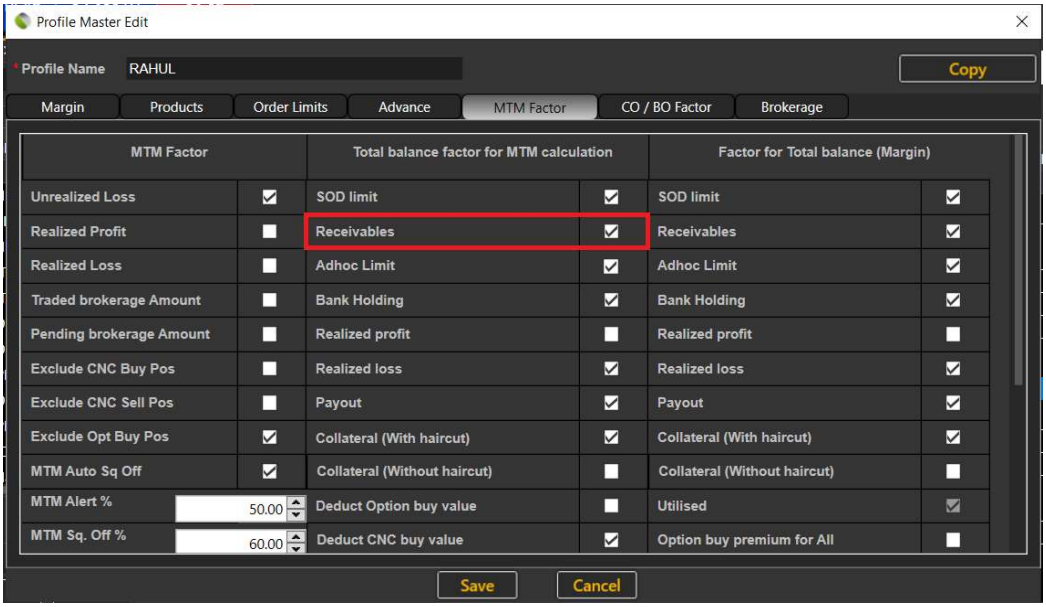
- SOD Limit: - SOD (Start of the day) (BOD) balance/Cash balance shown in the limit window and MTM watch window. This checkbox rule “SOD Limit” should be ticked, so that SOD balance will be considered for MTM % calculation in de-numerator parameters.

  If checkbox of rule “SOD Limit” is unticked, then SOD balance will not be considered for MTM % calculation in de-numerator parameters.



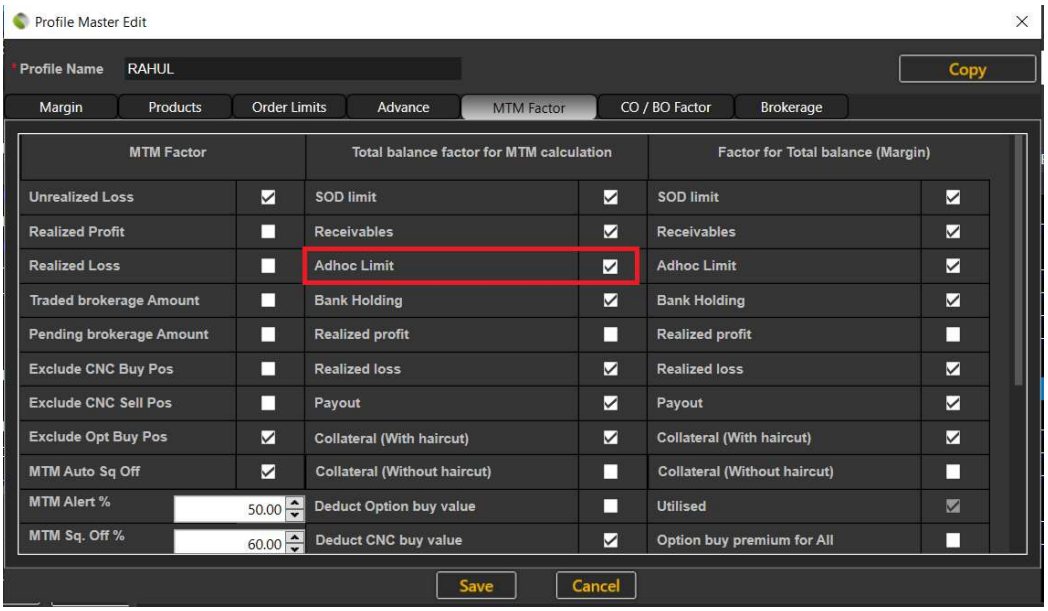
- Receivables: - If a trading member ticks the checkbox of rule "Receivables", then the receivable balance will be considered for MTM % calculation in de-numerator parameters.

  If checkbox of rule "Receivables" is unticked, then Receivables balance will not be considered for MTM % calculation in de-numerator parameters.
  Note: - Receivables in nothing but the amount of the credit for sale against the holdings sold by the clients.



- Adhoc Limit: - This checkbox of rule "Adhoc Limit" should be ticked, so that Adhoc balance will be considered for MTM % calculation in de-numerator parameters.

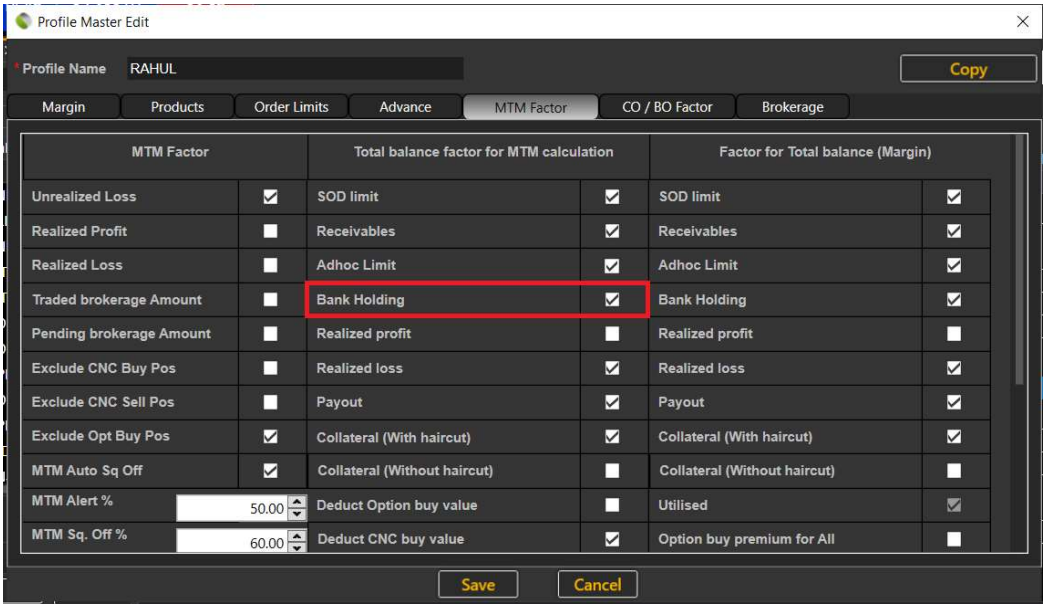
  If checkbox of rule "Adhoc Limit" is unticked, then Adhoc balance will not be considered for MTM % calculation in de-numerator parameters.



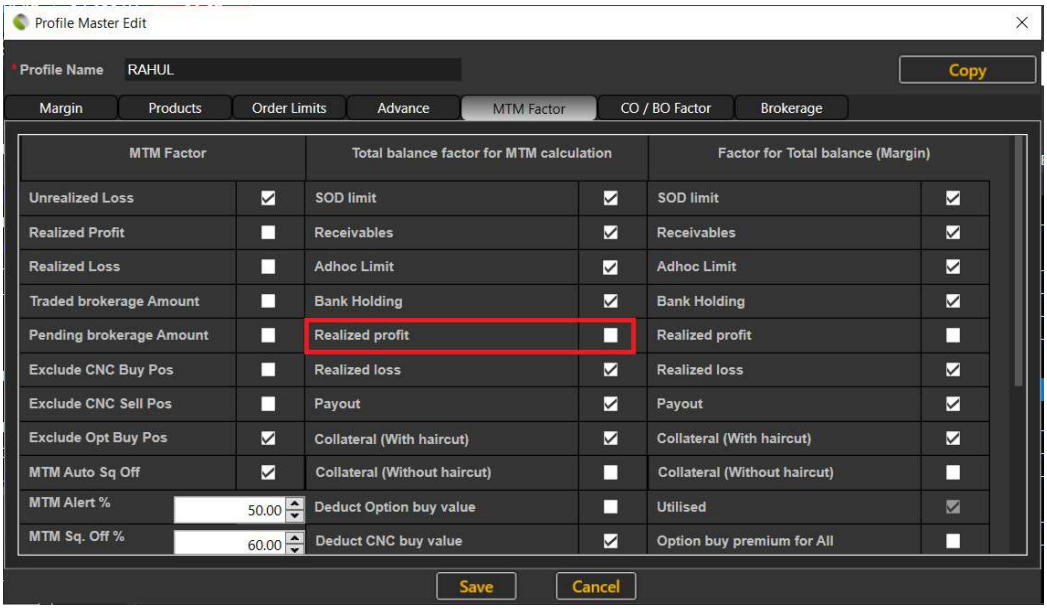
- Bank Holding: - This checkbox of rule "Bank Holding" should be ticked, so that Bank Holding balance will be considered for MTM % calculation in de-numerator parameters.

  If checkbox of rule "Bank Holding" is unticked, then Bank Holding balance will not be considered for MTM % calculation in de-numerator parameters.

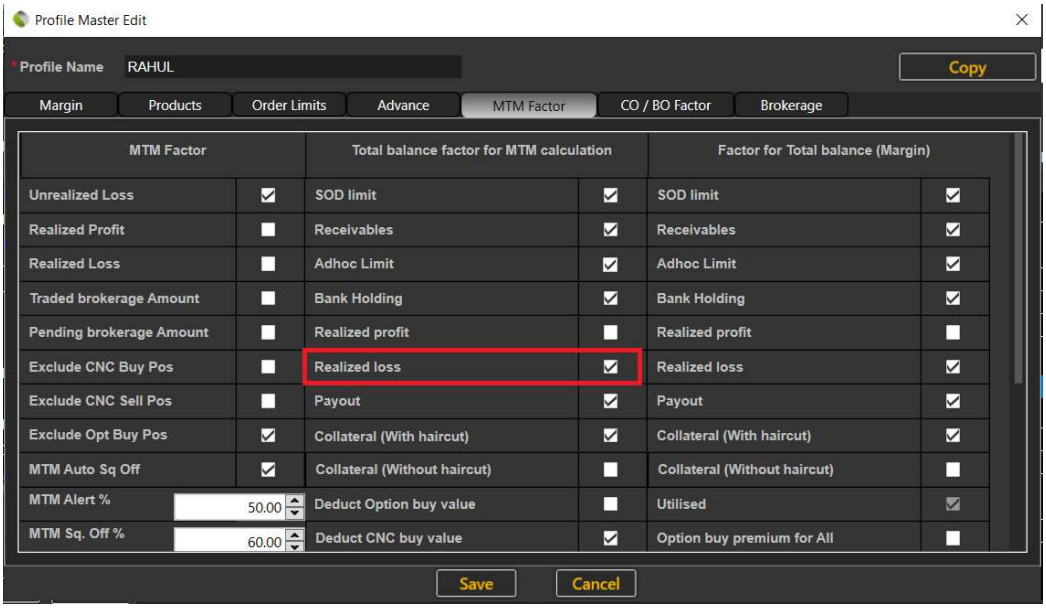
  Note: - Bank Holding balance is the cash balance which is given by the member against the payin by the client (offline/online fund transfer).



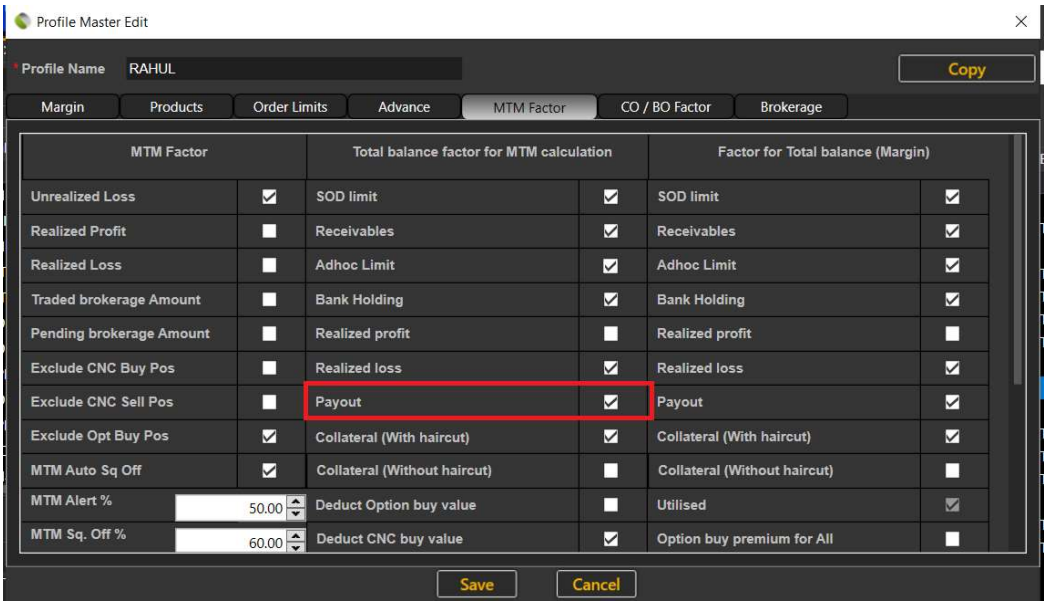
- Realized Profit: - If the trading member tick this checkbox, then realized profit (profit on the closed position) will be consider for the calculation of MTM % in de-numerator parameters.



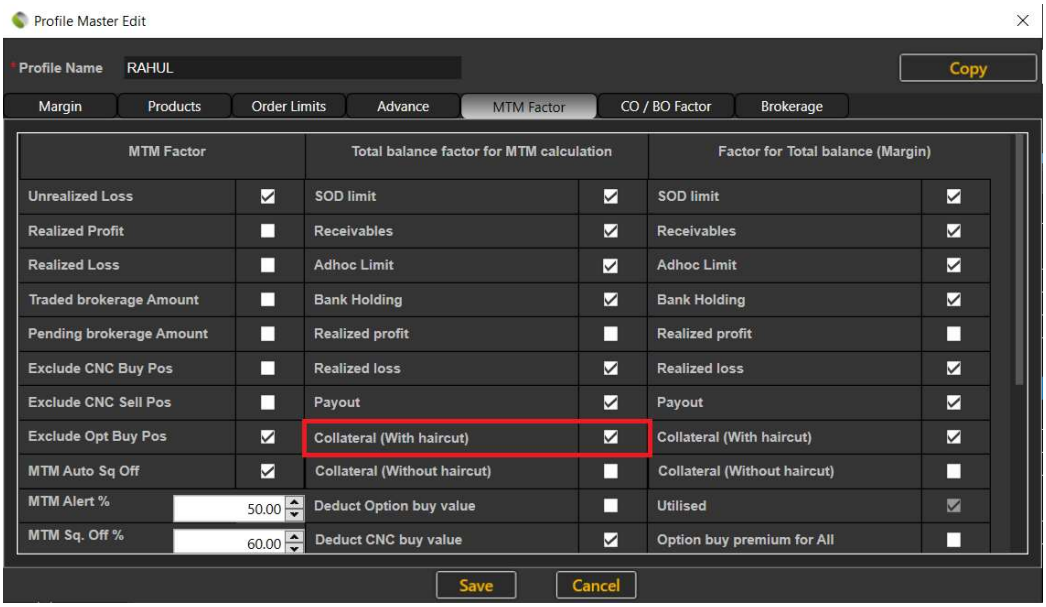
- Realized Loss: - If the trading member ticks this checkbox, then realized loss (loss on the closed position) will also be consider for the calculation of MTM % in de-numerator parameters.



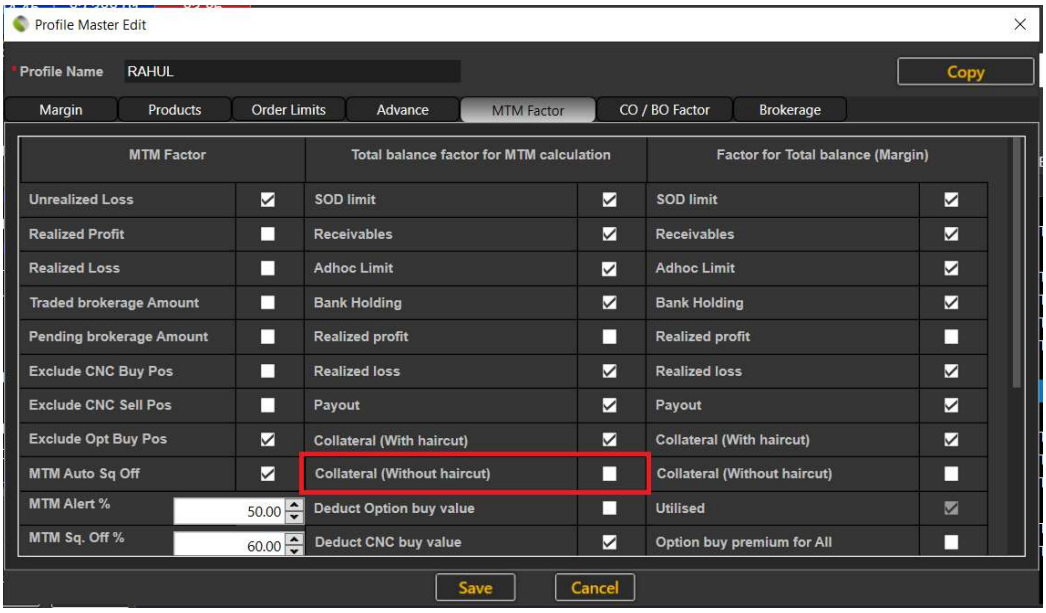
- Payout: - Trading member should have to tick the checkbox of “Payout”. Payout amount requested by clients must be consider for calculation of MTM % in de-numerator. When tick this checkbox payout amount requested by the clients mapped in respective profile will be adjusted from the total balance available for the calculation of MTM % in de-numerator parameters.



- Collateral (with Haircut): - If trading member tick this checkbox, then the value of non-cash collateral after applying applicable haircut will be considered for calculation of MTM % in de-numerator parameters.



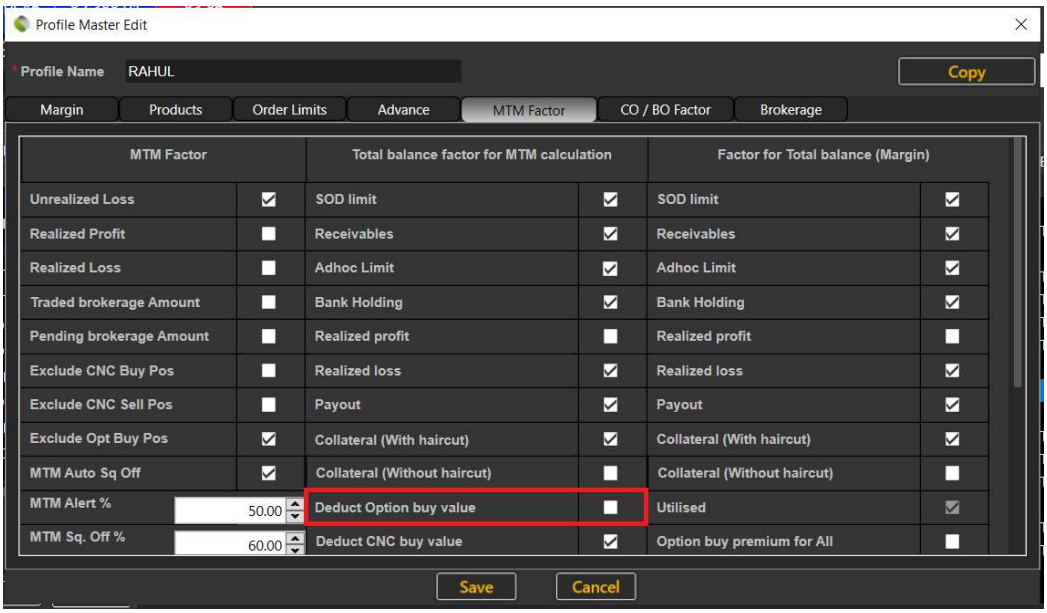
- Collateral (without Haircut): - If trading member tick this checkbox, then the value of non-cash collateral without applying applicable haircut will be considered for calculation of MTM % in de-numerator parameters.



- **Deduct Option buy value:** - Option buy positions are positions where full premium is paid at once at the time of order placement. Usually, such positions should be excluded from MTM calculation if whole 100% premium is collected at once at the time of order placement.

  Trading member needs to tick this checkbox of rule "Deduct Option buy value" then the premium of the buy option open position will be deducted from the Total balance factor for MTM % calculation if trading member does not want to calculate MTM of option buy position, otherwise the remaining option buy position current value will be add up in de-numerator part.

  Note: - If trading member does not want to calculate MTM of option buy position then member also needs to tick the checkbox of rule "Exclude Opt Buy Pos" in combination with the rule "Deduct Option buy value".

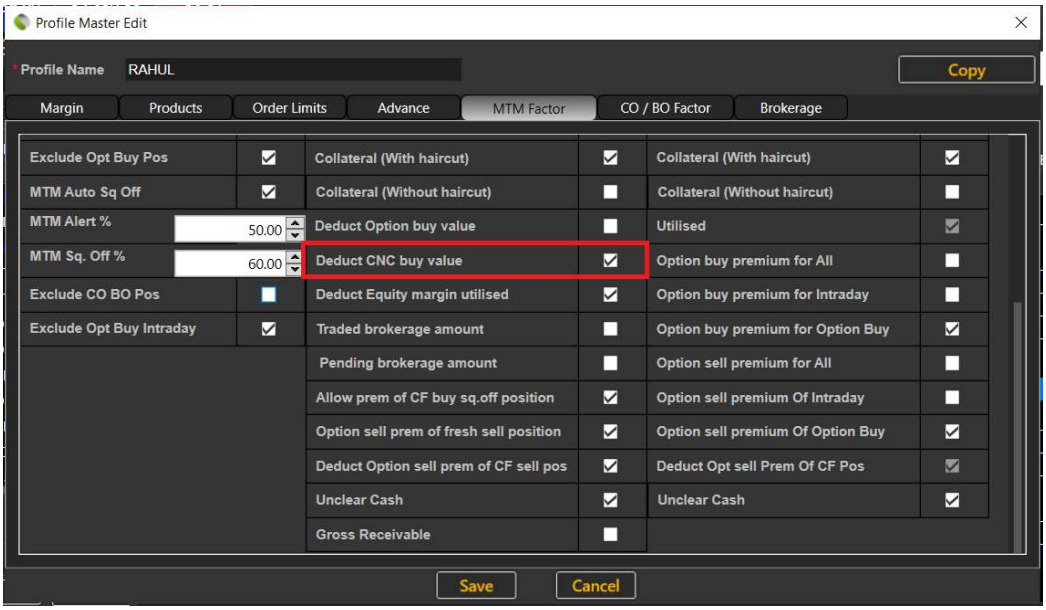


- **Deduct CNC buy value:** - CNC (Cash n Carry) buy positions are positions where full amount is paid at once. Usually, such positions should be excluded from MTM calculation as whole 100%

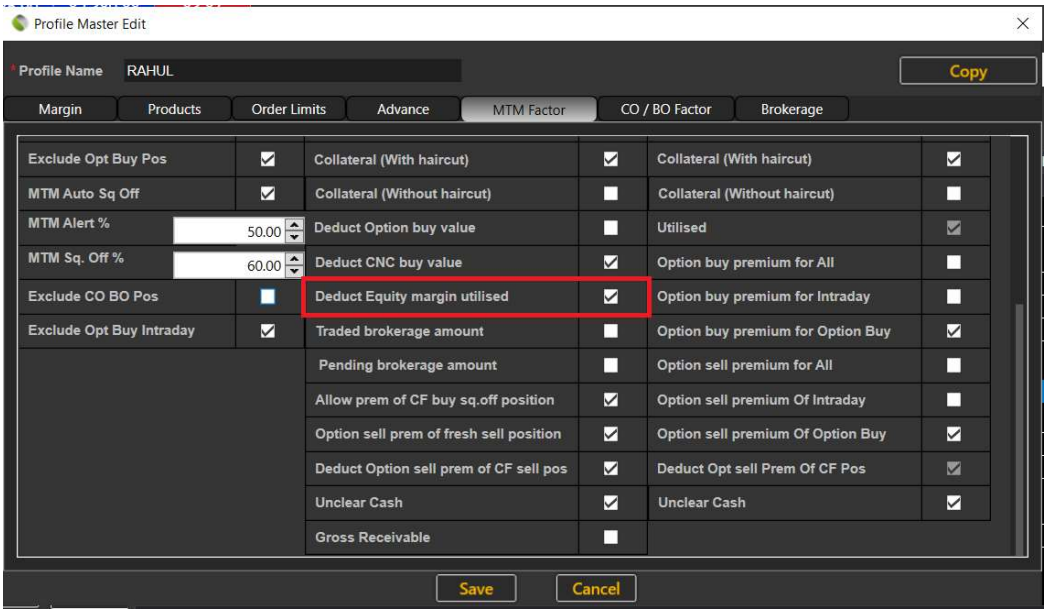
margin is collected at once. To meet this requirement the trading member needs to tick this checkbox.

Trading member needs to tick the checkbox of rule "Deduct CNC buy value" then the value of the buy open position in CNC product will be deducted from the Total balance factor for MTM % calculation if trading member does not want to calculate MTM of open buy position in CNC product then member needs to tick the checkbox of the rule "Exclude CNC Buy Pos" in combination with the rule "Deduct CNC buy value".

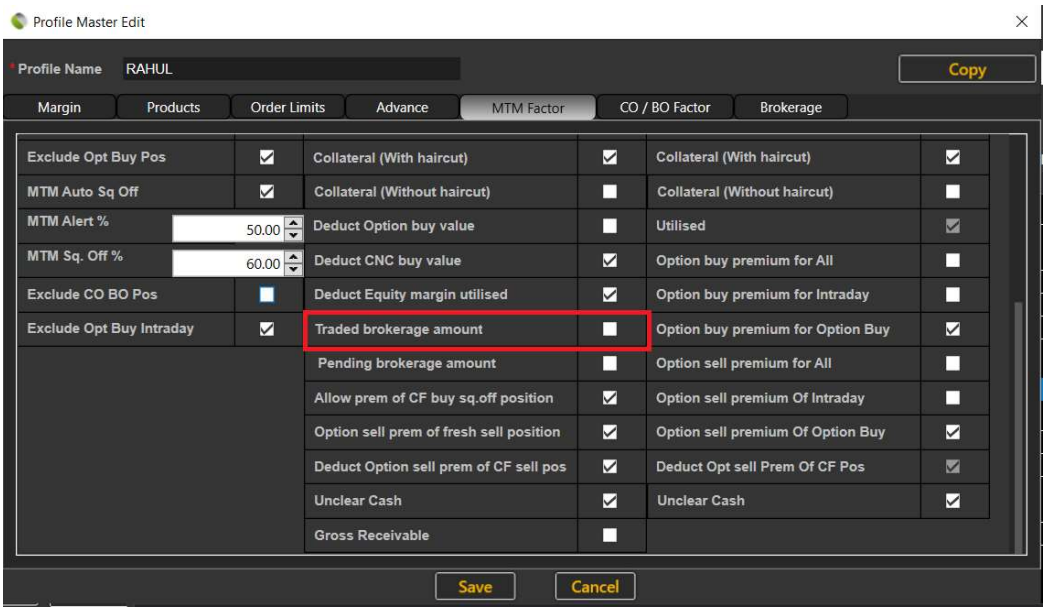
Trading member needs to un-tick the checkbox of rule "Deduct CNC buy value" then the value of the buy open position in CNC product will not be deducted from the Total balance factor for MTM % calculation if trading member wants to calculate MTM of open buy position in CNC product.



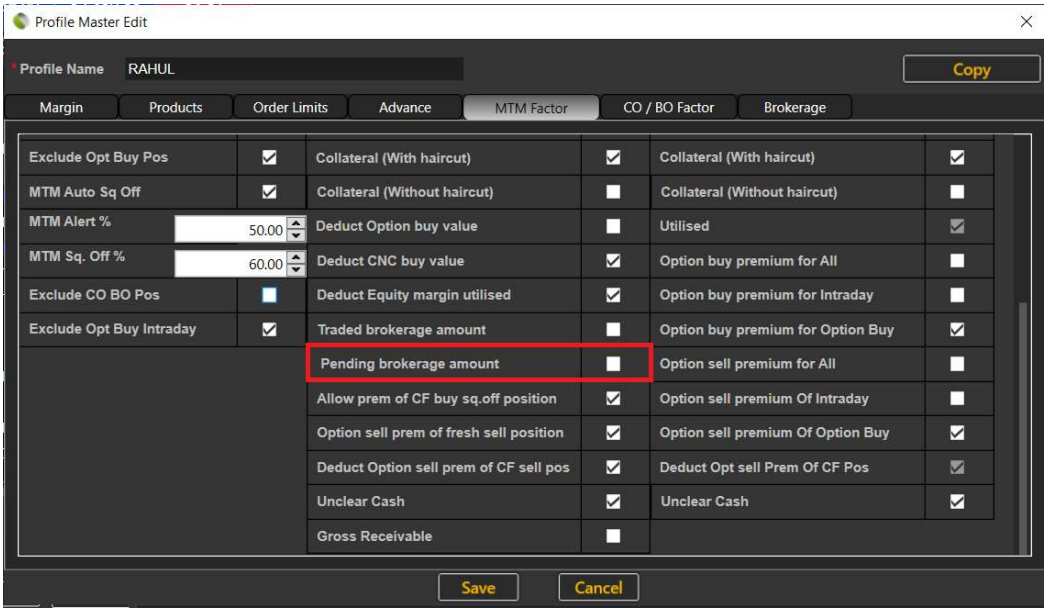
- Deduct Equity Margin Utilized: - If trading member ticks the checkbox of rule Deduct Equity Margin Utilized then the open position of Equity in Margin product will not be considered for MTM calculations and will not be squared off. And the margin utilized against such positions will be deducted from Total balance factor for MTM % calculation in de-numerator parameters.
  If trading member un-ticks the checkbox of rule Deduct Equity Margin Utilized, then the open position of Equity in Margin product will be considered for MTM calculations and will also be squared off.



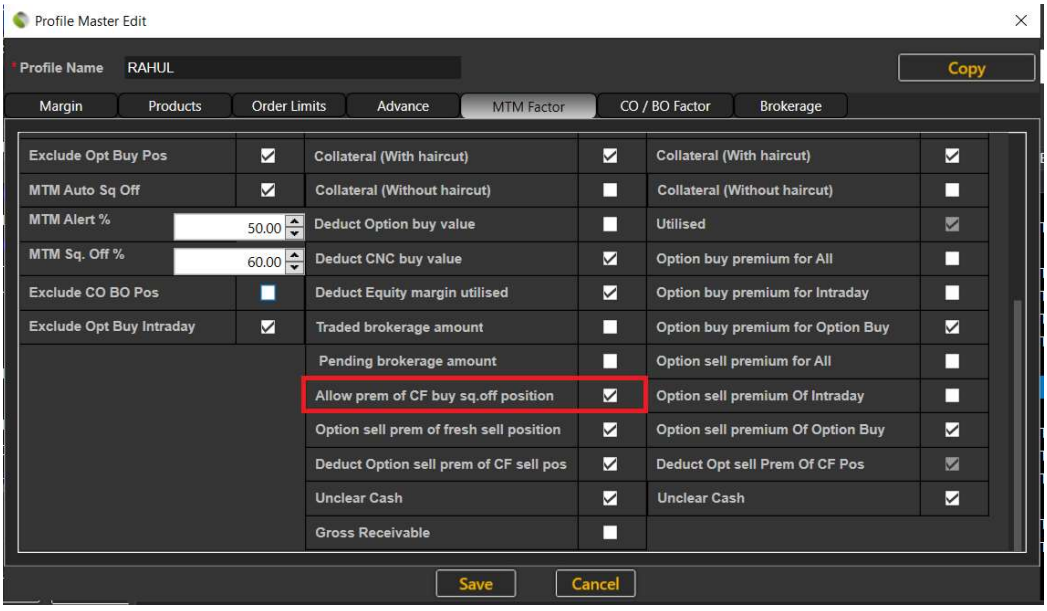
- Trading Brokerage Amount: - If the trading member ticks this checkbox, then the amount of brokerage and charges charged on the executed orders will also be considered for the calculation of MTM % in de-numerator part.



- Pending Brokerage Amount: - If the trading member ticks this checkbox, then the amount of brokerage and charges charged on the pending orders will also be considered for the calculation of MTM % in de-numerator part.

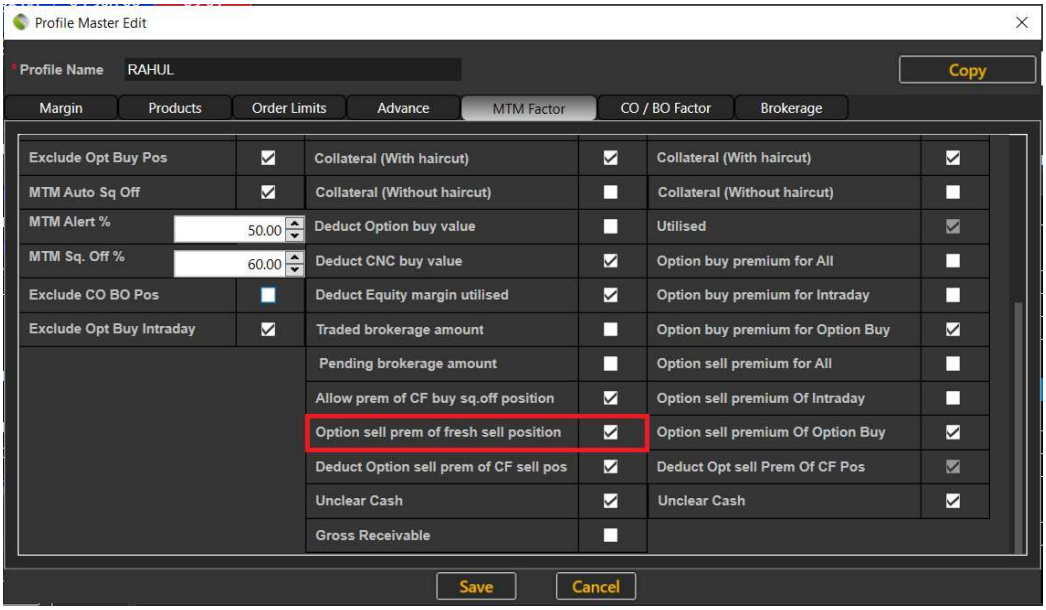


- Allow premium of CF buy sq off position: - If trading member ticks the checkbox or rule "Allow premium of CF buy sq off position" then the premium received against the square off the CF option buy position will be consider in total balance factor for MTM % calculation in de-numerator parameter.
  If un-tick this checkbox, then then the premium received against the square off the CF option buy position will not be consider in total balance factor for MTM % calculation in de-numerator parameter.

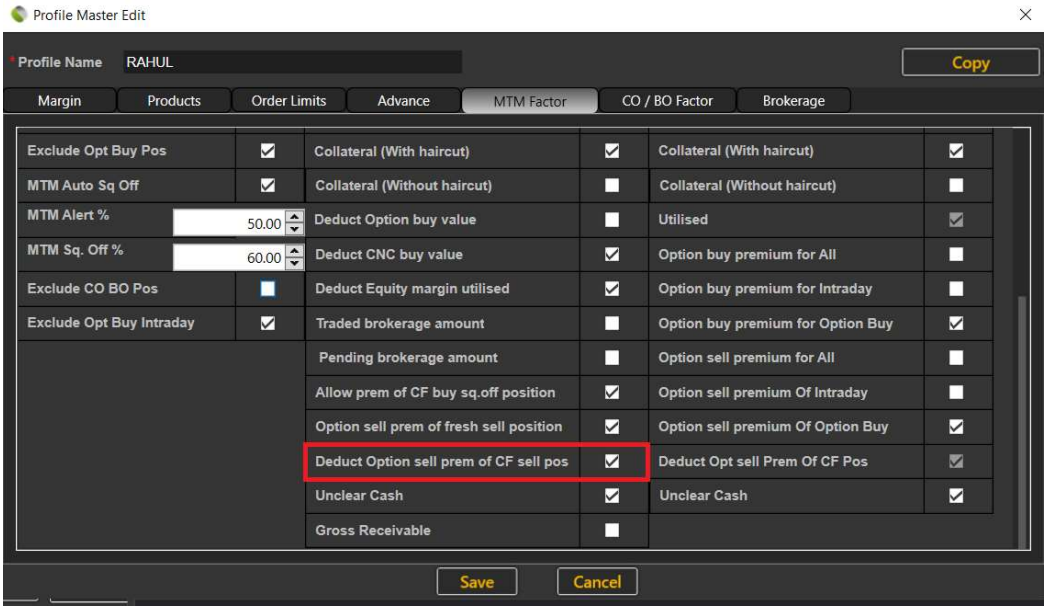


- Option sell premium of fresh sell position: - If trading member ticks the checkbox or rule "Option sell premium of fresh sell position" then the premium received against the fresh option sell position on that day will be consider in total balance factor for MTM % calculation in de-numerator parameter.

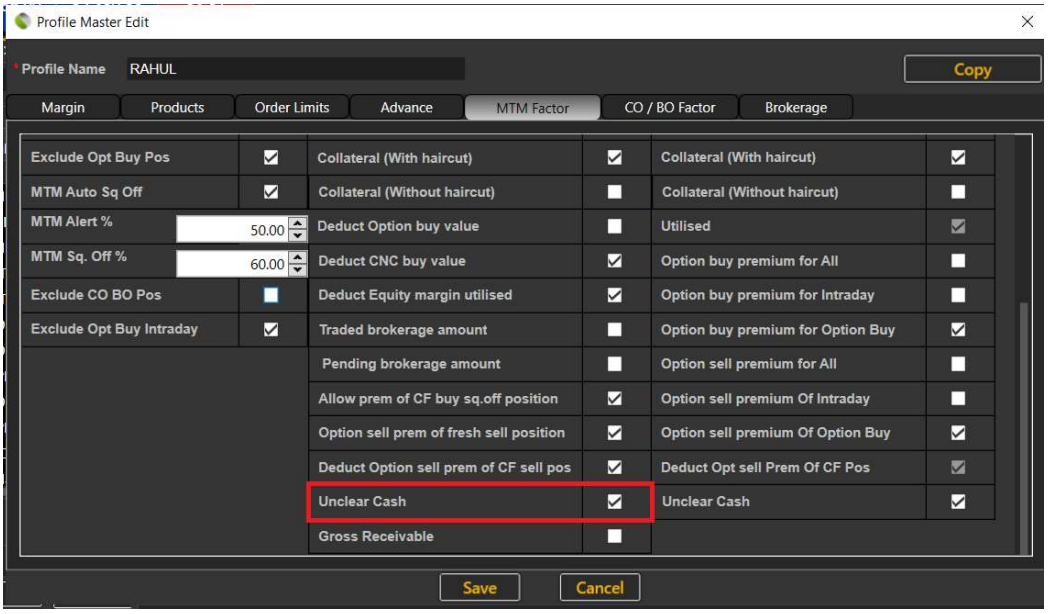
If un-tick this checkbox, then the premium received against the fresh option sell position on that day will not be consider in total balance factor for MTM % calculation in de-numerator parameter.



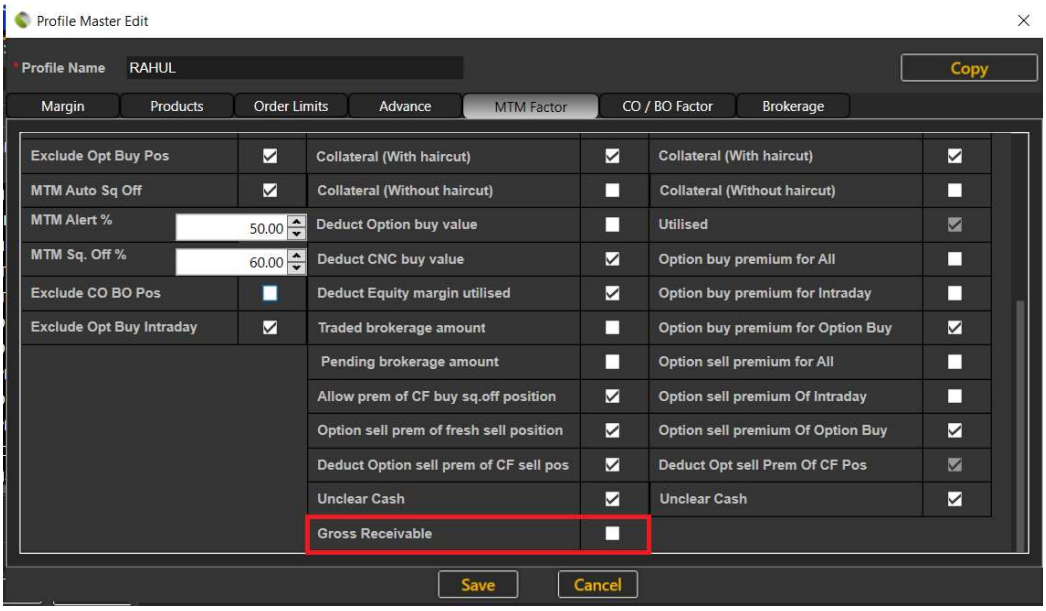
- Deduct option sell prem of CF sell position: - If trading member ticks the checkbox of rule “Deduct option sell prem of CF sell position” then the buy premium blocked in “option buy premium utilized” column against the square off the CF option sell position will be deducted from total balance factor for MTM % calculation in de-numerator parameter.
  If un-tick this checkbox, then the buy premium blocked in “option buy premium utilized” column against the square off the CF option sell position will not be deducted from total balance factor for MTM % calculation in de-numerator parameter.



- **Unclear Cash:** - If a trading member ticks this checkbox, then the amount given in the Unclear Cash column will be considered in the total balance factor for MTM % calculation in de-numerator parameters.
  If the trading member un-tick this checkbox, then the amount given in the Unclear Cash column will not be considered in the total balance factor for MTM % calculation in de-numerator parameters.



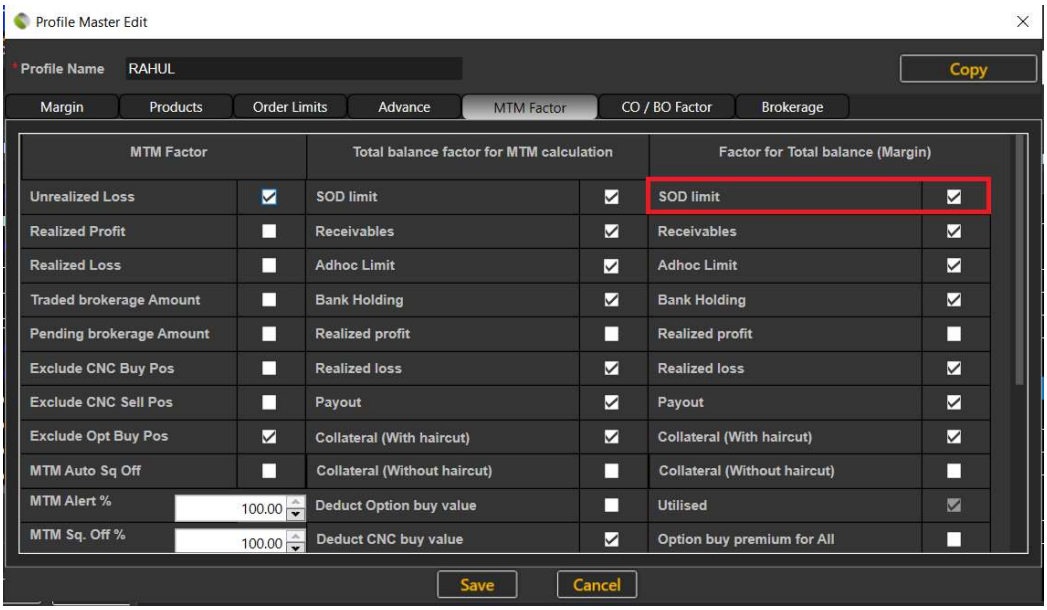
- **Gross Receivables:** - If a member ticks the checkbox of rule “Gross Receivables” then the full value of sold stock from holding in CNC product will be considered in total balance factor for MTM % calculation in de-numerator.
  If a member un-tick the checkbox of the rule “Gross Receivables” then no value of sold stock from holding in CNC product will be considered in total balance factor for MTM % calculation in de-numerator.



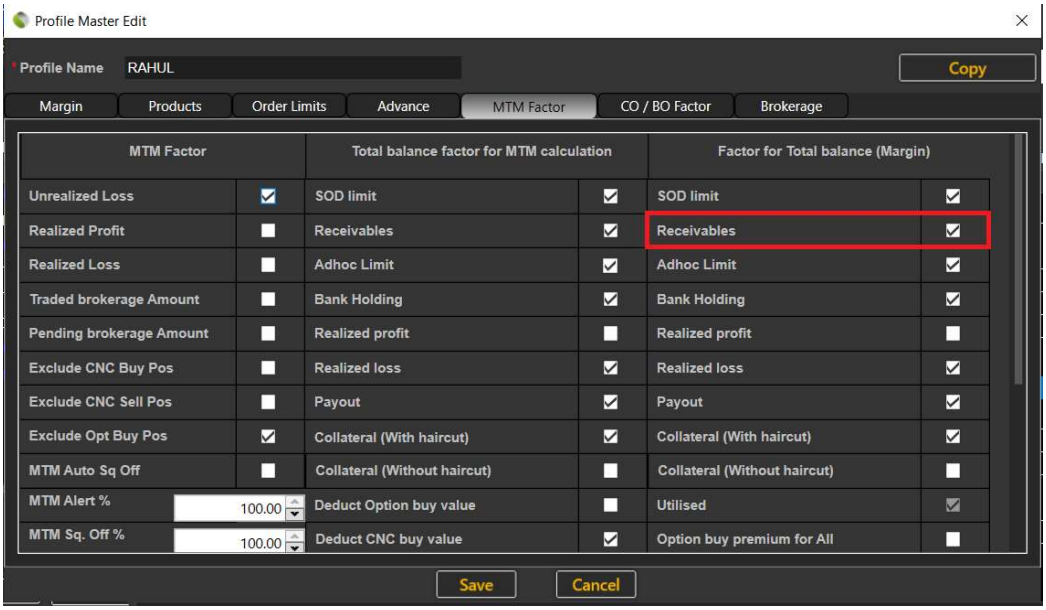
- Factor for Total Balance (Margin): -

Factor for total balance (Margin) column given in right side in MTM factor tab is basically forms the available balance for trading. Some parameters are given in this column so that member can decide which parameters/column to be included in available trading balance.

➢ SOD Limit: - SOD (Start of the day) (BOD) balance/Cash balance shown in the limit window. The checkbox of rule "SOD Limit" should be ticked, so that SOD balance will be considered for available trading limit.
If checkbox of rule "SOD Limit" is unticked, then SOD balance will not be considered for available trading limit.

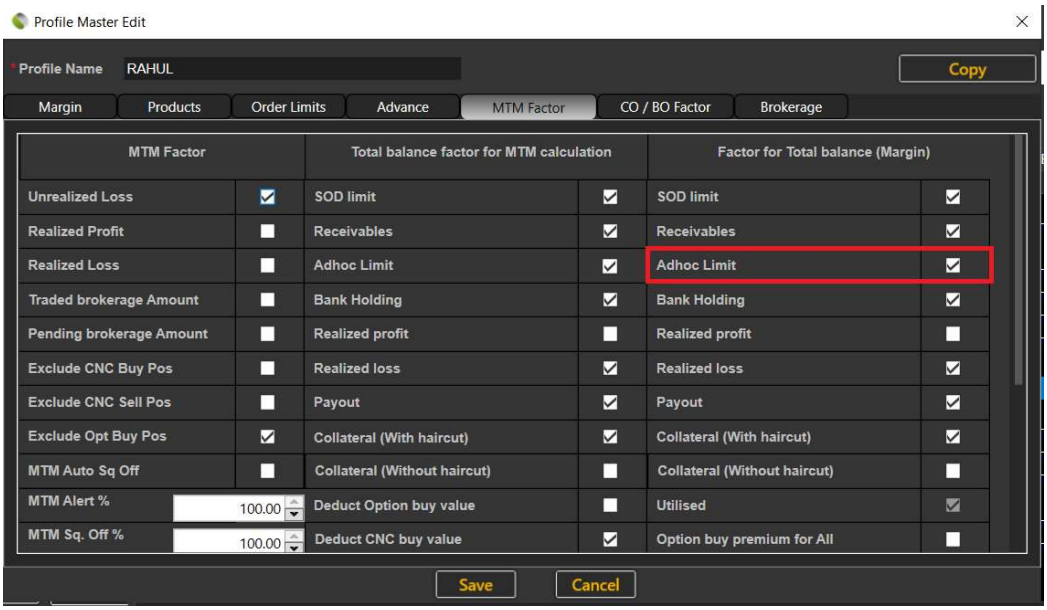


➢ Receivables: - If a trading member ticks the checkbox of rule "Receivables", then the receivable balance will be considered for available trading limit.
If checkbox of rule "Receivables" is unticked, then Receivables balance will not be considered for available trading limit.
Note: - Receivables in nothing but the amount of the credit for sale against the holdings sold by the clients.



- Adhoc Limit: - This checkbox of rule "Adhoc Limit" should be ticked, so that Adhoc balance will be considered for available trading limit.

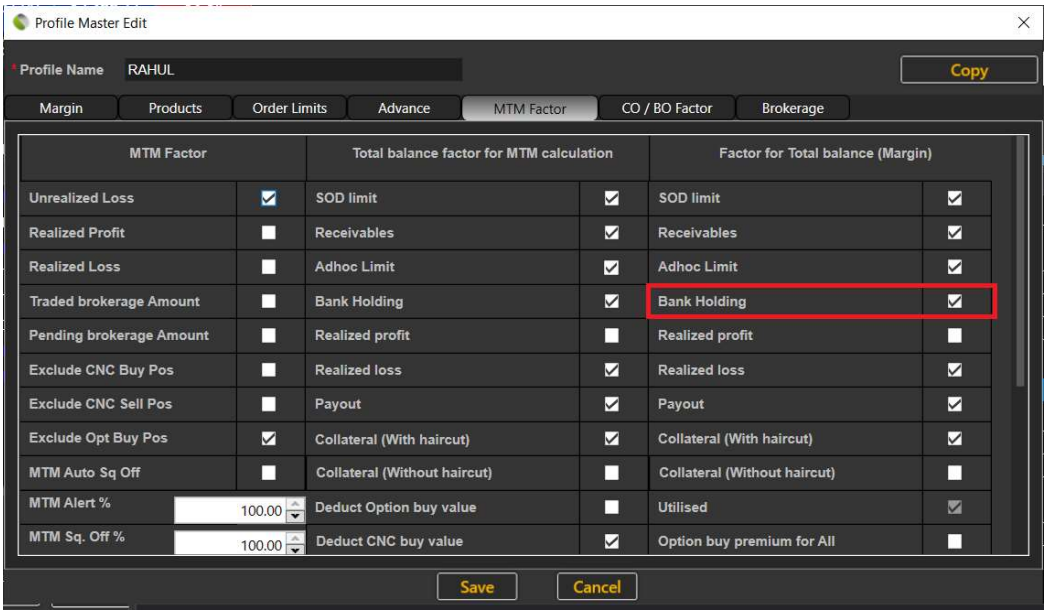
  If checkbox of rule "Adhoc Limit" is unticked, then Adhoc balance will not be considered for available trading limit.



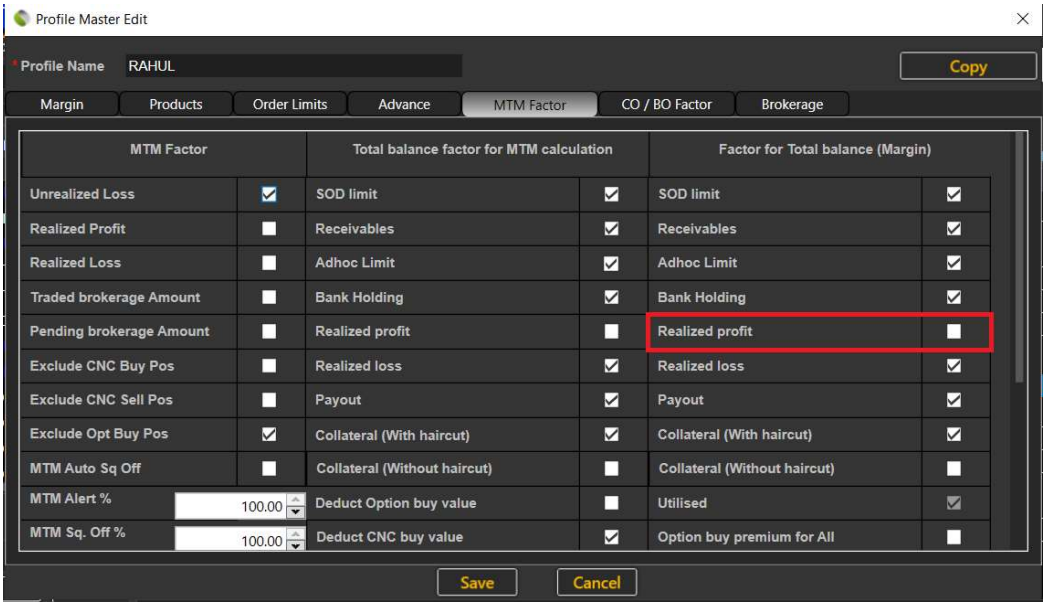
- Bank Holding: - This checkbox of rule "Bank Holding" should be ticked, so that Bank Holding balance will be considered for available trading limit.

  If checkbox of rule "Bank Holding" is unticked, then Bank Holding balance will not be considered for available trading limit.

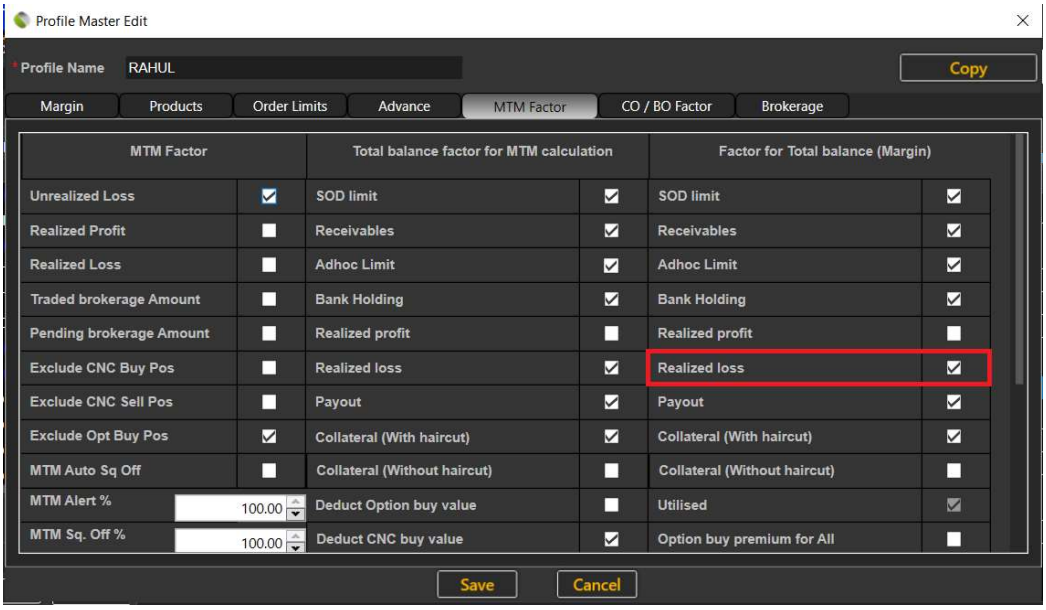
  Note: - Bank Holding balance is the cash balance which is given by the member against the payin by the client (offline/online fund transfer).



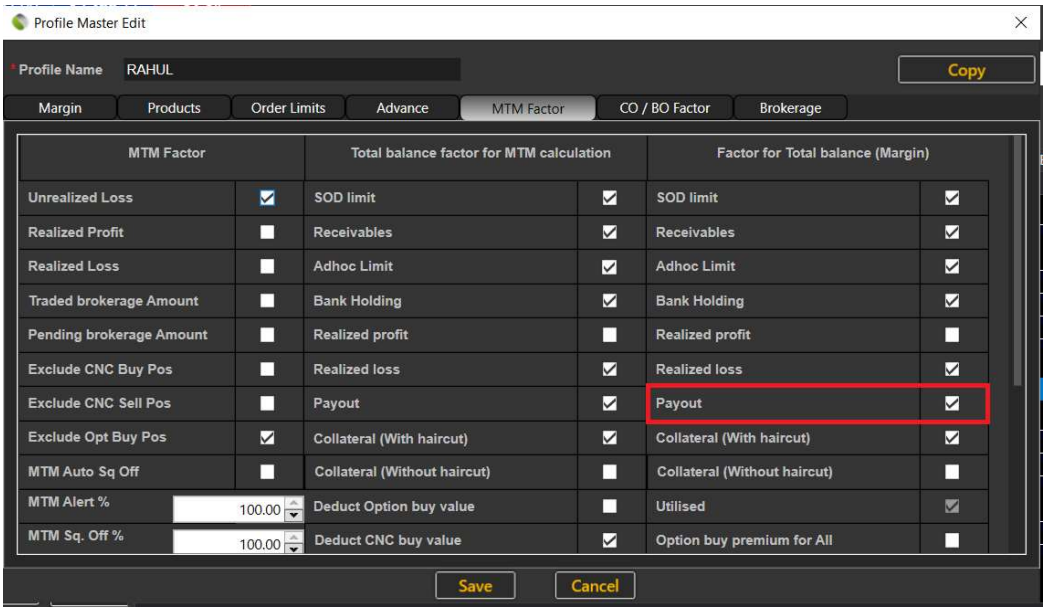
- Realized Profit: - If the trading member tick this checkbox, then realized profit (profit on the closed position) will also be consider for the available trading limit.



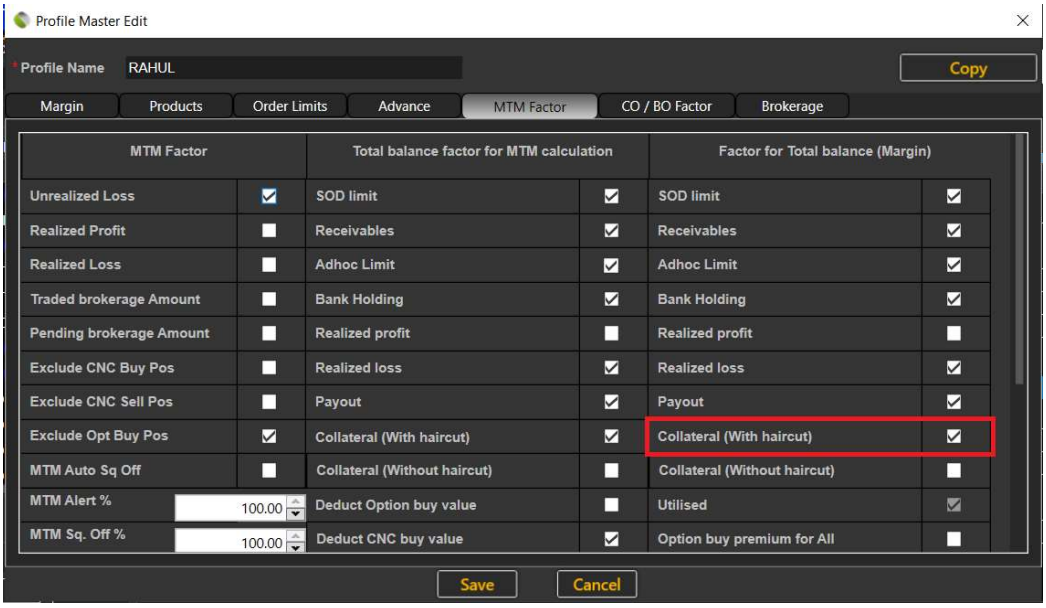
- Realized Loss: - If the trading member ticks this checkbox, then realized loss (loss on the closed position) will be deduct from the available trading limit.



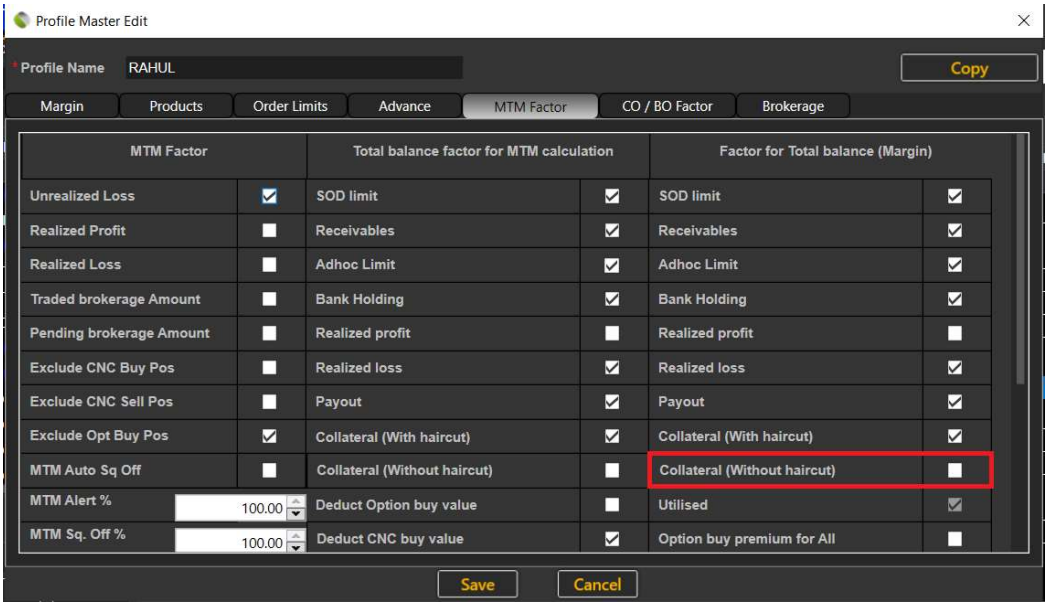
- Payout: - Payout amount requested by client must be deducted from available balance. The trading member should have to be ticked the checkbox “Payout”, So that requested payout will be deducted from available trading limit.
  If member un-tick this checkbox, then requested payout will not be deducted from available limit.



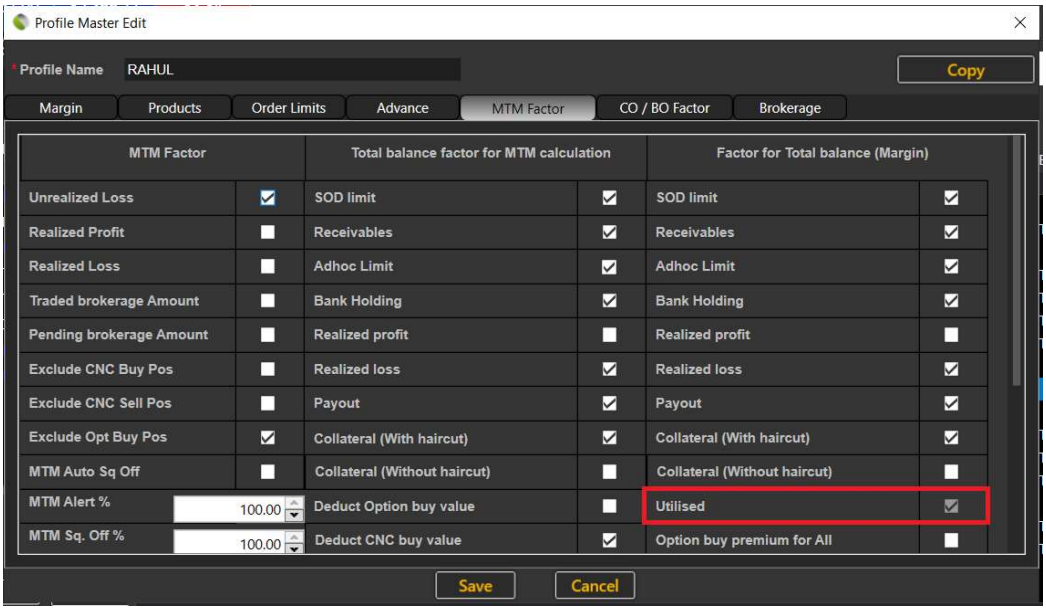
- Collateral (with Haircut): - If trading member tick the checkbox of rule “Collateral (with Haircut)”, then the value of non-cash collateral after applying applicable haircut will be considered for available trading balance for the client mapped in respective profile.



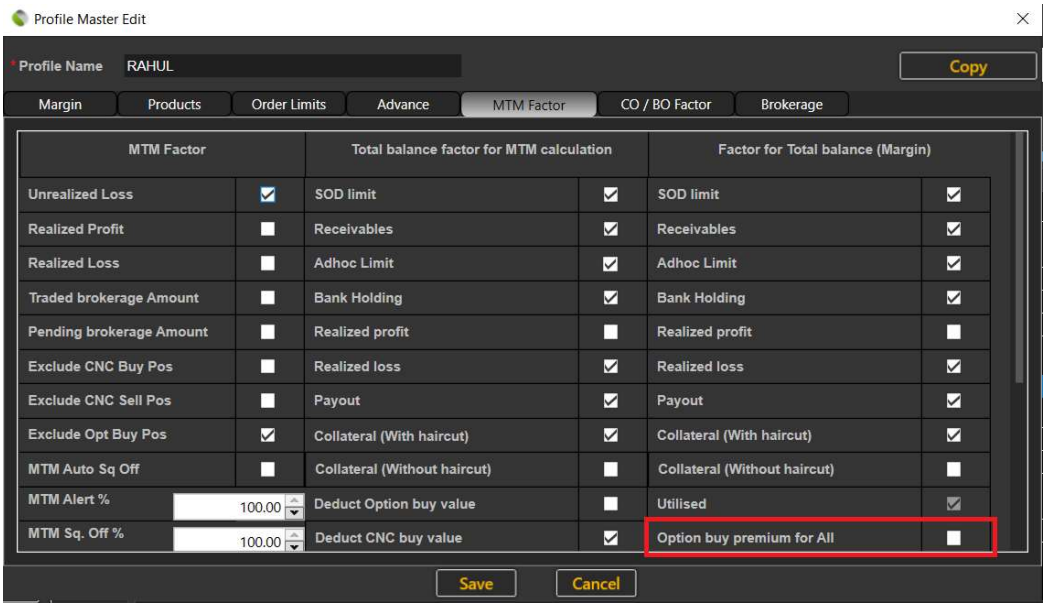
- Collateral (without Haircut): - If trading member tick the checkbox of rule "Collateral (without Haircut)", then the full value of non-cash collateral will be considered for available trading balance for the client mapped in respective profile.
  If un-tick this checkbox, then the full value of non-cash collateral will not be considered for available trading balance for the client mapped in respective profile.



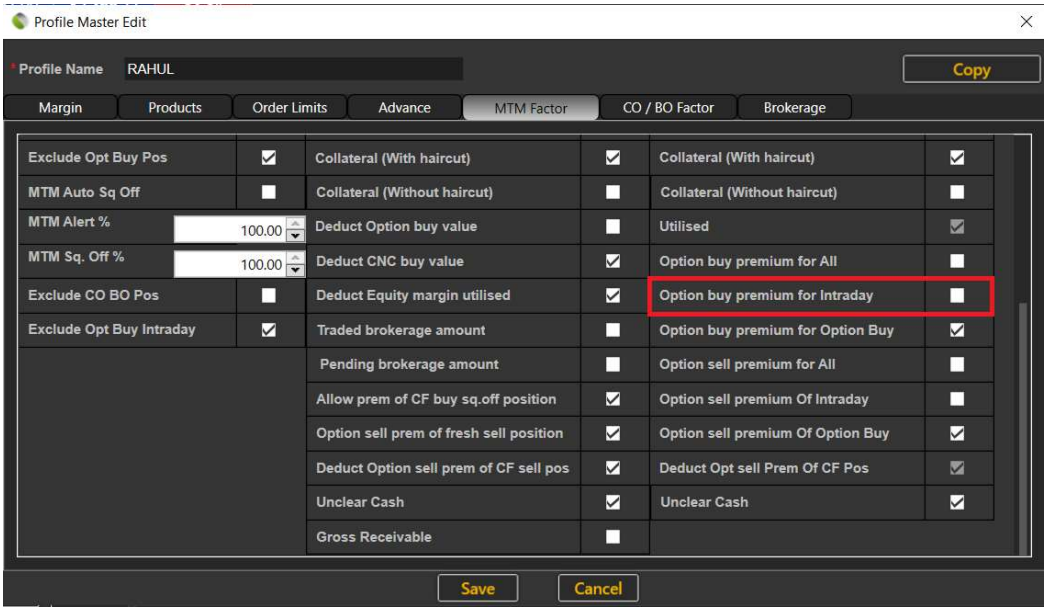
- Utilised: - Utilised is a column which contains the cumulative margin charged on the open position and the margin on pending orders for creating the new position. This checkbox is ticked by default, so that utilized margin can be deducted from the available trading balance for the clients mapped in the respective profile.



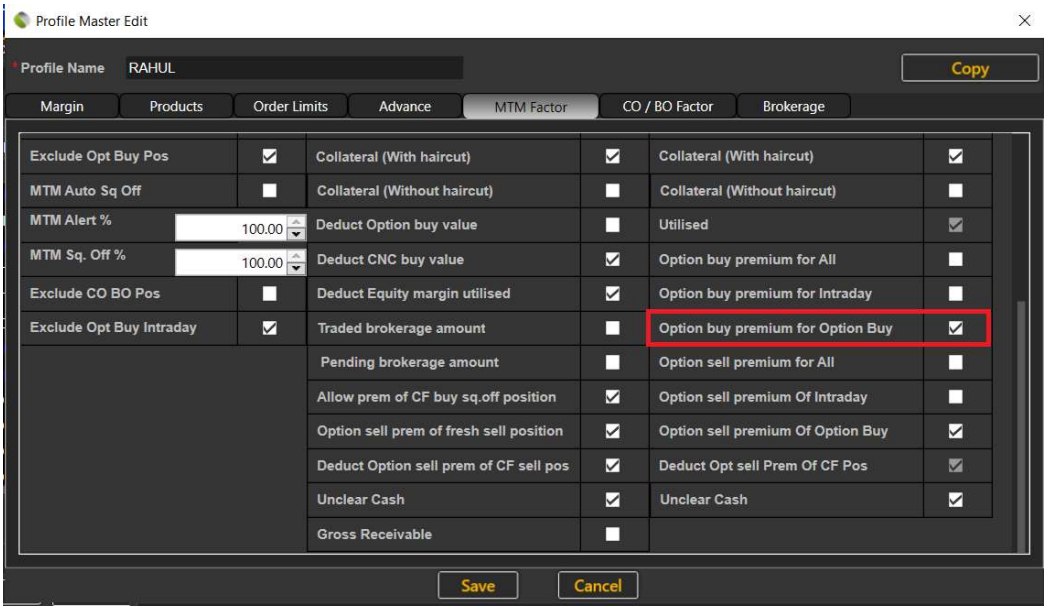
- Option buy premium for All: - If member ticks this checkbox of rule "Option buy premium for All" then the premium received after squared off the CF option buy position will be considered in available trading balance across the segment and across the product for all types of orders.
  If un-tick this checkbox, then the premium received after squared off the CF option buy position will not be considered.



- Option buy premium for Intraday: - If member ticks this checkbox of rule "Option buy premium for Intraday" then the premium received after squared off the CF option buy position will be considered for available trading balance across the segment for intraday product.
  If un-tick this checkbox, then the premium received after squared off the CF option buy position will not be considered.
  If un-tick this checkbox, then the premium received after squared off the CF option buy position will not be considered.

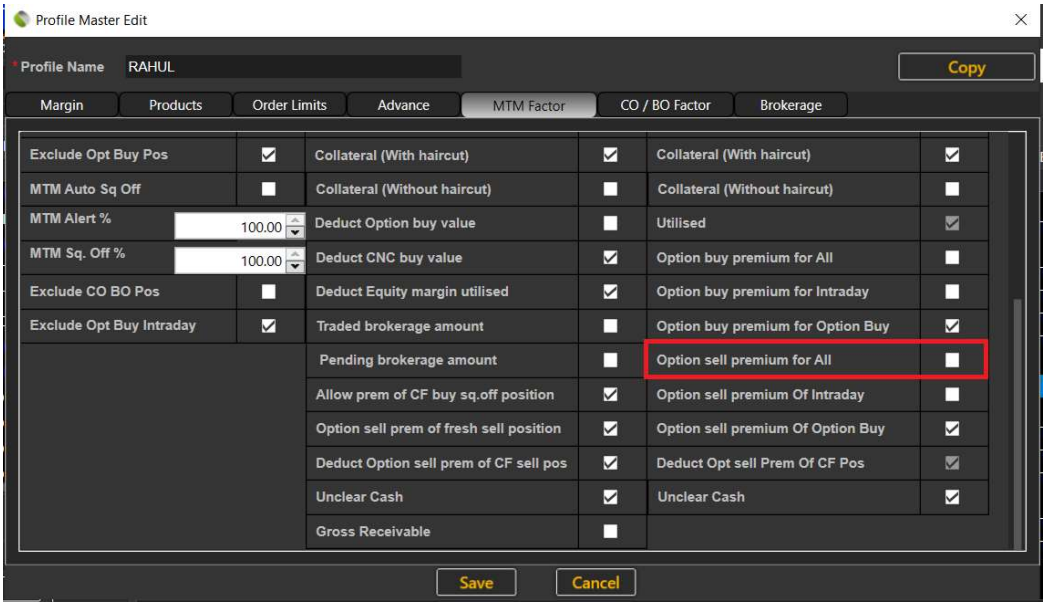


- Option buy premium for Option Buy: - If member ticks this checkbox of rule "Option buy premium for Option Buy" then the premium received after squared off the CF option buy position will be considered for available trading balance only to buy the option in same segment in which the premium is received after squared off the position of CF option buy position.
  If un-tick this checkbox, then the premium received after squared off the CF option buy position will not be considered.

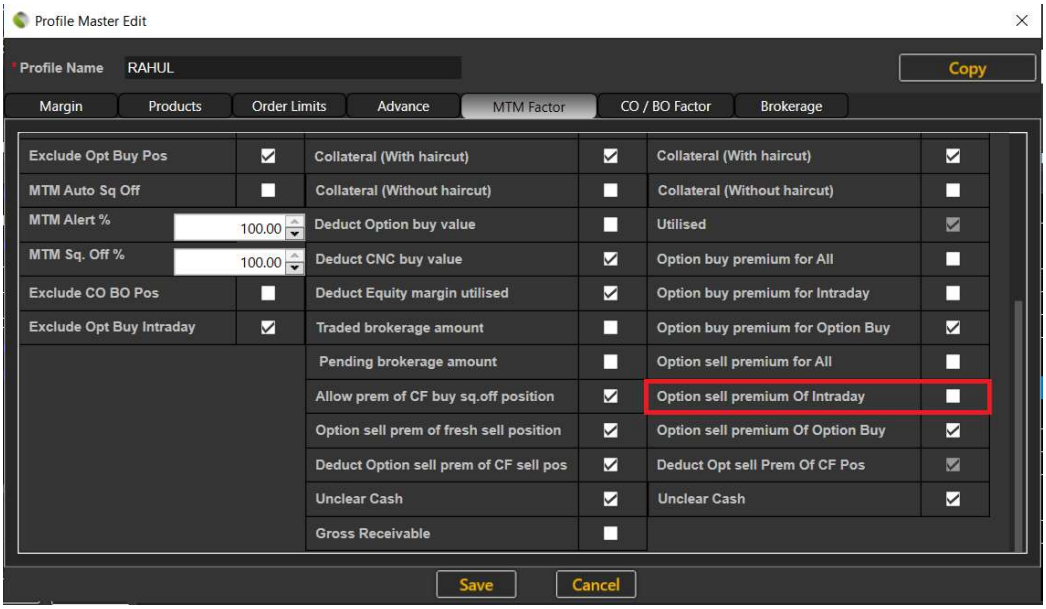


- Option sell premium for All: - If member ticks this checkbox of rule "Option sell premium for All" then the premium received for the fresh option sold on that day will be considered in available trading balance across the segment and across the product for all types of orders.

If un-tick this checkbox, then the premium received for the fresh option sold on that day will not be considered.

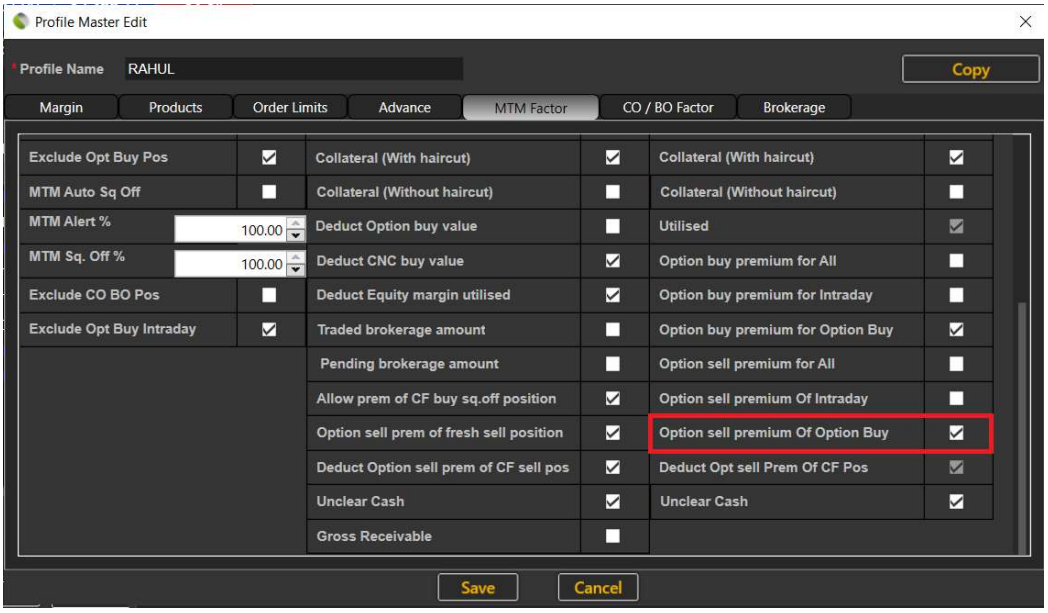


- Option sell premium for Intraday: - If member ticks this checkbox of rule "Option sell premium for Intraday" then the premium received for the fresh option sold on that day will be considered in available trading balance across the segment for intraday product only for all types of orders.
  If un-tick this checkbox, then the premium received for the fresh option sold on that day will not be considered.

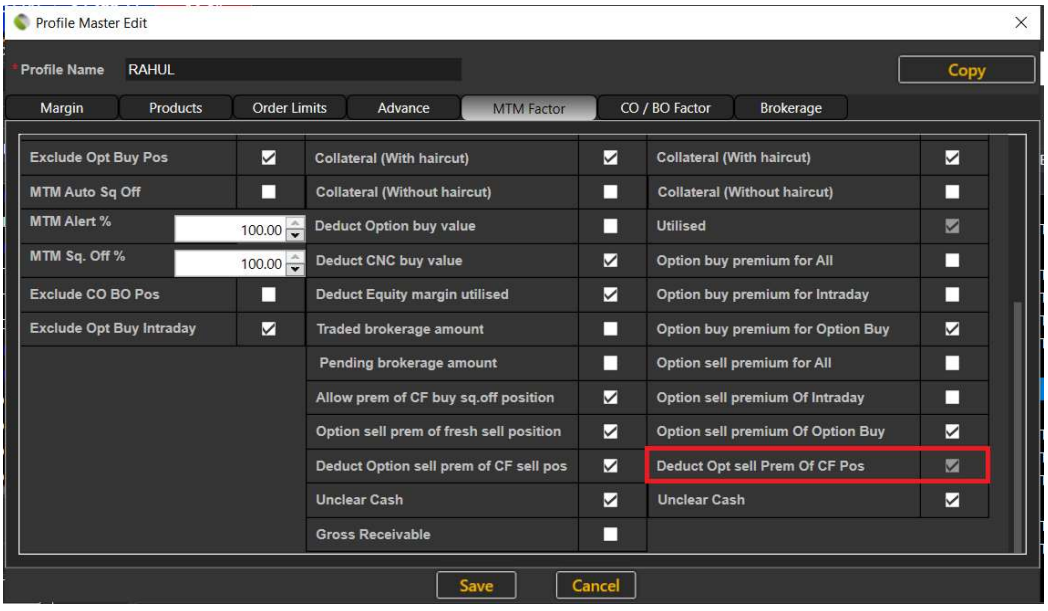


- Option sell premium of Option Buy: - If member ticks this checkbox of rule "Option sell premium for Option Buy" then the premium received for the fresh option sold on that day will be considered in available trading balance only to buy the option in same segment in which the premium is received for the fresh option sold on that day.

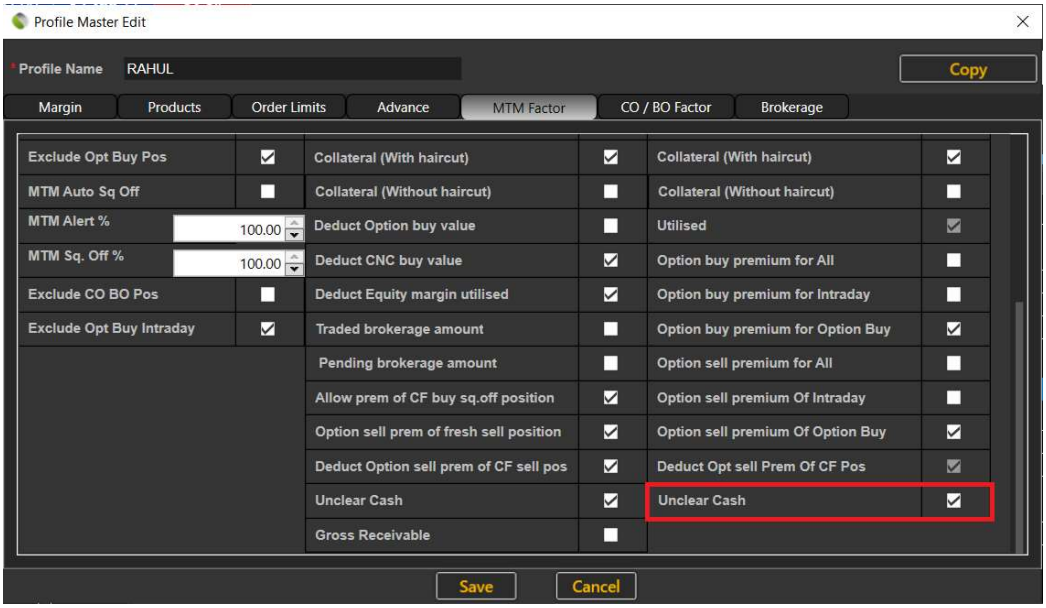
If un-tick this checkbox, then the premium received for the fresh option sold on that day will not be considered.



- Deduct Opt sell Prem of CF Pos: - If trading member ticks the checkbox of rule "Deduct opt sell prem of CF position" then the buy premium blocked in "option buy premium utilized" column against the square off the CF option sell position will be deducted from available balance for trading.
  If un-tick this checkbox, then the buy premium blocked in "option buy premium utilized" column against the square off the CF option sell position will not be deducted from available balance for trading.



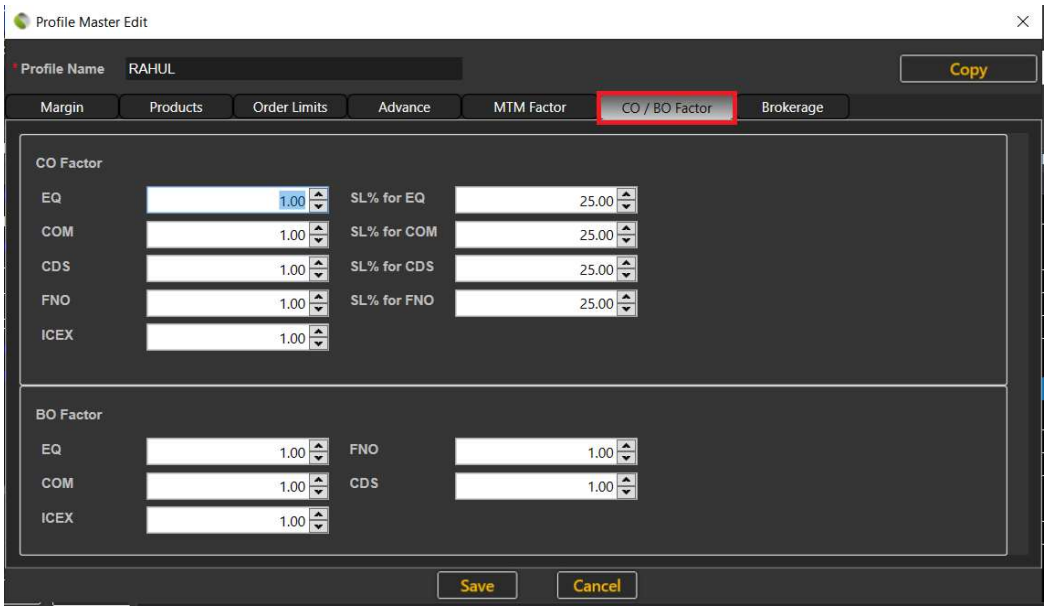
- Unclear Cash: - If a trading member ticks this checkbox, then the amount given in the Unclear Cash column will be considered in the available balance for trading.
  If the trading member un-tick this checkbox, then the amount given in the Unclear Cash column will not be considered in the available balance for trading.



CO/ BO Factor Tab: - CO / BO Factor tab consists of two groups for CO factor and BO factor from where member can set the leverage for CO and BO orders.

- CO factor: - In CO factor admin user can set the leverage for client for CO order segment wise and can set the stop loss range in “SL% *” field segment wise respectively. And this rule will work for the set of clients mapped in the respective profile.
  Example: - If user set 1.00 factor for eq then the margin will be charged for CO order from the respective basket mapped in respective profile or from VAR+ELM file from exchange whichever is higher. If the user decreases this factor, then leverage will be increased, if user increases the factor, then leverage will be decreased.
  If member set the range of SL % is 25 % then clients will be allowed to place SL in the range of 25 % only from the LTP.

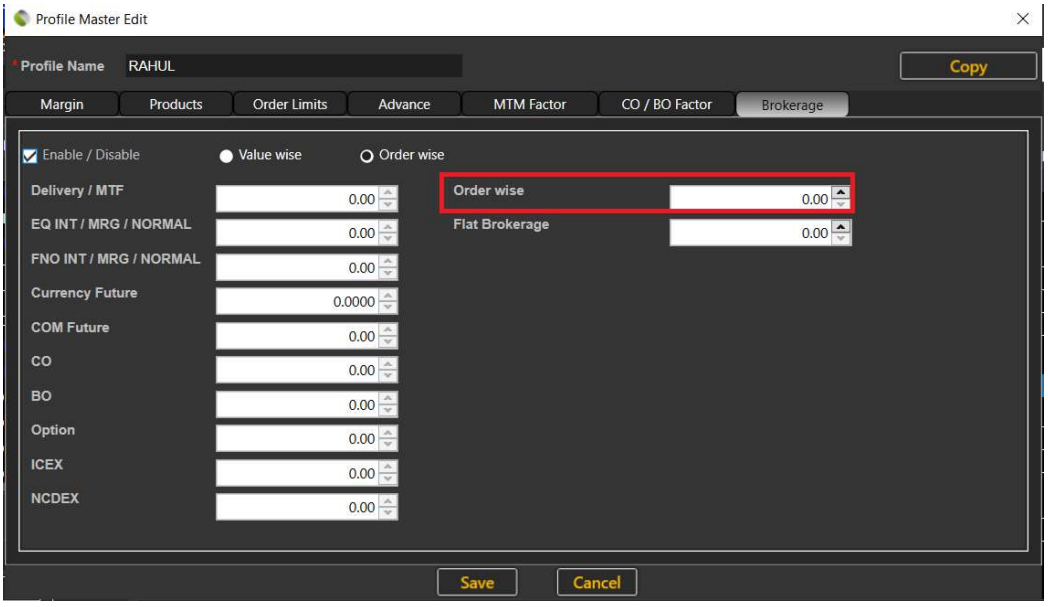
- BO factor: - In BO factor admin user can set the leverage for client for BO order segment wise respectively. And this rule will work for the set of clients mapped in the respective profile.
  Example: - If user set 1.00 factor for eq then the margin will be charged for BO order from the respective basket mapped in respective profile or from VAR+ELM file from exchange whichever is higher. If the user decreases this factor, then leverage will be increased, if user increases the factor, then leverage will be decreased.



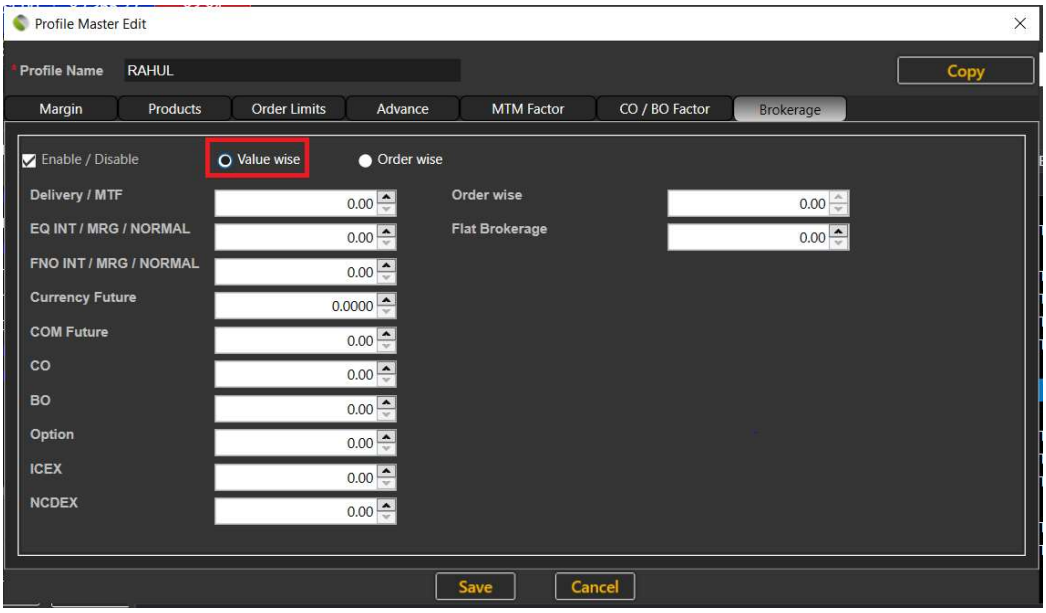
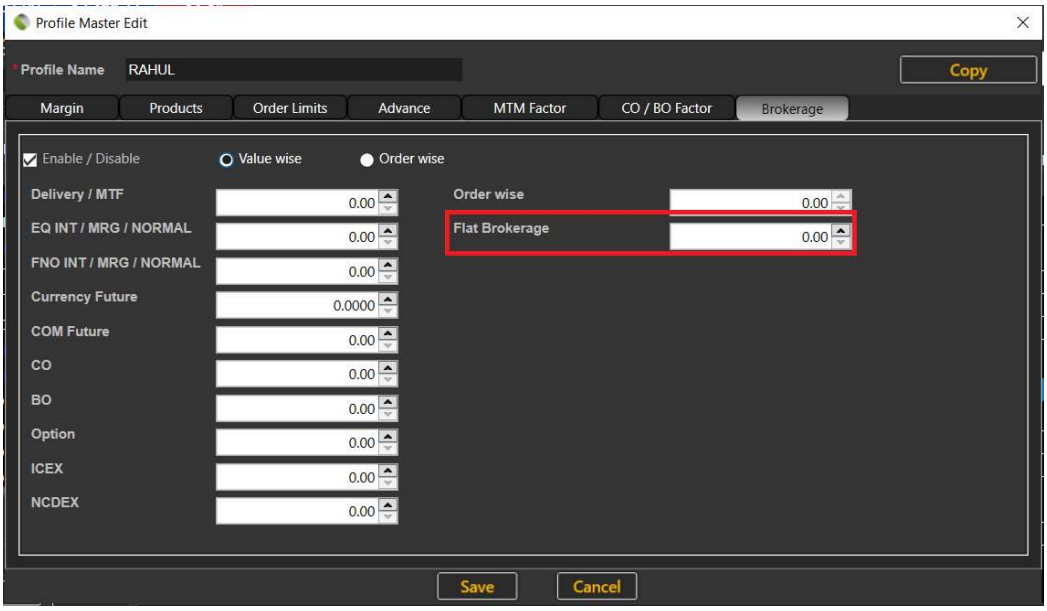
Brokerage Tab: - This tab facilitates to the member brokerage to be set as on profile level. Brokerage can be charged value wise or order wise. Two radio buttons are given to set the brokerage.

Value wise
Order wise

- Order-wise: - If a member needs to set the brokerage order wise then the radio button Order wise needs to be selected and a value needs to enter in the order wise field. Then the value given in this field will be charged as brokerage on every individual order.



- Value Wise: - If a member needs to set the brokerage value wise then the radio button value wise needs to be selected and the values in terms of percentage needs to enter in the respective fields given product wise and segment wise. Then the percentage given in this field will be charged as brokerage on the value of every individual order.

There is one more field given in this tab as “Flat Brokerage”. Flat Brokerage works with the combination of value wise brokerage. If a member wants the charge whichever is lower brokerage as per value wise brokerage and flat brokerage.

If a member gives a value in “Flat Brokerage” field, then the brokerage will be charged either on the value of individual order as per set respective brokerage percentage or as flat brokerage is given which is ever is lower.